# HUFFMAN CODING 

cs2420 | Introduction to Algorithms and Data Structures | Spring 2015

## administrivia...

-assignment 11 is due tomorrow night
-extra day to complete
-assignment 12 up later today, due Tuesday, April 21st -we will finish-up necessary lecture material next Tuesday

midterm 2 grades


## final scores (so far)



## jason...

-St Thomas Moore Church, 3015 Creek Rd,
Cottonwood Heights, UT 84093
-vigil tomorrow night 6-8pm
-funeral Saturday at 2pm
-Multifaith Memory Service at the U
-Friday, April 17th at 2pm, Saltair Room of the Union

## last time...

-a min-max heap further extends the heap order property
-for any node E at even depth, E is the minimum element in its subtree
-for any node O at odd depth, O is the maximum element in its subtree
-the root is considered to be at even depth (zero)

add
-AGAIN, we must ensure the heap property structure
-must be a complete tree
-add an item to the next open leaf node
-THEN, restore order with its parent
-does it belong on a min level or a max level?
-swap if necessary
-the new location determines if it is a min or max node
-percolate up the appropriate levels
-if new item is a max node, percolate up max levels
-else, percolate up min levels

## delete

## delete max (min is analogous)

1. locate node $X$ (node containing max item)
2. replace $X$ with last node in tree (last index in array!)
3. determine if new X is violating order property with direct children

- if so, swap contents of $X$ with the largest child

4. percolate new item $X$ down max levels
5. if lowest max level reached, restore order with lowest min level (if applicable)

## today...

-number encodings
-file compression
-data compression
-data decompression
-Huffman's algorithm
-building the compression tree

## number encodings

## binary

-each bit represents power of 2

$$
\begin{array}{cccccccc}
1 & 0 & 0 & 1 & 0 & 0 & 1 & 1 \\
2^{7} & 2^{6} & 2^{5} & 2^{4} & 2^{3} & 2^{2} & 2^{1} & 2^{0} \\
128 & 64 & 32 & 16 & 8 & 4 & 2 & 1 \\
\text { on off off on off off on on on }
\end{array}
$$

-sum up all the bits that are on
$-128+16+2+1=147$
-how can we convert the other way?

# WHAT IS THE BINARY REPRESENTATION OF THE NUMBER 39? 

A) 101001
B) 100111
C) 010111
D) 110001

There are 10 types of people in the world: those who understand binary, and those who don't.
-all data in a computer is stored in binary
-a bit is a binary digit
-a byte is an 8-bit value
-common until of data in a computer
-bytes
10100110
00000000

HOW MANY DIFFERENT VALUES
CAN 4 BITS HOLD?
A) 7
B) 8
C) 15
D) 16
E) 31
F) 32

## ASCII

-each character corresponds to one byte
-remember, a byte is just an 8-bit number! (0-255)
-for example:

$$
\begin{aligned}
& 00100000=32=' \quad '(\text { blank space }) \\
& 00111011=59=; \\
& 01000001=65=\mathrm{A} \\
& 01000010=66=\mathrm{B}
\end{aligned}
$$

-a simple file containing the text "Hello" is stored on the disk as:

## 0100100001100101011011000110110001101111

-the text editor know to treat each byte as an ASCII value
-in reality, they are just bytes
-how much disk space do you need for a 1000 character text file?

## hexadecimal

-hexadecimal is the base-16 number system
-we only have 10 digits (0-9), so to use a number base greater than 10 we need more symbols
-in hex, we use the letters A through F
-A represents the value ten
-F represents the value fifteen

## counting

-in binary, we reset and add a digit at 1
-in decimal, we reset and add a digit at 9 -in hex, we reset and add a digit at $F$ (ie. 15)

```
8
9
A
B
C
D
E
F
10 (= sixteen)
11 (= seventeen)
```

-each decimal place represents a power of 16
1AF

$$
\begin{aligned}
& =\left(1^{*} 16^{2}\right)+\left(A^{*} 16^{1}\right)+\left(F^{*} 16^{0}\right) \\
& =256+160+15 \\
& =431
\end{aligned}
$$

-hex is useful because each hex digit corresponds to one half-byte (ie. 4 bits)
-reading raw byte data is almost always done in hex -two hex digits makes up a single byte
-bytes in hex:
1A
00
13
FF
D5

## hex to binary

-each hex digit is a specific 4-bit sequence

$$
\begin{aligned}
& 0=0000 \\
& 1=0001 \\
& \ldots \\
& E=1110 \\
& F=1111
\end{aligned}
$$

-converting from hex to binary is as simple as representing each digit with its bit-sequence $12 E F=0001001011101111$
-a single byte is two hex digits
-the bytes in the above are 12 and EF

WHAT IS THE HEX VALUE OF THESE 8 BITS? 10100010
A) B 2
B) A 2
C) 12
D) 10

## file compression

-why do we care about file compression?
-reducing traffic on networks and the internet
-reducing disk space requirements
-various media formats
-MP3
-MPEG4
-JPEG
-...
-YouTube, Netflix, GoogleMaps, etc. would not be possible without compression

## exercise

-suppose we the following string stored in a text file: ddddddddddddabc
-how many bytes of disk space does it take to store these 15 characters using ASCII?
-is there any way to represent this file in fewer bytes?
-hint: take advantage of repeated characters...
-two phases for data compression:
-compression (encode data in fewer bits)
-decompression (decode back to original data)
data compression
-allow number of bits for each character to vary, instead of using the full 8 for every character
-represent common characters with fewer bits, represent rare characters like ' $q$ ' and ' $z$ ' with more bits
-with ASCII we now each char is 8 bits
-how do we reproduce (decompress) original file if characters are of varying lengths?
-for example:
-'e' may be the two-bit value 11
-'q' may be the four-bit value 1100
-'z' may be the four-bit value 0011
-what characters does the following file contain?
-001100
-is there any way to know?
-we must include the secret decoder ring with the compressed file

## binary trie

-no, I did not misspell it!
-a binary trie is a binary tree in which a left branch represents a 0 and a right branch represents a 1
-the path to a node represents its encoding



# "ddddddddddddabc" 

 takes 15 bytes ( 120 bits) in ASCII1111111111100000101 is less than 3 bytes (20 bits)

WHY IS THE D NEAR THE TOP OF THE TREE?

## data decompression

-read the bits of the compressed file one at a time

$$
111111111111000000101
$$

-on 0 go left, on 1 go right
-when a leaf node is reached, print char contained in node
-start back at the root for the next bit

-what does the following bit-string encode?

$$
01000001
$$

-how many bytes is this encoded in? what if it was in ASCII?

-read the bits of the compressed file one at a time
-a binary trie is a binary tree in which a left branch represents a 0 and a right branch represents a 1
-the path to a node represents its encoding


## WHAT STRING DO THESE BITS ENCODE?

011000010
A) low
B) wow
C) wool
D) were


## Huffman's algorithm

-idea is to encode most frequent characters with fewest bits
-common characters will be near the top of the tree
-uncommon near the bottom
-every file has a different frequency of characters, and therefore a different compression tree

## Huffman's algo

-count the frequency of each different character
-"hello world"
$h=1$
$e=1$
$1=3$
$0=2$
" $=1$
$w=1$
$r=1$
$d=1$
-construct a binary trie with highest frequency characters near the top, lowest near the bottom
-include this tree representation with the compressed file

## building the compression tree

## START WITH A SEPARATE TREE FOR EACH CHARACTER

EACH TREE IS A SINGLE ROOT NODE, HOLDING THE CHARACTER AND ITS FREQUENCY


## MERGE THE TWO LOWEST WEIGHT TREES TOGETHER INTO ONE NEW TREE

MAkE A NEW PARENT NODE WITH THEIR COMBINED WEIGHT; SMALLER NODE ON THE LEFT, LARGER ON THE RIGHT

LOTS OF TIES HERE! H, E, W, R, D, AND ' ' NEED A TIE-BREAKER . . . MORE ON THIS LATER . . .

hello world

## REMOVE ' ' AND H TREES FROM THE LIST

ADD NEW MERGED TREE TO THE LIST
NOTE: NON-LEAF NODES DON'T HAVE A CHARACTER, ONLY A WEIGHT

hello world

## CONTINUE PROCESS OF MERGING TWO SMALLEST TREES

 WHICH IS NEXT?
hello world

## CONTINUE PROCESS OF MERGING TWO SMALLEST TREES

 WHICH IS NEXT?
hello world

## CONTINUE PROCESS OF MERGING TWO SMALLEST TREES

 WHICH IS NEXT?
hello world

## CONTINUE PROCESS OF MERGING TWO SMALLEST TREES

 WHICH IS NEXT?
hello world

## CONTINUE PROCESS OF MERGING TWO SMALLEST TREES

 WHICH IS NEXT?
hello world

DONE!

hello world

NEW CHARACTER ENCODING

$$
\begin{aligned}
& \mathrm{h}: 1101 \\
& \mathrm{e}: 1111 \\
& \mathrm{l}: 10 \\
& \mathrm{o}: 00 \\
& ": 1100 \\
& \mathrm{w}: 011 \\
& \mathrm{r}: 010 \\
& \mathrm{~d}: 1110
\end{aligned}
$$

## (re)building the compression tree

-the compressed file now contains non-ASCII, varying length bytes
-decompression needs the same tree in order to decode
-must add header information that describes the tree
-this header should not be compressed!
-next time...

## next time...

-reading
-chapter 12 in book
-homework
-assignment 11 due tomorrow night
-assignment 12 is out, due a week from Tuesday

