# Lecture: Shape Analysis Introduction 

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## References

- [Dryden\&Mardia] Statistical Shape Analysis, Wiley, Chichester, Dryden, I.L. and Mardia, K.V. (1998).
- DG Kendall (1984,Bull.Lond.Math.Soc)
- Bookstein (1986,Statistical Science)
- WS Kendall (1988,Adv.Appl.Probab.)
- Christopher G. Small, The Statistical Theory of Shape, Springer
- D'Arcy Thompson, 1917, On Growth and Form


## Shape

The word "shape" is very commonly used in everyday language, usually referring to the appearance of an object.



## Shape Properties: School Performance Test



## What is Shape?



## Example Biology

(A)

(B)

(C)

(D)

(E)

(F)

(G)


(1)

( $)$

(K)

(L.)


Example Astronomy

## Edwin Hubble's Classification Scheme

Sb

Spirals

SBa


Ellipticals
E0 E3 E5 E7

EO E3 E5 E7

## Example Geology



## Example Biology



Shark Tails
The Diversity of Form and Function

## Example Biology



This picture clearly illustrates the typical shell shape differences between male (left) and female (right) eastern box turtles.

## Industrial Example: Particle Analysis, Particle Size Testing, Shape Classification

In machine condition monitoring, the ability to determine the size and shape of contaminant particles is becoming a necessary, if not critical capability. Particles in an used oil sample may be due to the normal wear process, but an increase of particles, and their size and shape will assist the oil analysis laboratory in determining the source and severity of a potential malfunction.
http://www.spectroinc.com /products-lasernetfines.htm


$$
\begin{aligned}
& \text { Shape Classes }
\end{aligned}
$$

$$
\begin{aligned}
& \text { - 1 1 * 1 1 1 } \\
& \text { hatry-wfd } \\
& \text { cmectotst } \\
& \text { Intratidn ds } \\
& \text { whrimincmath } \\
& \text { mmommmonn }
\end{aligned}
$$

http://sites.google.com/site/xiangbai/try-large.jpg http://sites.google.com/site/xiangbai/animaldataset

## Computer Vision: MPEG-7




Airplane shape classes: (a) Mirage, (b) Eurofighter, (c) F-14 wings closed, (d) F-14 wings opened, (e) Harrier, (f) F22, (g) F-15.
http://visionlab.uta.edu/shape_data.htm http://www.cis.temple.edu/~latecki/TestData/mpeg7shapeB.tar.gz

## Biometrics



## Concept of Shape



From Galileo (1638) illustrating the differences in shapes of the bones of small and large animals.

## Concept of Shape?

D.G. Kendall [7]:

Definition 1: Shape is all the geometrical information that remains when location, scale and rotational effects are filtered out from an object.


Figure 1: Four copies of the same shape, but under different Euclidean transformations.

## Shape: Definition

An object's shape is invariant under the similarity transformations of translation, scaling and rotation.


Two mouse second thoracic vertebra (T2 bone) outlines with the same shape.

## Shape Definition



## Dryden/Mardia, (Kendall 1977):

Shape is all the geometrical information that remains when location, scale and rotational effects are filtered out from an object.

## Shape Transformation

## Dog to Cat



Symmetry Maps and Transforms For Perceptual Grouping and Object Recognition, Benjamin B. Kimia, Brown

## The Problem of Size and Shape



## Dryden/Mardia (Kendall 1977):

(Sometimes we are also interested in retaining scale information as well as shape):

Size-and-shape is all the geometrical information that remains when location and rotational effects are filtered out from an object.

## Landmarks




Google: Advanced Photoshop Pictures Contest

## Landmarks (Dryden \& Mardia)

- A landmark is a point of correspondence on each object that matches between and within populations.
- An anatomical landmark is a point assigned by an expert that corresponds between objects of study in a way meaningful in the context of the disciplinary context.
- Mathematical landmarks are points located on an object according some mathematical or geometrical property of the figure.



## Landmarks



## W

## Landmarks



## Three landmarks along a line for simple shape comparison



FIGURE 1.2. Side view of skulls. From top to bottom: modern heman, Neanderthal, avstralopithecinc, chimpanzee. The skull profiles are redrawn from Figure 9.59 of [191].

Ch. G. Small, The Statistical Theory of Shape

## Shape and Registration



FIGURE 1.7. Side view of skulls. From top to bottom; modern human, Neanderthal, australopithecsine, champanzee. To the right of each skull is a coordinate grid determined with Thompson's method of coordinates, with the modern human skull as the base image. Reproduced from Figure 3.59 of [131] by kind permission of Hong Kong University Press.

Ch. G. Small, The Statistical Theory of Shape

## Shape and Registration

In the spirit of D'Arcy Thompson (1917) who considered the geometric transformations of one species to another


We conside a shape space obtained directly from the landmark coordinates, which retains the geometry of a point configuration at all stages.

## Shape and Registration

Following from the original ideas of D'Arcy Thompson (1917) we can produce similar transformation grids, using a pair of thin-plate splines for the deformation from configuration matrices $T$ to $Y$.

(a)

(b)

## Shape and Registration



Early transformation grids of human profiles

## Size of Configuration of Landmarks?

A size measure $g(X)$ is any positive real valued function of the configuration matrix such that $g(a X)=a g(X)$ for any positive scalar $a$.

The centroid size is given by $S(X)=\|C X\|=\sqrt{\sum_{i=1 j=1}^{k} \sum_{i j}^{m}\left(X_{i j}-\bar{X}_{j}\right)^{2}}, X \in \mathbb{R}^{\mathrm{km}}$, where $X_{i j}$ is the $(i, j)$ th entry of $X$, the arithmetic mean of the $j$ th dimension is $\bar{X}_{j}=\frac{1}{k} \sum_{i=1}^{k} X_{i j}, C=I_{k}-\frac{1}{k} 1_{k} 1 \frac{T}{k}$ is the centring matrix, $\|X\|=\sqrt{\operatorname{trace}\left(X^{I} X\right)}$ is the Euclidean norm, $I_{k}$ is the $k \times k$ identity matrix and $1_{k}$ is the $k \times 1$ vector of ones.
$S(X)$ is the square root of the sum of squared Euclidean distances from each landmark to the centroid:

$$
S(X)=\sqrt{\sum_{i=1}^{k}\left\|(X)_{j}-\bar{X}\right\|^{2}}
$$

where $(X)_{j}$ is the $j$ th row of $X(j=1, \ldots, k)$ and $\bar{X}=\left(\bar{X}_{1}, \ldots \bar{X}_{m}\right)$ is the centroid.

## Alternative: Baseline Size A Shape Coordinate System

Bookstein coordinates $-\left(u_{j}^{B}, v_{j}^{B}\right)^{T}, j=3, \ldots k$ are the remaining coordinates of an object after translating, rotating, rescaling the baseline to $\left(-\frac{1}{2}, 0\right)$ and $\left(\frac{1}{2}, 0\right)$ so that $u_{j}^{B}=\left\{\left(x_{2}-x_{1}\right)\left(x_{j}-x_{1}\right)+\left(y_{2}-y_{1}\right)\left(y_{j}-y_{1}\right)\right\} / D_{12}^{2}-\frac{1}{2}$,
$v_{j}^{E}=\left\{\left(x_{2}-x_{1}\right)\left(y_{j}-y_{1}\right)+\left(y_{2}-y_{1}\right)\left(x_{j}-x_{1}\right)\right\} / D_{12}^{2}$,
where $j=3, \ldots, k, D_{12}^{2}=\left(x_{2}-x_{1}\right)^{2}+\left(y_{2}-y_{1}\right)^{2}>0$ and $-\infty<u_{j}^{B}, v_{j}^{B}<\infty$

- Widely used in shape analysis for planar data.
- Most straightforward method for a newcomer to shape analysis.
- Experienced shape analysts often use Bookstein coordinates in the first stages of an analysis.


## Bookstein Coordinates






## More General: Equivalence Relationships

Two objects are equivalent ( $x$ 1 ~ x2) if they can be transformed into each other by the following transformation:

$$
\vec{x}_{1}=\alpha * R(\varphi) * \vec{x}_{2}+\vec{t}
$$

$\alpha, R, \vec{t}$ define equivalence relationships:
Properties:

- Reflexivity: $m \approx m$
- Symmetry $m \approx n \rightarrow n \approx m$
- Transitivity $m \approx n \wedge n \approx p \rightarrow m \approx p$

