## 2D Vector Field Simplification Based on Robustness

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## ABSTRACT

Vector field simplification aims to reduce the complexity of the flow by removing features in order of their relevance and importance, to reveal prominent behavior and obtain a compact representation for interpretation. Most existing simplification techniques based on the topological skeleton successively remove pairs of critical points connected by separatrices, using distance or area-based relevance measures. These methods rely on the stable extraction of the topological skeleton, which can be difficult due to instability in numerical integration, especially when processing highly rotational flows. These geometric metrics do not consider the flow magnitude, an important physical property of the flow. In this paper, we propose a novel simplification scheme derived from the recently introduced topological notion of robustness, which provides a complementary view on flow structure compared to the traditional topologicalskeleton-based approaches. Robustness enables the pruning of sets of critical points according to a quantitative measure of their stability, that is, the minimum amount of vector field perturbation required to remove them. This leads to a hierarchical simplification scheme that encodes flow magnitude in its perturbation metric. Our novel simplification algorithm is based on degree theory, has fewer boundary restrictions, and so can handle more general cases. Finally, we provide an implementation under the piecewise-linear setting and apply it to both synthetic and real-world datasets.

**Keywords:** Vector field data, topology-based techniques, flow visualization.

#### **1** INTRODUCTION

Vector fields and their analysis are indispensable for many applications in science and engineering. With the increasing gap between the size and complexity of the vector field data from real-world applications and the limited bandwidth of our visual perception channel, it is more and more challenging for domain experts to interpret their data in detail or as a whole. This challenge is prominent in 2D turbulence flows, where features are everywhere and feature sizes differ by a few orders of magnitude. Vector field simplification aims at reducing the complexity of the flow by removing features in order of their relevance and importance, revealing prominent behavior, obtaining a compact representation for interpretation and giving a consistent and multi-scale view of the flow dynamics.

A considerable amount of research has been focused on vector field simplification based on the notion of a topological skeleton [14, 16]. A topological skeleton consists of critical points connected by special streamlines called *separatrices*, which provides a condensed representation of the flow by dividing the domain into regions of uniform flow behavior. However, existing simplification techniques rely on the stable extraction of the topological skeleton,

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which can be difficult due to instability in numerical integration, especially when processing highly rotational flows, e.g. Figure 1. Furthermore, the distance and area-based relevance measures that are commonly used to determine the cancellation ordering of critical points typically rely on geometric proximities and do not consider the flow magnitude, an important physical property of the flow.

In this paper, we propose a new vector field simplification scheme derived from the recently introduced notion of *robustness*. Robustness, a notion related to *persistence* [10, 20], is used to represent the stability of critical points and assess their significance with respect to perturbations of the vector field. Intuitively, the robustness of a critical point is the minimum amount of perturbation, with respect to a metric encoding flow magnitude, that is required to cancel it within a local neighborhood. Our contributions are:

- We propose a new simplification strategy based on robustness. It is hierarchical and enables the pruning of sets of critical points according to a quantitative measure of their stability.
- By comparing with the distance-based metric, our method provides a complementary view to topological-skeleton-based simplification. It does not require the topological skeleton nor heuristic parameters, while providing comparable simplification results for typical scenarios. Furthermore, the method can handle more general situations and the simplification can be computed efficiently based on sublevel sets for large, complex datasets when separatrices are difficult to integrate.
- Our strategy is built on a novel simplification algorithm based on degree theory. The algorithm can remove critical points in any connected sub-region of the vector field whose degree is zero. It can handle more general boundary configurations without requirements on the Conley Index. We provide an implementation under the piecewise-linear (PL) setting, and apply it to a number of synthetic and real-world datasets.

We *do not* intend to show that the robustness-based method is necessarily better than topological-skeleton-based methods across all scenarios. In the typical situation involving pairs of critical



Figure 1: Topological skeleton: Sinks (and saddle-sink separatrices) are red, sources (and saddle-source separatrices) green, and saddles blue. (a) A highly rotational flow field where the pointed critical points are close to Hopf-bifurcations. Numerical inaccuracies may accumulate during integration and separatrices may intersect or switch. (b)-(c) Instability of separatrices under a small perturbation: The upper right sink is not connected with the saddle on the left in (b), but is after a small perturbation in (c).

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points connected by separatrices, they offer comparable visual results. Rather, the robustness-based method can handle more general situations, is scalable, and gives a novel, mathematically rigorous hierarchical simplification scheme. Our method finds, in the space of all vector fields, the one that is closest to the original vector field with a particular set of critical points removed, according to a metric based on the  $L_{\infty}$  norm (the maximum point-wise modification to the vector field). Our results are optimal in this norm, that is, there exists no simplification with a smaller perturbation.

#### 2 RELATED WORK

Vector field simplification can be classified into topology-based and non-topology-based techniques [32]. Non-topology-based techniques typically focus on Laplacian smoothing of the potential of a vector field [18, 25, 31]. Topology-based techniques modify the vector field topology explicitly by merging or cancelling nearby critical points based on the notion of a topological skeleton [5, 14, 16, 32]. De Leeuw and Van Liere [7, 8] made use of a geometry-based relevance measure (e.g. with respect to distance or area proximity) to determine the pair of critical points to be cancelled. Tricoche et al. [26] focused on a piecewise analytic description for the simplified field, which was later extended to timedependent 2D flows [28]. Theisel et al. [24] presented a topologypreserved compression and simplification of vector fields. Zhang et al. [32] introduced a framework for fixed point pair cancellation based on Conley index theory. Chen et al. [5] extended this idea to include periodic orbits and presented a more complete pairwise cancellation framework. Recently, Chen et al. [4, 6] introduced a multi-scale hierarchy of the vector field topology based on the Morse Connection Graph (MCG) computed from Morse decomposition [6]. This was extended to address piecewise constant vector fields by Szymczak el al. [23, 22]. Such representations could be used to simplify vector fields by iteratively merging pairs of Morse sets that are adjacent in the MCG. The order of the pairs for cancellation depends only on the geometric characteristics of the Morse sets, i.e., the pairs that lead to smaller merged Morse sets will be cancelled or merged first. Weinkauf et al. [30] introduced a topological simplification technique for 3D vector fields based on the extraction of higher-order critical points. The simplification is assisted by a derived auxiliary 2D vector field on a closed surface surrounding each higher-order critical point.

Simplifications have also been proposed in a combinatorial setting [19, 21]. Edelsbrunner et al. [9, 10] performed pair cancellation on scalar fields defined on surfaces by changing the values of the scalar function near the fixed point pair. This is equivalent to simplifying the gradient vector field of the scalar function. Finally, scale space techniques [15, 20] have also been proposed to assess the importance of a critical point for topology-based simplification.

Robustness is closely related to the notion of persistence [10]. While persistence has been used successfully for scalar field visualization, robustness, first introduced in [11], is specifically designed for vector-valued data [3, 12]. Recent work [29] assigns robustness to critical points in both stationary and time-varying settings, and obtains a structural description of the vector field. Such a structural description implies the existence of a hierarchical simplification strategy based on robustness, which is the focus of this paper.

In general, topology-based simplification techniques pair the topological features for cancellation via the computation of separatrices, which can be numerically unstable [6]. In contrast, the proposed robustness-based method does not require this computation and, thus, is insensitive to numerical error. The simplification hierarchy obtained from topology-based methods is typically invariant to scaling (multiplying the vector field with a scalar field), while our technique is sensitive to the change of vector field magnitude as it directly corresponds to our perturbation metric (Section 3). The robustness-based method achieves comparable results to



Figure 2: Figure adapted from [29]. Suppose the vector field is continuous, where sinks are red, source are green and saddles are blue. From left to right: vector fields f, relations among components of  $\mathbb{F}_r$ , and augmented merge trees. f contains four critical points, a sink  $x_1$ , a source  $x_3$ , and two saddles  $x_2$  and  $x_4$ . We use  $\beta$ ,  $\gamma$ ,  $\omega$  etc. to represent components of certain sublevel sets.

the topology-based simplification and can handle more challenging cases where the topology-based methods may fail (Section 5).

#### 3 BACKGROUND

We provide relevant background in degree theory and robustness by reviewing previous work [3, 29] with minimal algebraic definitions and illustrating the related concepts through an example (Figure 2 adapted from [29]). We also provide introductory descriptions of isolating neighborhoods and Laplacian smoothing [5, 32].

**Degrees.** For a critical point x in 2D, its degree deg(x) equals its (Poincaré) index, that is, the number of field rotations while traveling along a closed curve centered at x counter-clockwise. Sources, sinks, centers, and saddles have indices +1, +1, +1 and -1, respectively. Furthermore, for a (path-)connected component C enclosing several critical points, its degree deg(C) is the sum of the respective degrees of those critical points [3]. For our robustnessbased simplification strategy, we rely on a corollary of the Poincaré-Hopf theorem (which is also employed by topological-skeletonbased simplification, e.g. [27]), which states that if a connected component C in 2D has degree zero, then it is possible to replace the vector field inside C with a vector field free of critical points. Merge tree. To analyze a continuous 2D vector field  $f : \mathbb{R}^2 \to \mathbb{R}^2$ , we define a corresponding scalar function (referred to as the flow magnitude function)  $f_0: \mathbb{R}^2 \to \mathbb{R}$  which assigns for each point the magnitude (Euclidean norm) of the corresponding vector,  $f_0(x) =$  $||f(x)||_2$ . We use  $\mathbb{F}_r = f_0^{-1}(-\infty, r]$  to denote the *sublevel set* of  $f_0$  for some  $r \ge 0$ .  $\mathbb{F}_0$  is precisely the set of critical points of f.

Increasing r from 0, the space  $\mathbb{F}_r$  evolves and we can construct a graph that tracks the (connected) components of  $\mathbb{F}_r$  as they appear and merge. This is called a merge tree (or join tree as described in [1]). The root represents the entire domain of  $f_0$  while the leaves represent the creation of a component at a local minimum. An internal node represents the merging of two or more components. We further record an integer at each node which is the degree of the corresponding component in the sublevel set, and refer to the result as an augmented merge tree. An initial computation of the degrees of critical points is sufficient to determine the degree of any component of any sublevel set by computing the sum of the degrees of the critical points lying in it [3]. An example is shown in Figure  $2^1$ . The merge tree on the right shows how the components of the sublevel sets  $\mathbb{F}_r$  evolve. At r = 0 there are four components that correspond to the four critical points, each with non-zero degree. At  $r = r_1$ , components that contain  $x_1$  and  $x_2$  merge into a single component  $\beta_1$  which has zero degree. When  $r = r_2$ , components  $\beta_1$  and  $\beta_2$ merge into a single component  $\gamma_1$  with degree +1, while  $\beta_3$  grows into  $\gamma_2$ . Finally at  $r = r_3$ , the single component  $\omega_1$  has zero degree. Static robustness and its properties. The (static) robustness of a critical point is the height of its lowest degree zero ancestor in the merge tree [2, 29]. The static robustness quantifies the stability

<sup>&</sup>lt;sup>1</sup>We do not show any components which appear after r = 0 as they have zero degree and do not correspond to critical points of the vector field.

of a critical point with respect to perturbations of the vector fields through the following lemmas explicitly stated in [29].

We first define the concept of *perturbation*. Let  $f, h : \mathbb{R}^2 \to \mathbb{R}^2$  be two continuous 2D vector fields. Define the distance between the two mappings as  $d(f,h) = \sup_{x \in \mathbb{R}^2} ||f(x) - h(x)||_2$ . A continuous mapping *h* is an *r*-perturbation of *f*, if  $d(f,h) \leq r$ .

**Lemma 3.1 (Critical Point Cancellation [29])** Suppose a critical point *x* of *f* has robustness *r*. Let *C* be the connected component of  $\mathbb{F}_{r+\delta}$  containing *x*, for an arbitrarily small  $\delta > 0$ . Then, there exists an  $(r+\delta)$ -perturbation *h* of *f*, such that  $h^{-1}(0) \cap C = \emptyset$  and h = f except possibly within the interior of *C*.

#### Lemma 3.2 (Degree & Critical Point Preservation [29])

Suppose a critical point x of f has robustness r. Let C be the connected component of  $\mathbb{F}_{r-\delta}$  containing x, for some  $0 < \delta < r$ . For any  $\varepsilon$ -perturbation h of f where  $\varepsilon \leq r - \delta$ , the sum of the degrees of the critical points in  $h^{-1}(0) \cap C$  is deg(C). If C contains only one critical point x, we have deg $(h^{-1}(0) \cap C) = \text{deg}(x)$ . That is, x is preserved as there is no  $\varepsilon$ -perturbation that could cancel it.

Revisiting the example in Figure 2, the robustness of the critical points  $x_1$ ,  $x_2$ ,  $x_3$ , and  $x_4$  are  $r_1$ ,  $r_1$ ,  $r_3$ , and  $r_3$ , respectively. Since the robustness of  $x_3$  is  $r_3$ , for any  $\delta > 0$ , we consider a component  $C \subseteq \mathbb{F}_{r_3+\delta}$  that is slightly larger than  $\omega_1$  and contains  $x_3$  (in fact,  $\omega_1$  contains all four critical points). Lemma 3.1 implies the existence of an  $(r_3 + \delta)$ -perturbation that cancels  $x_3$  by locally modifying the component *C*. Now consider another component  $C' \subseteq \mathbb{F}_{r_3-\delta}$  where  $r_2 < r_3 - \delta < r_3$ , then *C'* has degree +1. Lemma 3.2 states that any  $(r_3 - \delta)$ -perturbation preserves the degree of *C'*.

**Isolating neighborhood and Laplacian smoothing.** Previously, topology-based simplification focuses on cancelling pairs of critical points that are connected by separatrices. Zhang et al. [32] and Chen et al. [5] propose to compute an *isolating neighborhood* surrounding a pair of critical points, where a critical-point-free vector field can be found by solving a constrained optimization problem, referred to as a vector-valued *Laplacian smoothing* [32].

Based on Conley index theory, every boundary point of an isolating neighborhood can be classified as either an *entrance* or *exit* point. If an isolating region C in the domain contains multiple critical points and has trivial Conley index, the flow inside C can be replaced with a new field free of critical points [32]. A typical situation for C to have a trivial Conley index is when its boundary  $\partial C$  consists of a single inflow and a single outflow component. As shown in the later examples, such an isolating neighborhood is not always easy to construct. The robustness-based method has no such constraint and only requires the degree of C to be zero.

#### 4 ROBUSTNESS-BASED SIMPLIFICATION ALGORITHMS

In robustness-based simplification, we first locate sets of critical points that share the lowest zero-degree ancestors in the merge tree and sort them based on their robustness values. For each set with a common robustness r, we compute the corresponding component of the sublevel set  $C \subseteq \mathbb{F}_r$ . Since by construction deg(C) = 0, our strategy can simplify C, whereas the distance-based strategy requires an isolating neighborhood with trivial Conley index.

## 4.1 Preliminary

First we introduce the relevant constructions in a smooth setting, and then translate the corresponding language into the PL setting.

Given a 2D vector field restricted to a degree-zero component *C*,  $f: C \to \mathbb{R}^2$ , we define the *image space* of *C*, im (*C*). For each point  $p \in C$ , we have a vector  $v_p = f(p) \in \mathbb{R}^2$ . im (*C*)  $\subset \mathbb{R}^2$  is constructed by mapping *p* to its vector coordinates  $v_p$ . The origin in im(*C*) corresponds to the critical points (0 vectors) in *C*. Since  $C \subseteq \mathbb{F}_r$ , it follows that  $\forall p \in C$ ,  $||v_p||_2 \leq r$ , therefore im(*C*) is contained within a disc of radius *r* in  $\mathbb{R}^2$ . We denote the boundary of this disc by *S*.



Figure 3: (a)-(b): Illustrative examples for uncovered (a) and covered (b) boundaries of im(C). (c): A component and its image space with a few mappings highlighted.

Now suppose the boundary of *C*, denoted as  $\partial C$ , is a simple closed curve<sup>2</sup>. Note that the above maps  $\partial C$  to *S*, obtaining the image, im ( $\partial C$ ). We refer to the boundary of im (*C*) as *uncovered*, if im ( $\partial C$ )  $\subset$  *S*, otherwise, as *covered*. Figures 3(a)-(b) illustrate these concepts. Note that both examples have zero degree. In 3(a), the region *C* encloses a saddle-sink pair connected by a separatrix. By traversing counter-clockwise along  $\partial C$  and observing how its image im ( $\partial C$ ) wraps around *S*, we see that the boundary of im (*C*) is uncovered. In 3(b), the region *C* encloses a saddle-sink pair not connected by separatrix and the boundary of im (*C*) is covered.

In the PL setting, the vector field f is restricted to a triangulation K of C,  $f: K \to \mathbb{R}^2$ , where the support of K, |K| = C. We construct the image of C by mapping each vertex  $p \in K$  to its vector coordinates  $v_p = f(p)$ . Through linear interpolation, this also maps edges and triangles in K to edges and triangles in im(C) as illustrated in Figure 3(c). The concept of *covered* and *uncovered* boundaries of im(C) can be defined similarly up to a small additive constant.

## 4.2 Algorithm Overview

Our simplification strategy consists of four operations:

- **Smoothing**(*C*): Perform Laplacian smoothing on *C*;
- **Cut**(*C*): Deform the vector field in its image space im(*C*) to remove critical points in *C*;
- **Unwrap**(*C*): Modify the vector field in its image space im (*C*) so part of its boundary is uncovered;
- **Restore**(*C*): Set the boundary to its original value.

There are three cases which are classified by the Conley index of *C*, denoted as  $CH_*(C)$ . The operations to simplify each case are: (a) If  $CH_*(C)$  is trivial, return  $C_1 =$ **Smoothing**(*C*).

- (b) If  $CH_*(C)$  is non-trivial and the boundary of im(C) is uncovered, then  $C_1 = Cut(C)$ , and return  $C_2 = Smoothing(C_1)$ .
- (c) If  $CH_*(C)$  is non-trivial and the boundary of im(C) is covered, then  $C_1 = Unwrap(C)$ ,  $C_2 = Cut(C_1)$ ,  $C_3 = Restore(C_2)$  and return  $C_4 = Smoothing(C_3)$ .

By construction, deg(C) = 0 in all three cases. Indeed,  $deg(C) \neq 0$  is a sufficient condition such that there exists no simplification.

#### 4.3 Algorithm Details

We describe the **Cut** and **Unwrap** operations in detail and discuss the maximum amount of perturbation needed due to these operations. **Smoothing** is only used to achieve visually appealing results. **Cut operation.** Suppose the boundary of im(C) is uncovered. The idea behind the **Cut** operation is to deform im(C) such that there is a small neighborhood surrounding the origin that is not covered by im(C). This corresponds to the situation where there is no critical point in *C* after the deformation. As shown in Figure 5(left), we

<sup>&</sup>lt;sup>2</sup>This is not needed, but it simplifies the algorithm and exposition.



Figure 4: (a)-(b) Locating a cut point for the **Cut** operation: (a) Track the angle (a.k.a. phase) of a point in  $im(\partial C)$  along *S* as we move along  $\partial C$  counter-clockwise. (b) The corresponding phase plot (a.k.a. angle-valued function) is shown in blue. The result of phase-unwrapping is shown in red. (c)-(e) Locating an unwrap point in **Unwrap** operation: (c) Track the angle of a point in  $im(\partial C)$  along *S* as we move along  $\partial C$  counter-clockwise. (d) The corresponding angle-valued function (shown in blue), the result of phase-unwrapping (shown in red), and the optimal unwrap point  $c^*$  corresponding to phase  $\phi^*$ . (e) The modified boundary of im(C) (shown in purple) which becomes uncovered.



Figure 5: Cut operation. Left: The projection of edges which intersect  $\ell$  during the Cut operation. Right: After Cut, the light blue region represents im (*C*) which no longer contains (covers) the origin and so is critical point free.

choose a point  $c^*$  on the uncovered part of the circle S (this point is referred to as the *cut point*) and define the line  $\ell$  as the line segment beginning at the origin O and terminating at  $c^*$ . Define another line  $\ell'$  which is orthogonal to  $\ell$  and is  $\varepsilon$  away from the origin. The point  $s \in \ell'$  is at a distance  $\varepsilon$  from the origin. Next, we find all the mesh edges  $v_p v_q$  (corresponding to the edge pq in K) in the interior of im(C) that intersect with the line  $\ell$ , and project their end points onto  $\ell'$ , forming the projected edge  $v'_p v'_q$ . In the original domain, the vectors at  $p,q \in K$  are deformed from  $v_p$  and  $v_q$  to the vectors  $v'_p$  and  $v'_q$  respectively. Third, we locate all the mesh edges  $v_x v_y$ where  $x \in \partial C$  (and so  $v_x$  is on the boundary of im(C) and  $v_y$  is in the interior). We move the point  $v_v$  to s so that the edge  $v_x v_y$  no longer crosses  $\ell$  and the boundary vector remains unchanged. Since the boundary of im(C) is uncovered, there is no edge that intersects  $\ell$  whose end points are both located on the boundary of im (C) (i.e. whose corresponding points are both in  $\partial C$ ). This operation creates an empty wedge around the origin (Figure 5 right), which ensures that there is no critical points in C after the modification. By construction, the amount of perturbation is less than  $r + \varepsilon$ . When doing Laplacian smoothing, we keep the projected vertices (end points of the cut edges) fixed to ensure that the origin is not recovered.

The procedure to find a cut point  $c^*$  is shown in Figure 4(a)-(b). In (a), by traversing counter-clockwise along  $\partial C$  and observing how its image im ( $\partial C$ ) (blue curve) wraps around *S*, we define the angle  $\theta$  of a point along *S* to be its *phase*. In (b), we showcase (in blue) the corresponding phase plot (a.k.a. angle-valued function), that is, a function  $h: \partial C \to \theta$  where  $\theta \in [-\pi, \pi]$ . Traversing  $\partial C$  again, we can use *phase-unwrapping* to give us a continuous function  $\varphi$ :  $\partial C \to \phi$  for  $\phi \in \mathbb{R}$  (shown in red) using the following equation

$$\varphi(i) = \lfloor \theta(i) - \varphi(i-1) + \frac{1}{2} \rfloor + \theta(i)$$

Since the boundary of im(C) is uncovered, it follows that  $\max_{\partial C}(\varphi) - \min_{\partial C}(\varphi) < 2\pi$ . We set the cutting angle  $\phi^*$  as the mid-point of the uncovered part and the corresponding cut point is

$$\phi^* = \frac{1}{2} \left( \max_{\partial C}(\varphi) + \min_{\partial C}(\varphi) \right) + \pi, \qquad c^* = (r \cos \phi^*, r \sin \phi^*),$$

where *r* is the robustness parameter of the sublevel set (and the radius of the disk *S*, where im  $(C) \subset S$ ). By using the phase parameter  $\theta$ , we do not need to worry about PL effects when computing  $c^*$ .

**Unwrap operation.** If the boundary of im(C) is covered, we must first **Unwrap** the boundary before we perform the **Cut** procedure. The **Unwrap** operation is divided into the steps illustrated in Figure 4(c)-(e). Similarly to the *cut point*, we determine the optimal *unwrap point*. As before, we traverse  $\partial C$  and compute a phase plot  $h : \partial C \to \theta$ , unwrapping the phase to obtain a continuous function  $\varphi : \partial C \to \phi$  (Figure 4(c)-(d)). The unwrapping point  $\phi^*$ , is

$$\phi^* = \frac{1}{2} \left( \max_{\partial C}(\phi) + \min_{\partial C}(\phi) + 2n\pi \right),$$

where *n* is the smallest integer such that  $|\min(\theta) + 2n\pi - \max(\theta)| < \pi$ , and  $c^* = (r\cos\phi^*, r\sin\phi^*)$ . To **Unwrap** the boundary, let  $X \in \partial C$  be the set of points on the boundary such that  $\phi(X) > \phi^* - \delta$ , and  $Y \in \partial C$  be the set of points that  $\phi(Y) < \phi^* + \delta - 2n\pi$ . As illustrated in Figure 4(e), to **Unwrap** we set

$$\begin{aligned} \phi(X) &= \phi^* - \delta, & \phi(Y) &= \phi^* - 2n\pi + \delta, \\ v_x &= \begin{pmatrix} r\cos(\phi(x)) \\ r\sin(\phi(x)) \end{pmatrix} & x \in X, & v_y &= \begin{pmatrix} r\cos(\phi(y)) \\ r\sin(\phi(y)) \end{pmatrix} & y \in Y, \end{aligned}$$

where *r* is the magnitude of the vectors on the boundary (e.g. the sublevel set parameter). The final step is to **Restore** the boundary to its original values, which covers the boundary but keeps the origin uncovered. As in case (b), the deformation is bounded by  $r + \varepsilon$ . We omit the proof, but the key observation is that internal nodes move less than  $r + \varepsilon$ , while the boundary has the original values.



Figure 6: SyntheticA. (a) The original vector field, sinks are red, sources are green and saddles are blue. (b) The topological skeleton, saddle-sink separatrices are red, saddle-source separatrices are green. (c)-(d) 1st level simplification: before (c) and after (d) **Smoothing**. (e)-(f) 2nd level simplification: before (e) and after (f) **Smoothing**.

## 4.4 Synthetic Examples

We illustrate our robustness-based simplification strategy on three PL synthetic examples, highlighting the three different cases.

SyntheticA (Figure 6) corresponds to the example in Figure 2. It involves pairs of critical points connected by separatrices. At  $r_1$ , we have a component which contains critical points  $x_1$  and  $x_2$  and



Figure 7: SyntheticB. (a) the original vector field with its topological skeleton. (a)-(b): Single level simplification before (a) and after (b) by **Cut** and **Smoothing**. (c) Only applying **Smoothing** does not make the region a critical point free field.



Figure 8: SyntheticC. (a) the original vector field with topological skeleton. (b)-(c) Before (b) and after (c) simplification by combining **Unwrap**, **Cut** and **Smoothing**.

at  $r_3$  we have a component which contains all four critical points  $x_1$  to  $x_4$ . The simplification hierarchy involves two steps ranked by robustness values: first  $x_1$  and  $x_2$  are simplified then  $x_3$  and  $x_4$ . Since both components (marked by yellow boundary) have trivial Conley index, this corresponds to case (a), where only **Smoothing** operations are needed. SyntheticB (Figure 7) involves a group of four critical points which are interconnected by separatrices, which could be simplified in a single level using robustness-based strategy. Since the component of interest has non-trivial Conley index, directly applying Laplacian smoothing fails (as shown in Figure 7(c)). The component's boundary is uncovered, so we apply case (b) of our simplification by combining **Cut** with **Smoothing**.

SyntheticC (Figure 8) corresponds to case (c) of our algorithm. This is an untypical case involving a pair of critical points not directly connected by a separatrix. In this case, the component of interest C has non-trivial Conley index, and the boundary of its image is covered. The robustness-based strategy cancels the critical point pair without any issue by combining **Unwrap**, **Cut** and **Smoothing** operations. We further focus on this example by illustrating the image space of C, im(C), during various steps of simplification in Figure 9. In Figure 9(a), the entire boundary and disk are covered. However, from the left phase plot in Figure 10, we can see that the degree is 0. Once the optimal unwrapping point is computed, we perform the Unwrap operation, giving the right phase plot in Figure 10 and the image space in Figure 9(b), leaving the boundary S uncovered. The effect of the Cut operation in image space is shown in Figure 9(c), creating a void surrounding the origin. Lastly, in Figure 9(d), the boundary is restored for the final output.



Figure 9: SyntheticC. The image space is shown through the different steps: (a) original, (b) after **Unwrap**, (c) after **Cut** and (d) final output after **Restore**.

#### 5 RESULTS

We demonstrate our robustness-based simplification strategy on a number of real-world datasets. When possible, we compare our method with distance-based simplification. The first real-world dataset we explore is the top layer of a 3D simulation of global oceanic eddies [17] for 350 days of the year 2002. The 2D time-varying vector field has resolution  $3600 \times 2400$ . We extract tiles



Figure 10: SyntheticC. Left: The phase plot, original version (blue) and the phaseunwrapped version (red). Right: The phase plot with optimal unwrap point (orange) and the modified phase plot with boundary uncovered (purple).

representing the flow in the central Atlantic Ocean  $(60 \times 60)$  and construct standard triangulation on the point samples. We select multiple time slices from this data: OceanA contains slices #21217 and #21311; OceanB and OceanC correspond to slices #20904 and #20821, respectively; OceanD includes a time-varying sequence of slices from #20710 to #20715. Our second real-world dataset is a 2D time-varying vector field simulation of homogeneous charge compression ignition (HCCI) engine combustion [13] represented as a 640 × 640 regular grid with a periodic boundary. The data consists of 299 time-steps at intervals of  $10^{-5}$  seconds. We selected slice #173 from this data, referred to as the Combustion dataset.

### 5.1 Topologically Equivalent Scenarios

In many scenarios, our approach produces topologically equivalent and visually comparable results to the distance-based approach, such as for the OceanB dataset (Figure 11(a)). The critical point pairs of interest are highlighted by the black dashed boxes in the top row left. The critical points are colored by their robustness values (red-low, blue-high). The upper right pair is more robust than the lower middle pair and is further apart. The simplification results generated by distance-based and the robustness-based approaches are shown in the second and third row, respectively. The approximated isolating neighborhoods are highlighted by the white boxes (middle row), while the sublevel sets the yellow enclosure (bottom row). From the comparison, we observe that: First, both the distance and robustness metrics generate the same pairs of critical points; Second, the simplification orderings determined by these two metrics agree. A subtle difference in the resulting vector fields is visible due to the different local regions determined by the two metrics and different algorithms for modifications.

OceanA dataset (Figure 12 (a)-(b)) shows a more complex scenario where the region encloses more than two critical points. The vector fields in this example are from slices #21217 and #21311. Each of these two clusters (highlighted by the black dashed boxes in Figure 12(a)-(b)) consists of four critical points that are close in distance and have small identical robustness values. The robustness metric groups them as one cluster automatically and computes a region based on their sublevel set. The bottom row of Figure 12(a)-(b) provides the simplification results using the algorithm introduced in Section 4. Although the distance-based method cannot group these four critical points in one simplification, for comparison we compute an isolating neighborhood that encloses them and apply Laplacian smoothing. Both methods are shown to return similar results. Nevertheless, the robustness-based method can handle regions with more complex boundary configurations.

#### 5.2 Inconsistent Hierarchical Scenarios

We also identified a number of scenarios where the distancebased and robustness-based methods disagree. One example is the OceanC dataset (Figure 11(b)). Here, two pairs of critical points are studied (highlighted in the top row of Figure 11(b)). Even though the pairing of these four critical points is consistent with both metrics, their actual simplification orderings are different. The distance-based method cancels the pair in the middle-right of the domain first, while the robustness-based method cancels the lowermiddle pair first. Figure 13 provides another example that shows



Figure 11: (a) The OceanB dataset. (b) The OceanC dataset. For each subfigure: Top Row: Left – shows robustness values with the region of interest highlighted, robustness values are colored from red to white, where red means low and white means high robustness; Right – shows the vector field marked by critical point types along with separatrices. Middle Row: the two-step hierarchical simplification based on distance. Bottom Row: the two-step hierarchical simplification based.



Figure 12: The OceanA dataset: (a) #20311; (b)-(c) #21217. (a)-(b) For each subfigure, Top Row: Left – shows robustness values with region of interest highlighted; Right – shows the vector field marked by critical point types and its topological skeleton. Bottom Row: results after distance-based (left) and robustness-based simplifications(right). (c) A region (yellow boundary) with non-trivial Conley index and uncovered boundary (top), where smoothing does not remove its critical points (bottom).

the discrepancy of the two approaches in determining the simplification ordering of critical point pairs in the time-varying setting. In this example, we look at consecutive time steps from the OceanD dataset. Figure 13(a) highlights the critical points of interest. The pairings of these four critical points again agree with each other using both topological-skeleton and robustness metrics. We perform a per-slice simplification using the two approaches. The results are shown in the second (distance-based) and third (robustness-based) columns in (b)-(c), respectively. From the results, we see that the cancellation orderings change over time using the distance-based metric. This is due to an increased distance between the two critical points near the upper-right corner, resulting in a change of the simplification order. On the other hand, the robustness for these two pairs are stable. Therefore, the robustness-based simplification returns a consistent outcome in this example.

## 5.3 Challenging Scenarios

There are a number of cases where the topological-skeleton based metric combined with the Laplacian smoothing technique is incapable of simplifying the given vector field. For example, for the SyntheticB dataset shown in Figure 7, it is impossible to find an isolating neighborhood with trivial Conley index that encloses all the critical points due to the boundary condition. Therefore, even though the obtained local region is guaranteed to be zero-degree, Laplacian smoothing fails to solve for a critical point free field. On the other hand, the simplification algorithm introduced in Sec-



Figure 13: The OceanD dataset. (a) A sampled time series with pairs of critical points highlighted, where white numbers indicate time stamps. (b) #21710. (c) #21715. For each subfigure (b)-(c), Top Row: The original vector field (left) and with (right) the separatrices. Middle Row: The simplification ordering for the distance-based strategy. Bottom Row: The simplification ordering for the robustness-based strategy. Orderings for distance and robustness-based methods are consistent in (b) and different in (c).



Figure 14: The Combustion dataset. The bottom-up hierarchical simplifications (Top) from the distance-based strategy and (Bottom) from the robustness-based strategy.

tion 4 successfully simplifies the field. A similar situation occurs in Figure 12(c) (OceanA slice #21217). In this example, we try to apply Laplace smoothing in the local region computed based on robustness (top). The boundary configuration of this region is rather complex and does not satisfy trivial Conley index. The Laplacian smoothing based on this boundary configuration fails (bottom), while the proposed simplification method succeeds. These two examples showcase the utility of the proposed algorithm in solving a critical point free field within any given regions with zero degree. This relieves the requirement of the trivial Conley index whose corresponding isolating neighborhood is sometimes difficult to obtain.

Figure 8 shows a non-typical case that involves the cancellation of a pair of critical points not directly connected by separatrix. It is impossible for the topological-skeleton-based method to compute an isolating neighborhood that encloses two critical points (but not the others) not connected by separatrix [5]. Nonetheless, the robustness metric derives a local region that encloses only these two critical points with total degree equal to zero under certain configuration of the flow magnitude. Hence, these two critical points may be cancelled.While this may rarely occur in the real-world data, it illustrates the flexibility and generality of the proposed method. In practice, a simpler but similar situation may occur.

In the slice of the combustion data (Figure 14) the simplification results and hierarchies, of the distance-based metric (first row) and the robustness-based metric (second row), do not agree. The corresponding vector field is a high resolution incompressible flow. Conventional topological-skeleton-based methods are potentially difficult to apply, as the separatrices either do not exist or require many integration steps before reaching the sink/source-like critical points, making pairing the critical points challenging. A standard solution in 2D flow is to compute the skeleton of the vector field rotated by 90 degrees [32]. While this approach may work well for 2D fields,

it is not straightforward in the 3D case. In addition, computing a topological-skeleton for the dual vector field and deriving the subsequent isolating neighborhood for a given pair is computationally expensive. In contrast, the robustness-based method does not require the computation of topology, and its computation is fast and parallelizable, making it more practical for large datasets.

## 6 DISCUSSIONS

We have presented a new and complementary simplification framework which does not depend on the topological skeleton but incorporates topological information through *robustness*. Rather than considering the geometric proximity of critical points, we consider the minimum perturbation required to remove critical points. Our algorithm comes with theoretical guarantees on the amount of perturbation we introduce. The motivation for Laplacian smoothing is to produce more visually appealing results. However, to the best of our knowledge, no non-trivial bounds exist on the amount of perturbation introduced by such a smoothing. In practice, smoothing only marginally increases the amount of perturbation <sup>3</sup>.

**Scalability:** Our method should scale to very large datasets. The robustness computation and the simplification steps (e.g. **Cut** and **Unwrap**) run in linear time in the size of the mesh. For example, for a region of 21k vertices and 64k edges, **Cut** required 2 seconds in MATLAB and 0.03 seconds in C++.

**Generality:** The simplification procedure only requires that the degree of the boundary be zero and so applies to a wide range of cases. It can deal with highly rotational data (e.g. centers) as well as cases where critical points are not connected by separatrices.

**Other metrics:** We use robustness and the  $L_{\infty}$  norm (the maximum over the domain), however using other metrics such as the  $L_2$ -norm which incorporates both the magnitude of the vectors and the area to capture a quantity closer to the energy of a perturbation would be interesting. The simplification only requires degree-zero components and any metric could be used to construct a hierarchy. It is an open question to find zero-degree regions under different metrics.

**Time-varying and 3D vector fields:** The main challenge in simplifying time-varying 2D vector fields is to achieve consistency across time-slices, e.g. obtaining critical points correspondences at a given simplification level. Finally, the prospect of extending our framework to 3D vector fields is promising. While there remain technical obstacles, certain operations (such as cutting and smoothing) in our pipeline readily extend to higher dimensions. This, however, is beyond the scope of this paper but will be addressed in future work.

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<sup>3</sup>See the supplementary material for the detailed perturbation measurements and performance numbers.

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# Supplemental Material: 2D Vector Field Simplification Based on Robustness

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The sampled running time of the various operations used in simplifying the vector fields based on our approach are shown in Table 1.

Dataset Name	Tile #	Region	# of vertices	# of edges	Reading input (sec)	Smoothing (sec)	Cut (sec)
OceanC	20904	(1,6)	120	285	0.007	0.647	0.014
		(8,9)	85	212	0.005	0.521	0.010
Synthetic		SyntheticC	1318	3767	0.100	19.993	0.195
Combustion	173	(10,13)	21662	64035	0.273	166.723	2.007
		(0,3)	1694	4852	0.020	0.1031	0.151
		(5,8)	3949	11474	0.084	0.423	0.542
		(18,20)	1106	3091	0.019	0.070	0.108

Table 1: The running time of the various operations used in simplifying the vector fields. For comparison, we show the time taken to load as inputs the respective vector fields. The code is implemented in MATLAB and the running time is given in seconds. The main bottleneck in the computation is often Laplacian smoothing, whose running time is highly dependent on the chosen parameters. The values shown are obtained with identical parameters to the ones which are used for the results in the paper. Finally we note that in a more recent C++ implementation of the same algorithm, the times have been reduced by at least an order of magnitude and could be further improved with a more optimized implementation.

The simplification algorithm comes with theoretical guarantees on bounding the amount of perturbation we introduce, whenever cutting and/or unwrapping is used. The main motivation for introducing Laplacian smoothing is to produce more visually appealing results. As shown in Figure 1(b), the cutting procedure alone gives a correct, continuous but not visually appealing simplification result, compared to the vector field with Laplacian smoothing in Figure 1(c). To further describe the amount of perturbation we introduce in practice for both our synthetic and real-world datasets, we include Table 2 below. In practice, the addition of Laplacian smoothing does increase the amount of perturbation but not significantly. For most datasets, the total amount of perturbation after **Cut** alone (the 5th column), as well as combining **Cut** and **Smoothing** (the 6th column) is roughly upper-bounded by the robustness of the critical points (e.g. the maximum magnitude of the vector field in the region of interest, the 7th column), as indicated by a radio below 1.



Figure 1: syntheticB. (a) the original vector field with its topological skeleton. (b) Simplification result by Cut only (without smoothing). (c) Simplification result by Cut and Smoothing.

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Dataset Name	Tile #	Region	Smoothing	Cut	Cut and Smoothing	Max Magnitude
OceanA	20311	(6,9)	0.8863	0.4547	0.7162	4.2849
	21217	(8,9)	0.5902	0.5598	0.5727	5.0136
OceanB	20821	(2,4)	0.2869	0.2409	0.2409	8.0435
	20021	(6,7)		0.5432	0.5432	6.3153
OceanC	20904	(1,6)	0.1744	0.3095	0.3095	8.3236
		(8,9)	0.2740	0.5080	0.5080	9.8286
OceanD	20710	(4,5)	0.7971	0.5296	0.6801	8.3474
	20/10	(7,8)	0.3533	0.1923	0.2830	6.7341
	20715	(5,7)	1.2277	0.7006	0.9239	8.0544
		(8,9)		0.5040	0.5040	10.7337
		SyntheticA	1.0744	1.1701	1.0744	0.0059
Synthetic		SyntheticB	1.2003	1.1706	1.2003	0.0059
		SyntheticC	1.4375	1.1706	1.2024	0.0059
	173	(3,6)	0.1490	0.0936	0.1490	0.1220
		(10,14)		0.5944	0.6016	0.2542
		(12,13)	0.4614	0.2674	0.2699	0.0699
Combustion		(18,19)		0.3626	0.3669	0.1998
Combustion		(10,13)	0.2775	0.9446	0.9448	0.4557
		(0,3)		0.1439	0.1439	0.2583
		(5,8)		0.4559	0.4559	0.3015
		(18,20)	0.0846	0.2661	0.2661	0.1109

Table 2: Amount of perturbation introduced during our simplification algorithm. The first three columns indicates the specific regions of interest, where the 3rd column includes the coordinates of the regions to be simplified. For a particular region *C*, the amount of perturbation (or vector field distortion) introduced by the simplification is shown as a ratio with respect to the radius of im (*C*) (which is approximately the robustness value), which is given by the maximum magnitude of the region *C* (7th column). The 4th column includes distortion introduced using just Laplacian smoothing. The 5th column is for **Cut** procedure (possibly preceded by **Unwrap**) and the 6th column is for **Cut** and **Smoothing** (corresponds to results shown in the paper). For the synthetic datasets, for **Cut** operation only, the values could be brought arbitrarily close to 1 through an appropriate choice of  $\varepsilon$  (see Section 4.3). Some values are missing for **Smoothing** as these operations do not remove the critical points.