

1 **EQUIVALENCE BETWEEN INSTANTANEOUS BIPHASIC AND INCOMPRESSIBLE**  
2 **ELASTIC MATERIAL RESPONSE**

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11 **ABSTRACT**

12 Porous-permeable tissues have often been modeled using porous media theories such as the  
13 biphasic theory. This study establishes the equivalence of the instantaneous biphasic and  
14 incompressible elastic responses for arbitrary deformations and constitutive relations from first  
15 principles. This equivalence is illustrated in problems of unconfined compression of a disk, and  
16 of articular contact under finite deformation, using two different constitutive relations for the  
17 solid matrix of cartilage, one of which accounts for the large disparity observed between the  
18 tensile and compressive moduli in this tissue. Demonstrating this equivalence under general  
19 conditions provides a rationale for using available finite element codes for incompressible elastic  
20 materials as a practical substitute for biphasic analyses, as long as only the short time biphasic  
21 response is sought. In practice, an incompressible elastic analysis is representative of a biphasic  
22 analysis over the short-term response  $\delta t = \Delta^2 / \sqrt[4]{\mathbf{C} \mathbf{K}}$ , where  $\Delta$  is a characteristic dimension,

1 <sup>4</sup>  $\mathbf{C}$  is the elasticity tensor and  $\mathbf{K}$  is the hydraulic permeability tensor of the solid matrix. Certain  
2 notes of caution are provided with regard to implementation issues, particularly when finite  
3 element formulations of incompressible elasticity employ an uncoupled strain energy function  
4 consisting of additive deviatoric and volumetric components.

## 5 **INTRODUCTION**

6 Hydrated soft tissues have been successfully modeled using porous media theories, which  
7 account for deformation of the solid matrix and flow of interstitial fluid. For articular cartilage,  
8 the biphasic theory of Mow et al. [1], which models the tissue as a mixture of a solid phase and a  
9 fluid phase, and its subsequent refinements which account for tension-compression nonlinearity  
10 of the fibrillar solid matrix [2-4], has demonstrated very good agreement with experimental  
11 results. This theory captures the flow-dependent viscoelasticity under a variety of loading  
12 conditions. The transient viscoelastic response depends on the material properties and  
13 permeability of the solid matrix and the characteristic dimensions of the tissue. For cartilage, the  
14 transient response lasts for hundreds or thousands of seconds.

15 Theoretical studies have shown that the instantaneous response of a biphasic material to a  
16 step load is equivalent to that of an incompressible elastic solid. This equivalence, which stems  
17 from the intrinsic incompressibility of the solid and fluid phases [5], has been established for  
18 small strain and isotropic material symmetry, in specific problems such as confined and  
19 unconfined compression [1, 4, 6, 7], indentation [8], and contact with a spherical indenter [9].

20 The objective of this study is to establish the equivalence of the instantaneous biphasic  
21 and incompressible elastic responses for arbitrary deformations and constitutive relations from  
22 first principles. This equivalence is illustrated in a problem of articular contact under finite  
23 deformation, using two different constitutive relations for the solid matrix of cartilage, one of

1 which accounts for the large disparity observed between the tensile and compressive moduli in  
 2 this tissue [10-13]. Demonstrating this equivalence under general conditions provides a rationale  
 3 for using available finite element codes for incompressible elastic materials as a practical  
 4 substitute for biphasic contact analyses, as long as only the short time biphasic response is  
 5 sought. It also provides insight into the interpretation of earlier incompressible and nearly-  
 6 incompressible elastic analyses of articular cartilage [14-17].

## 7 **METHODS**

### 8 **Biphasic Material**

9 The Cauchy stress  $\mathbf{T}$  in a biphasic material is the sum of the interstitial fluid pressure,  $p$ , and  
 10 the elastic stress in the solid matrix,  $\mathbf{T}^e$ ,

$$11 \quad \mathbf{T} = -p\mathbf{I} + \mathbf{T}^e. \quad (1)$$

12 The frictional drag on the solid matrix due to the flow of interstitial fluid is denoted by  $\boldsymbol{\pi}$ .  
 13 Conservation of linear momentum for the biphasic mixture and the interstitial fluid yields,  
 14 respectively,

$$15 \quad \text{div} \mathbf{T} = \mathbf{0}, \quad (2)$$

$$16 \quad \varphi^w \text{grad } p + \boldsymbol{\pi} = \mathbf{0}, \quad (3)$$

17 where  $\varphi^w$  is the solid matrix porosity. Conservation of mass for the mixture requires that

$$18 \quad \text{div}(\mathbf{v} + \mathbf{w}) = 0, \quad (4)$$

19 where  $\mathbf{v} = D\mathbf{u}/Dt$  is the solid matrix velocity,  $\mathbf{u}$  is the solid displacement, and  $\mathbf{w}$  is the flux of  
 20 interstitial fluid relative to the solid. It is necessary to specify constitutive models for  $\mathbf{T}^e$   
 21 and  $\boldsymbol{\pi}$ , which may be a function of solid matrix strain and relative fluid flux, respectively. The  
 22 boundary conditions for a biphasic material are given by

1 
$$\mathbf{T}\mathbf{n} = \mathbf{t}^* \quad \text{or} \quad \mathbf{u} = \mathbf{u}^*, \quad (5)$$

2 
$$p = p^* \quad \text{or} \quad \mathbf{w} \cdot \mathbf{n} = w_n^*, \quad (6)$$

3 where  $\mathbf{t}^*$  is a prescribed traction on a boundary of unit outward normal  $\mathbf{n}$ ,  $\mathbf{u}^*$  is a prescribed  
 4 displacement,  $p^*$  is a prescribed fluid pressure and  $w_n^*$  is a prescribed fluid flux normal to the  
 5 boundary.

6 **Incompressible Elastic Material**

7 For an incompressible elastic solid the Cauchy stress is given by

8 
$$\mathbf{T} = -\bar{p}\mathbf{I} + \bar{\mathbf{T}}^e. \quad (7)$$

9 In this case  $\bar{p}$  represents a pressure resulting from the incompressibility constraint;  $\bar{\mathbf{T}}^e$   
 10 represents the remaining stress in the solid. The conservation of linear momentum and mass are  
 11 given by

12 
$$\text{div}\mathbf{T} = \mathbf{0}, \quad (8)$$

13 
$$\text{div}\mathbf{v} = \frac{1}{J} \frac{DJ}{Dt} = 0, \quad (9)$$

14 where  $J = \det \mathbf{F}$  and  $\mathbf{F} = \mathbf{I} + \text{Grad} \mathbf{u}$  is the deformation gradient. Eq.(9) and its corresponding  
 15 initial condition ( $\mathbf{u} = \mathbf{0}$ ,  $J = 1$  at  $t = 0$ ) are equivalent to stating that  $J = 1$  for all  $t$ . The  
 16 boundary conditions are

17 
$$\mathbf{T}\mathbf{n} = \mathbf{t}^* \quad \text{or} \quad \mathbf{u} = \mathbf{u}^* \quad (10)$$

18 Note that there are no boundary conditions on  $\bar{p}$ .

19 **Equivalence**

20 Upon sudden loading of a biphasic material, at time  $t = 0^+$ , the interstitial fluid has not had time  
 21 to leave the tissue (solid matrix pores change shape but not volume), except at permeable

1 boundaries where the fluid can escape. This does not imply that the fluid flux is zero, but rather  
 2  $\text{div} \mathbf{w}|_{t=0^+} = 0$  everywhere, except at permeable boundaries. Now the conservation of mass for a  
 3 biphasic material, Eq.(4), reduces to that of an elastic incompressible material, Eq.(9). At this  
 4 stage it is noted that the constitutive relations for  $\mathbf{T}^e$  and  $\bar{\mathbf{T}}^e$  should be constructed to be  
 5 identical when  $J = 1$ ,

$$6 \quad \mathbf{T}^e|_{J=1} = \bar{\mathbf{T}}^e \quad (11)$$

7 Given this constraint, since Eqs. (7)-(10) have the exact same form as Eqs.(1)-(2) and (4)-(5) at  
 8  $t = 0^+$ , the solid displacement  $\mathbf{u}$  and stress  $\mathbf{T}$  are *exactly the same* for the instantaneous  
 9 biphasic and incompressible elastic responses, and  $\bar{p} = p$  everywhere *except at permeable*  
 10 *boundaries where  $p = p^*$  is prescribed*. In fact, Eq.(3) can be used to determine the frictional  
 11 drag  $\boldsymbol{\pi}$  everywhere other than on permeable boundaries.

12 Thus the response of a biphasic material at  $t = 0^+$  is equivalent to that of an  
 13 incompressible elastic material, with identical  $\mathbf{u}$  and  $\mathbf{T}$  throughout the material, and  $p = \bar{p}$   
 14 everywhere except in an infinitely thin boundary layer at permeable boundaries. This result  
 15 agrees with observations made in the theoretical solutions of specific biphasic problems [1, 4, 6-  
 16 9].

## 17 **Examples of Constitutive Relations**

### 18 *Frictional Drag*

19 The frictional or diffusive drag is commonly related to the relative fluid flux through

$$20 \quad \boldsymbol{\pi} = \phi^w \mathbf{K}^{-1} \mathbf{w} \quad (12)$$

1 where  $\mathbf{K}$  is the hydraulic permeability tensor [18, 19]. Substituting this relation into Eq.(3)  
 2 yields Darcy's law,  $\mathbf{w} = -\mathbf{K} \text{grad } p$ . In the case of isotropic permeability we have  $\mathbf{K} = k\mathbf{I}$ , where  
 3  $k$  may be given, for example, by the formulation of Holmes and Mow [20],

$$4 \quad k = k_0 \left[ \frac{(1 - \varphi_0^w) \varphi^w}{(1 - \varphi^w) \varphi_0^w} \right]^\alpha e^{M(J^2 - 1)/2} \quad (13)$$

5 Here,  $k$  is the hydraulic permeability of the matrix,  $k_0$  is its value at  $J = 1$ , and  $\varphi_0^w$  is the matrix  
 6 porosity at  $J = 1$ , with

$$7 \quad \varphi^w = 1 - \frac{1 - \varphi_0^w}{J} \quad (14)$$

8 as can be derived from the conservation of mass. The unitless material coefficients  $M$  and  $\alpha$   
 9 control the nonlinear dependence of  $k$  on matrix dilatation. Setting  $\alpha = 0$  yields the more  
 10 traditional form used by Lai et al. [21], while letting  $M = 0$  yields the form advocated by Gu et  
 11 al. [22].

### 12 *Constitutive Models for the Solid Matrix*

13 In principle, any well-posed constitutive model may be used for the solid matrix of a biphasic  
 14 material. If the strain energy density is given by  $W(\mathbf{C})$ , where  $\mathbf{C} = \mathbf{F}^T \mathbf{F}$  is the right Cauchy-  
 15 Green strain tensor, then the stress and spatial elasticity tensors are given by [23]

$$16 \quad \mathbf{T}^e = 2J^{-1} \mathbf{F} \frac{\partial W}{\partial \mathbf{C}} \mathbf{F}^T, \quad (15)$$

$$17 \quad \mathbf{C} = 4J^{-1} (\mathbf{F} \underline{\otimes} \mathbf{F}) : \frac{\partial^2 W}{\partial \mathbf{C}^2} : (\mathbf{F}^T \underline{\otimes} \mathbf{F}^T). \quad (16)$$

18 The definitions of the tensor double dot product  $:$  and dyadic product  $\underline{\otimes}$  are given in the  
 19 Appendix. For example, a compressible neo-Hookean material is given by [23]

$$1 \quad W = \frac{\mu}{2}(I_1 - 3) - \mu \ln J + \frac{\lambda}{2}(\ln J)^2 \quad (17)$$

$$2 \quad \mathbf{T}^e = J^{-1}[\mu(\mathbf{B} - \mathbf{I}) + \lambda(\ln J)\mathbf{I}] \quad (18)$$

$$3 \quad \mathbf{C} = J^{-1}[\lambda\mathbf{I} \otimes \mathbf{I} + 2(\mu - \lambda \ln J)\mathbf{I} \bar{\otimes} \mathbf{I}] \quad (19)$$

4 where  $\mathbf{B} = \mathbf{F}\mathbf{F}^T$  is the left Cauchy-Green strain tensor,  $I_1 = \text{tr } \mathbf{C} = \text{tr } \mathbf{B}$ , and  $\lambda$  and  $\mu$  are Lamé-  
5 like moduli. The definitions of the tensor dyadic products  $\otimes$  and  $\bar{\otimes}$  are provided in the  
6 Appendix. It follows from Eq.(11) that the stress for the corresponding incompressible elastic  
7 solid is

$$8 \quad \bar{\mathbf{T}}^e = \mathbf{T}^e \Big|_{J=1} = \mu(\mathbf{B} - \mathbf{I}). \quad (20)$$

9 In many computational implementations of incompressible elasticity [24, 25], the strain  
10 energy density is assumed to take an uncoupled form, consisting of additive deviatoric and  
11 volumetric components in the form

$$12 \quad W(\mathbf{C}) = \tilde{W}(\tilde{\mathbf{C}}) + U(J), \quad (21)$$

13 where  $\tilde{\mathbf{C}} = \tilde{\mathbf{F}}\tilde{\mathbf{F}}^c$  and  $\tilde{\mathbf{F}} = J^{-1/3}\mathbf{F}$  is the deviatoric part of the deformation gradient. The  
14 assumption of an uncoupled strain energy is based more on mathematical and computational  
15 convenience rather than physical observation – all finite element implementations of nearly-  
16 incompressible elasticity require a separate interpolation of the pressure term to avoid element  
17 locking, and with the form specified by Eq.(21) the entire pressure arises from  $U(J)$ . It should  
18 be noted that this uncoupled form explicitly assumes that there is no term in the strain energy  
19 that depends on both  $\tilde{\mathbf{C}}$  and  $J$ . Using the chain rule of differentiation, the stress and spatial  
20 elasticity tensors for the strain energy in Eq.(21) are

$$1 \quad \mathbf{T}^e = \mathbb{F}^{\flat} - \frac{1}{3}(\mathbb{F}^{\flat} : \mathbf{I})\mathbf{I} + \frac{dU}{dJ}\mathbf{I} = \text{dev}\mathbb{F}^{\flat} + \frac{dU}{dJ}\mathbf{I}, \quad (22)$$

$$2 \quad \begin{aligned} \mathbf{C}^4 &= \frac{d}{dJ} \left( J \frac{dU}{dJ} \right) \mathbf{I} \otimes \mathbf{I} - 2 \frac{dU}{dJ} \mathbf{I} \bar{\otimes} \mathbf{I} \\ &+ \frac{2}{3} \left[ (\mathbb{F}^{\flat} : \mathbf{I}) \left( \mathbf{I} \bar{\otimes} \mathbf{I} + \frac{1}{3} \mathbf{I} \otimes \mathbf{I} \right) - (\mathbf{I} \otimes \mathbb{F}^{\flat} + \mathbb{F}^{\flat} \otimes \mathbf{I}) \right], \\ &+ \frac{4}{3} \left[ \mathbf{I} \otimes \mathbf{I} : \mathbb{C}^{\flat} + \mathbb{C}^{\flat} : \mathbf{I} \otimes \mathbf{I} - \frac{1}{3} \left( \mathbf{I} : \mathbb{C}^{\flat} : \mathbf{I} \right) \mathbf{I} \otimes \mathbf{I} \right] \end{aligned} \quad (23)$$

3 where

$$4 \quad \tilde{\mathbf{T}}^e = 2J^{-1} \mathbb{F}^{\flat} \frac{\partial \tilde{W}^{\flat}}{\partial \mathbb{C}^{\flat}} \mathbb{F}^{\flat}, \quad (24)$$

$$5 \quad \mathbb{C}^{\flat} = 4J^{-1} (\mathbb{F}^{\flat} \otimes \mathbb{F}^{\flat}) \cdot \frac{\partial^2 \tilde{W}^{\flat}}{\partial \mathbb{C}^{\flat}} : (\mathbb{F}^{\flat} \otimes \mathbb{F}^{\flat}), \quad (25)$$

6 and the operator  $\text{dev}[\cdot]$  extracts the deviatoric part of a second-order tensor with both legs in the  
7 spatial configuration:

$$8 \quad \text{dev}[\cdot] = [\cdot] - \frac{1}{3}([\cdot] : \mathbf{I})\mathbf{I}. \quad (26)$$

9 An example of an uncoupled strain energy density function is a modified compressible  
10 neo-Hookean solid of the form

$$11 \quad W = \frac{1}{2} \left[ \mu (\mathcal{I}_1^{\flat} - 3) + \kappa (\ln J)^2 \right], \quad (27)$$

12 where  $\tilde{\mathcal{I}}_1 = \text{tr} \mathbb{C}^{\flat} = \text{tr} \mathbb{B}^{\flat} = J^{-2/3} \mathcal{I}_1$ ,  $\tilde{\mathbf{B}} = J^{-2/3} \mathbf{B}$  and  $\kappa = \lambda + 2\mu/3$  is the bulk modulus. In this  
13 expression it is noted that  $\tilde{W} = \mu (\mathcal{I}_1^{\flat} - 3)/2$  and  $U = \kappa (\ln J)^2/2$ . The stress and spatial elasticity  
14 tensors for this material are given by

$$15 \quad \mathbf{T}^e = J^{-1} \left[ \kappa (\ln J) \mathbf{I} + \mu \left( \mathbb{B}^{\flat} - \frac{1}{3} \mathcal{I}_1^{\flat} \mathbf{I} \right) \right], \quad (28)$$



$$1 \quad \mathbf{C} = J^{-1} \left[ 2 \left( \frac{\mu}{3} \rho_1^0 - \kappa \ln J \right) \mathbf{I} \otimes \mathbf{I} + \left( \kappa + \frac{2\mu}{9} \rho_1^0 \right) \mathbf{I} \otimes \mathbf{I} - \frac{2}{3} \mu \left( \mathbf{I} \otimes \mathbf{B}'_0 + \mathbf{B}'_0 \otimes \mathbf{I} \right) \right]. \quad (29)$$

2 In the limit of an incompressible elastic solid,

$$3 \quad \bar{\mathbf{T}}^e = \mathbf{T}^e|_{J=1} = \mu \left( \mathbf{B}'_0 - \frac{1}{3} \rho_1^0 \mathbf{I} \right). \quad (30)$$

4 A practical advantage of this specific constitutive relation is that  $\text{tr} \bar{\mathbf{T}}^e = 0$ , which implies that  
 5 the pressure  $\bar{p}$ , which is equivalent to the fluid pressure in the instantaneous biphasic response,  
 6 is simply given by the hydrostatic part of the total stress,  $\bar{p} = -\text{tr} \bar{\mathbf{T}}/3$ .

### 7 *Tension-Compression Nonlinearity*

8 There are several related ways to incorporate tension-compression nonlinearity in a constitutive  
 9 relation [3, 26-30]. In this illustrative example we extend the approach of Quapp and Weiss [27]  
 10 to the case of a tissue with three preferred and mutually orthogonal material directions. For  
 11 articular cartilage these directions are defined as 1) parallel to the split line direction, 2)  
 12 perpendicular to the split line direction, and 3) normal to the articular surface, and these  
 13 directions are represented by the unit vectors  $\mathbf{a}_a^0$  ( $a = 1$  to 3) in the reference configuration [4].  
 14 The constitutive relation for the strain energy is supplemented by terms which are only functions  
 15 of the normal stretch  $\lambda_a = (\mathbf{a}_a^0 \cdot \mathbf{C} \mathbf{a}_a^0)^{1/2}$  along each of the three directions  $\mathbf{a}_a^0$ ,

$$16 \quad W = W_0 + \sum_{a=1}^3 \Psi_a(\lambda_a). \quad (31)$$

17 It follows from Eqs.(15)-(16) that the stress and elasticity tensors are given by

$$18 \quad \mathbf{T}^e = \mathbf{T}_0^e + J^{-1} \sum_{a=1}^3 \lambda_a \frac{\partial \Psi_a}{\partial \lambda_a} \mathbf{A}_a, \quad (32)$$

$$19 \quad \mathbf{C} = \mathbf{C}_0 + J^{-1} \sum_{a=1}^3 \lambda_a^3 \frac{\partial}{\partial \lambda_a} \left( \frac{1}{\lambda_a} \frac{\partial \Psi_a}{\partial \lambda_a} \right) \mathbf{A}_a \otimes \mathbf{A}_a, \quad (33)$$

1 where the dependence of  $\mathbf{T}_0^e$  and  $\mathbf{C}_0$  on  $W_0$  is given in Eqs.(15)-(16). In these expressions the  
 2 texture tensors  $\mathbf{A}_a = \mathbf{a}_a \otimes \mathbf{a}_a$  can be evaluated from  $\mathbf{a}_a = \mathbf{F}\mathbf{a}_a^0/\lambda_a$ .

3 For example, motivated by our recent study [11], the function  $\Psi_a$  may be given by

$$4 \quad \Psi_a = \begin{cases} \xi_a (\lambda_a - 1)^{\beta_a} & \lambda_a > 1 \\ 0 & \lambda_a \leq 1 \end{cases}, \quad \xi_a \geq 0, \beta_a \geq 2. \quad (34)$$

5 The strain energy component  $\Psi_a$  makes a contribution only when the stretch is tensile along the  
 6 corresponding direction. The material coefficients  $\xi_a$  and  $\beta_a$  regulate the tensile response along  
 7 the three preferred material directions. For the special case  $\beta_a = 2$  the modulus exhibits a jump  
 8 at the strain origin as assumed in some of our earlier studies [4], whereas  $\beta_a > 2$  produces a  
 9 smooth transition more akin to recent experimental observations [11, 31].

10 Any suitable function  $W_0$  may be selected, as given for example in Eq.(17). However, if  
 11 an uncoupled representation of the strain energy density is desired, as given in Eq.(27) for  
 12 example, it is not possible to uncouple the constitutive relation for  $\Psi_a(\lambda_a)$  into a deviatoric and  
 13 dilatational parts, because  $\lambda_a = J^{1/3} \lambda_a^0$ , where  $\tilde{\lambda}_a = (\mathbf{a}_a^0 \cdot \mathbf{C}\mathbf{a}_a^0)^{1/2}$ . Thus  $\Psi_a$  cannot be written as  
 14 the sum of a term depending only on  $\tilde{\lambda}_a$  and another depending only on  $J$ . In general, it may  
 15 not be acceptable in the biphasic implementation to substitute  $\Psi_a(\lambda_a)$  with a function  $\tilde{\Psi}_a(\lambda_a^0)$ ,  
 16 since  $\tilde{\lambda}_a$  and  $\lambda_a$  have different physical meanings for deformations that are not isochoric. As an  
 17 example, it is possible for one to be less than unity while the other is greater for non-isochoric  
 18 deformations, invalidating the conditional clause of tension-compression nonlinearity as  
 19 illustrated in Eq.(34). The only exception is in the instantaneous biphasic response, when  $J = 1$ ,

1 which leads to  $\lambda_a = \lambda_a^0$ . Then, based on Eqs.(21)-(23), the strain energy, stress and elasticity  
 2 tensors would be given by

$$3 \quad W = W_0 + \sum_{a=1}^3 \Psi_a(\lambda_a^0), \quad (35)$$

$$4 \quad \mathbf{T}^e = \mathbf{T}_0^e + J^{-1} \sum_{a=1}^3 \lambda_a^0 \frac{\partial \Psi_a}{\partial \lambda_a^0} \left( \mathbf{A}_a - \frac{1}{3} \mathbf{I} \right). \quad (36)$$

$$5 \quad \mathbf{C} = \mathbf{C}_0 + J^{-1} \sum_{a=1}^3 \lambda_a^0 \frac{\partial \Psi_a}{\partial \lambda_a^0} \left[ \frac{2}{3} \mathbf{I} \otimes \mathbf{I} - \frac{1}{3} \left( \mathbf{I} \otimes \mathbf{A}_a + \mathbf{A}_a \otimes \mathbf{I} - \frac{1}{3} \mathbf{I} \otimes \mathbf{I} \right) - \mathbf{A}_a \otimes \mathbf{A}_a \right] \\
 + \lambda_a^0 \frac{\partial^2 \Psi_a}{\partial \lambda_a^0} \left[ \mathbf{A}_a \otimes \mathbf{A}_a - \frac{1}{3} \left( \mathbf{I} \otimes \mathbf{A}_a + \mathbf{A}_a \otimes \mathbf{I} - \frac{1}{3} \mathbf{I} \otimes \mathbf{I} \right) \right]. \quad (37)$$

6 Comparing Eq.(36) to Eq.(32), it should be noted that they do not yield identical constitutive  
 7 relations for the stress, even when  $\lambda_a = \lambda_a^0$  and  $\Psi_a = \Psi_a^0$ . This result emphasizes that, even in  
 8 the limiting case of instantaneous biphasic response where it is acceptable to use the above  
 9 uncoupled formulation, the stress-strain response is not identical to the more general coupled  
 10 formulation.

11 It is interesting to note that this limitation can be overcome if the coupled and uncoupled  
 12 constitutive formulations are selected such that the deviatoric part of the stress tensor  $\mathbf{T}^e$  has the  
 13 same form when  $J = 1$  (see appendix). In that case, the two formulations will only differ by a  
 14 hydrostatic stress term and they will produce identical displacement and strain fields, and  
 15 identical total stress  $\mathbf{T}$  in the instantaneous biphasic (or incompressible elastic) response; but the  
 16 pressure  $p$  and the stress  $\mathbf{T}^e$  will not be the same. From a practical perspective, if one uses a  
 17 finite element implementation of incompressible elasticity which employs an uncoupled strain  
 18 energy formulation, but would like to simulate the instantaneous response of a biphasic material  
 19 whose strain energy is coupled, the analysis can proceed as follows: a) Determine the deviatoric

1 stress from the coupled biphasic constitutive relation; b) derive an uncoupled formulation which  
 2 yields an identical deviatoric stress when  $J = 1$ , and implement it into the finite element analysis  
 3 (for example, see Eqs.(18) and (28)); c) substitute the strain tensor obtained from the finite  
 4 element analysis into the coupled constitutive relation to get the stress  $\mathbf{T}^e$ ; d) use this  $\mathbf{T}^e$  and the  
 5 total stress  $\mathbf{T}$  obtained from the finite element analysis to evaluate the pressure for the coupled  
 6 formulation,  $p = \text{tr}(\mathbf{T}^e - \mathbf{T})/3$ .

### 7 **Biphasic Finite Element Formulation**

8 To illustrate the equivalence of the instantaneous biphasic and incompressible elastic response  
 9 under finite deformation, a custom-written biphasic finite element code was developed based on  
 10 a  $\mathbf{u} - p$  formulation [32]. The weak form of the weighted residual formulation for this problem,  
 11 based on substituting Eq.(1) into Eq.(2), and on Eq.(4), is given by

$$12 \quad \int_V (\mathbf{w} \cdot \text{grad } \xi - \xi \text{div } \mathbf{v}) dV = \int_S \xi w_n dS, \quad (38)$$

$$13 \quad \int_V \left[ \xi \text{grad } p + \mathbf{T}^e \text{grad } \xi + \xi (\mathbf{T}^e : \text{grad } \mathbf{e}_j) \mathbf{e}_j \right] dV = \int_S \xi \mathbf{t}^e dS, \quad (39)$$

14 where  $\mathbf{t}^e = \mathbf{T}^e \mathbf{n}$  is the traction on the solid matrix,  $\xi$  is a weight function and  $\mathbf{e}_j$  are the unit  
 15 vectors of an orthonormal basis (for example, this formulation can be used for problems in  
 16 cylindrical coordinates). The summation over  $j = 1$  to 3 is implicit.  $V$  and  $S$  represent the  
 17 volume and surface of the material region in the current configuration. Note that the weight  
 18 function (which is also the shape function) is selected to be the same for both equations. In  
 19 general,  $\mathbf{T}^e$  and  $\mathbf{w}$  are functions of  $\mathbf{C}$ , and  $\mathbf{w}$  is also a function of  $p$ . For a nonlinear analysis  
 20 requiring an iterative solution scheme we use a Taylor series expansion of these functions to first  
 21 order terms,

$$1 \quad \mathbf{w}(p + \delta p, \mathbf{C} + \delta \mathbf{C}) \approx \mathbf{w}(p + \delta p, \mathbf{C}) + \frac{\partial \mathbf{w}}{\partial \mathbf{C}}(p, \mathbf{C}) : \delta \mathbf{C}, \quad (40)$$

$$2 \quad \mathbf{T}^e(\mathbf{C} + \delta \mathbf{C}) \approx \mathbf{T}^e(\mathbf{C}) + \frac{\partial \mathbf{T}^e}{\partial \mathbf{C}}(\mathbf{C}) : \delta \mathbf{C}, \quad (41)$$

3 where  $\delta \mathbf{C}$  and  $\delta p$  represent small increments in the strain and pressure. From the definition of  
4  $\mathbf{C}$  in terms of  $\mathbf{F} = \mathbf{I} + \text{Grad } \mathbf{u}$  it is straightforward to show that

$$5 \quad \delta \mathbf{C} = 2\mathbf{F}^T \delta \boldsymbol{\varepsilon} \mathbf{F} = 2(\mathbf{F}^T \underline{\otimes} \mathbf{F}^T) : \delta \boldsymbol{\varepsilon}, \quad (42)$$

$$6 \quad \delta \boldsymbol{\varepsilon} = (\text{grad } \delta \mathbf{u} + \text{grad}^T \delta \mathbf{u})/2, \quad (43)$$

7 where  $\boldsymbol{\varepsilon}$  is the infinitesimal strain tensor and  $\delta \mathbf{u}$  is the incremental displacement. It follows that

$$8 \quad \frac{\partial \mathbf{w}}{\partial \mathbf{C}} : \delta \mathbf{C} = \overset{3}{\mathbf{W}} : \delta \boldsymbol{\varepsilon}, \quad \frac{\partial \mathbf{T}^e}{\partial \mathbf{C}} : \delta \mathbf{C} = \overset{4}{\mathbf{C}} : \delta \boldsymbol{\varepsilon}, \quad (44)$$

9 where  $\overset{4}{\mathbf{C}}$  is the spatial elasticity tensor (see Appendix) and

$$10 \quad \overset{3}{\mathbf{W}} = 2 \frac{\partial \mathbf{w}}{\partial \mathbf{C}} : (\mathbf{F}^T \underline{\otimes} \mathbf{F}^T), \quad \overset{4}{\mathbf{C}} = 2 \frac{\partial \mathbf{T}^e}{\partial \mathbf{C}} : (\mathbf{F}^T \underline{\otimes} \mathbf{F}^T). \quad (45)$$

11 We now adopt the constitutive assumption of Eq.(12) which yields  $\mathbf{w} = -\mathbf{K} \text{grad } p$ . We  
12 further assume that the permeability tensor is only a function of the relative volume change  $J$   
13 and that this dependence is the same for all components of  $\mathbf{K}$ . These assumptions imply that  
14  $\mathbf{K} = f(J)\mathbf{K}_0$  where  $\mathbf{K}_0$  is the permeability tensor in the reference configuration and  $f(J)$  is a  
15 constitutive relation satisfying  $f(1) = 1$ ; for example, in Eq.(13),  $f(J)$  is obtained by dividing  
16  $k$  with  $k_0$ . Given these constitutive restrictions it can be shown that

$$17 \quad \overset{3}{\mathbf{W}} = -Jf' \mathbf{K}_0 \text{grad } p \otimes \mathbf{I} = \frac{Jf'}{f} \mathbf{w} \otimes \mathbf{I}. \quad (46)$$

18 Now Eqs.(40)-(41) can be rewritten as

$$\mathbf{w}(p + \delta p, \mathbf{C} + \delta \mathbf{C}) \approx \left( 1 + \frac{Jf'}{f} (\text{tr } \delta \boldsymbol{\varepsilon}) \right) \mathbf{w}(p, \mathbf{C}) - f \mathbf{K}_0 \text{grad } \delta p, \quad (47)$$

$$\mathbf{T}^e(\mathbf{C} + \delta \mathbf{C}) \approx \mathbf{T}^e(\mathbf{C}) + \mathbf{C}^4 : \delta \boldsymbol{\varepsilon}. \quad (48)$$

Substituting these relations into Eqs.(38)-(39) yields

$$\int_V \left[ \left( -f \mathbf{K}_0 \text{grad } \delta p + \frac{Jf'}{f} (\text{tr } \delta \boldsymbol{\varepsilon}) \mathbf{w} \right) \cdot \text{grad } \xi - \xi \text{div } \delta \mathbf{v} \right] dV = \int_S \xi w_n dS + \int_V (\xi \text{div } \mathbf{v} - \mathbf{w} \cdot \text{grad } \xi) dV, \quad (49)$$

$$\int_V \left[ \xi \text{grad } \delta p + \left( \mathbf{C}^4 : \delta \boldsymbol{\varepsilon} \right) \text{grad } \xi + \left( \xi \text{grad } \mathbf{e}_j : \mathbf{C}^4 : \delta \boldsymbol{\varepsilon} \right) \mathbf{e}_j \right] dV = \int_S \xi \mathbf{t}^e dS - \int_V \left[ \xi \text{grad } p + \mathbf{T}^e \text{grad } \xi + \left( \xi \text{grad } \mathbf{e}_j : \mathbf{T}^e \right) \mathbf{e}_j \right] dV. \quad (50)$$

Since the incremental solid matrix velocity is given by  $\delta \mathbf{v} = \delta \mathbf{u} / \delta t$ , and given the relation of Eq.(43) between  $\delta \boldsymbol{\varepsilon}$  and  $\delta \mathbf{u}$ , the two relations above represent a linear set of equations in the unknowns  $\delta \mathbf{u}$  and  $\delta p$ . It is implicit in the iterative application of these equations that  $p$ ,  $\mathbf{v}$ ,  $\mathbf{C}$ ,  $\mathbf{w}$  and  $\mathbf{T}^e$  represent values from the previous iteration, which are then updated using  $\mathbf{u} \leftarrow \mathbf{u} + \delta \mathbf{u}$  and  $p \leftarrow p + \delta p$ .

For the current study, an axisymmetric finite element formulation was used, with 8-node isoparametric (serendipity) quadrilateral elements. It was found that an incompressible response could be enforced numerically at the first time step  $t = \delta t$  (equivalent to  $t = 0^+$ ) when substituting  $(1/J)(DJ/Dt)$  for  $\text{div } \mathbf{v}$  on the right-hand-side of Eq.(49).

### **Early-Time Biphasic Response**

Having established the equivalence between the instantaneous biphasic and incompressible elastic formulations, it is necessary to estimate how small the initial time increment of a numerical biphasic analysis should be, to yield a nearly-incompressible response. Substituting

1 Eq.(1) into (2) yields  $-\text{grad } p + \text{div } \mathbf{T}^e = \mathbf{0}$ , into which we can substitute the relation  
 2  $\mathbf{w} = -\mathbf{K} \text{grad } p$  to produce  $\mathbf{w} + \mathbf{K} \text{div } \mathbf{T}^e = \mathbf{0}$ . Taking the divergence of this expression and using  
 3 Eq.(4) yields

$$4 \quad \text{div } \mathbf{v} = \text{div}(\mathbf{K} \text{div } \mathbf{T}^e). \quad (51)$$

5 At the initial time increment  $\delta t$ , the velocity and stress are given by  $\mathbf{v} \approx \delta \mathbf{u} / \delta t$  and  $\mathbf{T}^e \approx \mathbf{C} : \delta \boldsymbol{\varepsilon}$ ,  
 6 where  $\delta \boldsymbol{\varepsilon}$  is given by Eq.(43). Substituting these expressions into the above equation, we find  
 7 that a sufficient condition to produce a vanishing  $\text{div } \mathbf{v}$  is to have

$$8 \quad \frac{\delta t \|\mathbf{C}\|^4 \|\mathbf{K}\|}{\Delta^2} \rightarrow 0, \quad (52)$$

9 where  $\Delta$  is a characteristic length for the given problem. Thus  $\Delta^2 / \delta t \|\mathbf{C}\|^4 \|\mathbf{K}\|$  effectively acts as  
 10 a penalty number for enforcing incompressibility, and this non-dimensional number should be  
 11 selected as large as practicable to achieve an isochoric response; this is equivalent to picking

$$12 \quad \delta t = \Delta^2 / \|\mathbf{C}\|^4 \|\mathbf{K}\| \quad (53)$$

### 13 Unconfined Compression

14 An unconfined compression 2D axisymmetric finite element analysis was performed for a  
 15 biphasic disk of radius 3 mm and thickness 0.5 mm, loaded with rigid impermeable frictionless  
 16 platens. The lateral surface of the disk is exposed to atmospheric conditions, so that the fluid  
 17 pressure  $p$  on this surface is prescribed to be zero. In this analysis, the strain energy density of  
 18 Eq.(31) was used, where  $W_0$  is given by Eq.(17) and  $\Psi_a$  by Eq.(34). The material coefficients  
 19 were  $\lambda = 0$  MPa,  $\mu = 4$  MPa,  $k_0 = 2.7 \times 10^{-3}$  mm<sup>4</sup>/N.s,  $\varphi_0^w = 0.8$ ,  $\alpha = 2$ ,  $M = 2.2$ ,  $\xi_a = 1000$  MPa

1 and  $\beta_a = 3.6$  ( $a = 1 - 3$ ). The biphasic response was evaluated at  $\delta t = 0.001$  s. The mesh  
 2 consisted of 40 elements along the radial direction and one element through the depth; biases  
 3 were created to refine the mesh near the radial edge. The biphasic response was compared to the  
 4 incompressible elastic response for a disk (or equivalently, a cylindrical bar), which can be  
 5 derived in closed-form for this problem,

$$\begin{aligned}
 \bar{p} &= \mu \left( \frac{1}{\lambda_z} - 1 \right) \\
 \bar{T}_{zz} &= \mu \left( \lambda_z^2 - \frac{1}{\lambda_z} \right) + \beta_z \xi_z \lambda_z (\lambda_z - 1)^{\beta_z - 1}, \quad \lambda_z > 1
 \end{aligned} \tag{54}$$

$$\begin{aligned}
 \bar{p} &= \mu \left( \frac{1}{\lambda_z} - 1 \right) + \frac{1}{\sqrt{\lambda_z}} \beta_r \xi_r \left( \frac{1}{\sqrt{\lambda_z}} - 1 \right)^{\beta_r - 1} \\
 \bar{T}_{zz} &= \mu \left( \lambda_z^2 - \frac{1}{\lambda_z} \right) - \beta_r \xi_r \frac{1}{\sqrt{\lambda_z}} \left( \frac{1}{\sqrt{\lambda_z}} - 1 \right)^{\beta_r - 1}, \quad \lambda_z < 1
 \end{aligned} \tag{55}$$

8 Here,  $\lambda_z$  represents the axial stretch; the first pair of solutions corresponds to tensile loading of a  
 9 bar while the second pair represents unconfined compression of a disk. In the biphasic finite  
 10 element analysis, a displacement of  $-0.1$  mm was prescribed on the top loading platen while the  
 11 bottom loading platen was kept stationary; these boundary conditions produce a uniform axial  
 12 stretch of  $\lambda_z = 0.8$ .

### 13 **Contact Analyses**

14 A 2D axisymmetric finite element frictionless contact analysis was performed between a  
 15 spherical biphasic layer anchored to a rigid impermeable substrate and a flat impermeable rigid  
 16 surface (Figure 1). This geometry was representative of the articular layer of an immature  
 17 bovine humeral head, with a cartilage surface radius of 46.3 mm and a cartilage layer thickness  
 18 of 0.8 mm. The deformation at the center of the articular layer was set to 0.095 mm (~12% of



1 the thickness). In the first analysis, the uncoupled isotropic strain energy density of Eq.(27) was  
2 used, with material coefficients  $\lambda = 0$  MPa,  $\mu = 4$  MPa,  $k_0 = 2.7 \times 10^{-3}$  mm<sup>4</sup>/N.s,  $\varphi_0^w = 0.8$ ,  $\alpha = 2$   
3 and  $M = 2.2$ . In the second analysis, this strain energy density was supplemented with the  
4 tension-only contribution as shown in Eq.(35), where the form of  $\tilde{\Psi}_a(\lambda_a^{\circ})$  was the same as that  
5 of  $\Psi_a(\lambda_a)$  in Eq.(34), with  $\xi_a = 1000$  MPa and  $\beta_a = 3.6$  ( $a = 1 - 3$ ). In both analyses, the  
6 biphasic response was evaluated at  $\delta t = 0.001$  s. The mesh consisted of 20 elements through the  
7 thickness and 50 elements along the radial direction, for a total of 1000 elements; biases were  
8 created to refine the mesh near the articular surface, the rigid bony substrate and the edge of the  
9 contact region.

10 The results of the biphasic contact analyses were compared to those of equivalent contact  
11 problems with an incompressible elastic model, using NIKE3D [33]. The articular geometry was  
12 modeled using a 3D mesh with identical dimensions as for the biphasic analysis; due to  
13 symmetry, only a quarter of the spherical layer was modeled, with 20 isoparametric 8-node brick  
14 elements through the thickness, 50 along the radial direction, and 14 along the circumferential  
15 direction. The element formulation was based on a three-field variational principle that allows  
16 the modeling of nearly-incompressible materials without element locking [25]. Fully  
17 incompressible material response was enforced via an augmented Lagrangian method. The  
18 NIKE3D code was customized to incorporate the desired constitutive relations, including  
19 tension-compression nonlinearity. The material properties  $\mu$ ,  $\xi_a$  and  $\beta_a$  were the same as for  
20 the biphasic layer.

## 1 RESULTS

2 The results of the unconfined compression analysis are presented in Figure 1, showing the  
3 pressure and axial normal stress for the biphasic analysis,  $p$  and  $T_{zz}$ , as well as the  
4 corresponding incompressible elastic pressure  $\bar{p}$  and axial normal stress  $T_{zz}$ . The short-term  
5 biphasic response is identically equal to the incompressible elastic response given by the  
6 analytical solution of Eq.(54), except in a very narrow boundary layer at the radial edge of the  
7 disk.

8 For the contact analyses, comparisons of the normal component of the traction,  
9  $t_n^* = \mathbf{n} \cdot \mathbf{Tn}$ , and biphasic and incompressible elastic pressures  $p$  and  $\bar{p}$ , are presented in Figure  
10 3 and Figure 4 for both analyses, showing nearly identical results inside the contact region. Note  
11 that  $\bar{p}$  does not reduce exactly to zero right outside the contact region, whereas  $p$  does; this  
12 difference can be attributed to the fact that no boundary conditions can be imposed on  $\bar{p}$ ,  
13 whereas  $p$  is explicitly set to zero outside of the contact region. Contour plots of the pressures  
14 and radial and axial normal Lagrangian strains,  $E_{rr}$  and  $E_{zz}$ , are also shown for the biphasic and  
15 incompressible elastic cases of the second analysis, in Figure 5, Figure 6 and Figure 7. Both  
16 cases show nearly identical results.

## 17 DISCUSSION

18 This study demonstrates from basic principles that the instantaneous response of a biphasic  
19 material is equivalent to the response of an incompressible elastic material for arbitrary  
20 deformations and material symmetry. This result generalizes the special cases demonstrated in  
21 earlier studies [1, 4, 6-9]. The stress and solid displacement are identical and the interstitial fluid  
22 pressure in a biphasic analysis is equal to the hydrostatic pressure in an incompressible elastic

1 | analysis everywhere except at permeable boundaries, where the pressure in the biphasic analysis  
2 | reduces to the prescribed boundary condition (ambient pressure) over an infinitesimally thin  
3 | boundary layer.

4 |         This general result was illustrated with an unconfined compression analysis of a biphasic  
5 | disk, and with two sample finite deformation contact analyses, using a custom-written biphasic  
6 | finite element program and the well-validated NIKE3D program, customized to incorporate the  
7 | desired constitutive relations. The unconfined compression analysis neatly illustrates how the  
8 | fluid pressure  $\bar{p}$  in the incompressible elastic analysis is equal to the pressure  $p$  in the biphasic  
9 | analysis everywhere along  $r$ , except in a thin boundary layer near the permeable radial edge  
10 | (Figure 1). From theory, we know that the boundary layer in a biphasic analysis is infinitely thin  
11 | at  $t = 0^+$ ; however, in a numerical implementation such as the one shown here, the biphasic  
12 | solution is evaluated at a small, but finite time step. Thus, the boundary layer thickness is related  
13 | to the size of this initial time increment. This example clarifies that if one conducts an  
14 | incompressible elastic analysis to simulate the instantaneous biphasic response, one should  
15 | expect  $\bar{p}$  to be an accurate representation of  $p$  everywhere except at a permeable boundary,  
16 | where one should (mentally) substitute the solution for  $\bar{p}$  with the appropriate boundary  
17 | condition for  $p$ .

18 |         The agreement observed in the contact analyses between the two approaches is  
19 | remarkable, especially considering that the biphasic analysis is based on a 2D axisymmetric  
20 | implementation in cylindrical coordinates while the NIKE3D analysis is three-dimensional and  
21 | in Cartesian coordinates. From the contour plots of the pressure (Figure 5), it is evident that the  
22 | biphasic and elastic analyses yielded nearly identical results everywhere inside the articular  
23 | layer. The permeable boundaries in the biphasic analysis are the articular surface outside of the

1 contact region, and the lateral edge. Based on the prescribed boundary conditions, the fluid  
2 pressure  $p$  was set to zero at these locations. In contrast, no boundary condition could be  
3 imposed on  $\bar{p}$ . Nevertheless,  $\bar{p}$  nearly reduced to zero at these boundaries, in close agreement  
4 with  $p$  (Figure 4). This suggests that, for this type of contact analyses, the instantaneous  
5 biphasic and incompressible elastic predictions do not differ appreciably even at permeable  
6 boundaries. However, it is important not to generalize this special case to all types of problems,  
7 as shown for example in the analysis of unconfined compression in Figure 1.

8         The results of this study provide a rationale for using available finite element codes for  
9 incompressible elastic materials as a practical substitute for biphasic analyses, as long as only the  
10 short time biphasic response is sought. In the application of these analyses to the study of  
11 biological tissues, the physiological relevance of the short-time response depends on the problem  
12 being examined. As shown in Eq.(52), the characterization of the ‘short-time’ response depends  
13 on the modulus, permeability and characteristic dimensions of the tissue. For example, for the  
14 above articular cartilage contact problem,  $\|\mathbf{C}\|^4 \sim 650 \text{ MPa}$  (based on the finite element results),  
15  $\|\mathbf{K}\| \sim 2.7 \times 10^{-3} \text{ mm}^4/\text{N.s}$ , and  $\Delta \sim 3 \text{ mm}$  (the radius of the contact area [34]), so that the short  
16 time response, calculated from these values using Eq.(53), corresponds to  $\delta t = 5 \text{ s}$ . In other  
17 words, the elastic incompressible contact analysis would be representative of biphasic contact  
18 analyses where loading occurs over a time span of  $\sim 0.5 \text{ s}$  or less.

19         The equivalence between the instantaneous biphasic and incompressible elastic responses  
20 is valid for any constitutive model, as long as the biphasic constitutive equations reduce to the  
21 incompressible elastic equations when  $J = 1$ , as shown for example in Eq.(11). However,  
22 depending on the finite element implementation for incompressible elasticity, some limitations

1 may be imposed on the choice of constitutive formulations, as shown in the case of uncoupled  
2 strain energy densities. These limitations do not invalidate the general equivalence, but may  
3 impose some practical restrictions that should be heeded in any specific application. Indeed,  
4 several popular finite element programs, including ABAQUS (ABAQUS, Inc, Providence, RI)  
5 and FEAP (University of California, Berkeley), use an uncoupled strain energy implementation  
6 for modeling incompressible elastic solids. These restrictions can be overcome as outlined in the  
7 methods above, by properly post-processing the results of the incompressible elastic finite  
8 element analysis to reproduce the instantaneous biphasic values of  $p$  and  $\mathbf{T}^e$  for any desired  
9 coupled constitutive relation.

10         The finite element formulation for the biphasic finite deformation analysis (Eqs.(49)-(50)  
11 ) is based on a spatial description [23] and differs in its details from the formulations adopted by  
12 others [35-39]. It is also presented in a form which accommodates non-Cartesian orthonormal  
13 coordinate bases, such as cylindrical and spherical coordinates [40], whereas most formulations  
14 are expressed for Cartesian bases, whether explicitly stated or not [23]. In practice, the details of  
15 the biphasic finite element implementation may influence the short-time response. As noted  
16 above, our implementation yielded an isochoric short-time response only when the discretized  
17 form of  $\text{div } \mathbf{v}$  was replaced with a discretized form of  $(1/J)(DJ/Dt)$  on the right-hand-side of  
18 Eq.(49).

19         In summary, this study presents a practical alternative for analyzing the instantaneous  
20 response of a biphasic solid-fluid mixture using incompressible elasticity by demonstrating a  
21 general equivalence between these two theories under arbitrary deformations. The only  
22 difference between the theories occurs in an infinitely thin layer at boundaries where the fluid  
23 pressure needs to be prescribed in a biphasic analysis. This theoretical equivalence was

1 demonstrated using finite element analyses of a contact problem representative of articular joints,  
 2 showing the expected agreement. While the mathematical equivalence is universal, caution must  
 3 be exercised when selecting constitutive relations which remain physically meaningful if the  
 4 finite element implementation of the incompressible elastic response employs an uncoupled  
 5 strain energy formulation.

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 10 implementation of orthotropic hyperelasticity in NIKE3D.

## 11 **APPENDIX**

### 12 **Tensor Products**

13 The double contraction operator  $:$  is used in a variety of combinations between tensors of various  
 14 orders [23]. For second order tensors  $\mathbf{S}$  and  $\mathbf{T}$ , the contraction is simply  $\mathbf{S}:\mathbf{T} = S_{ij}T_{ij}$ . For a

15 fourth-order tensor  $\overset{4}{\mathbf{M}}$ , third order tensor  $\overset{3}{\mathbf{N}}$  and second-order tensor  $\mathbf{T}$ , we have

$$16 \left( \overset{4}{\mathbf{M}}:\mathbf{T} \right)_{ij} = M_{ijkl}T_{kl}, \left( \mathbf{T}:\overset{4}{\mathbf{M}} \right)_{ij} = T_{kl}M_{kl ij}, \left( \overset{3}{\mathbf{N}}:\mathbf{T} \right)_i = N_{ijk}T_{jk}, \left( \overset{3}{\mathbf{N}}:\overset{4}{\mathbf{M}} \right)_{ijk} = N_{ilm}M_{lmjk}, \text{ etc. The double}$$

17 contraction of two fourth-order tensors  $\overset{4}{\mathbf{M}}$  and  $\overset{4}{\mathbf{N}}$  yields a fourth-order tensor,

$$18 \left( \overset{4}{\mathbf{M}}:\overset{4}{\mathbf{N}} \right)_{ijkl} = M_{ijmn}N_{mnkl}.$$

19 The tensor dyadic products  $\bar{\otimes}$  and  $\otimes$  are defined by [26]

$$20 (\mathbf{S} \otimes \mathbf{T})_{ijkl} = S_{ij}T_{kl}, \tag{A.1}$$

$$1 \quad (\underline{\mathbf{S}} \otimes \underline{\mathbf{T}})_{ijkl} = S_{ik} T_{jl}, \quad (\text{A.2})$$

$$2 \quad (\underline{\mathbf{S}} \bar{\otimes} \underline{\mathbf{T}})_{ijkl} = \frac{1}{2} (S_{ik} T_{jl} + S_{il} T_{jk}). \quad (\text{A.3})$$

### 3 Spatial Elasticity Tensor

4 In this section we show the relation between the spatial elasticity tensor and the strain energy  
5 density  $W$ . The 2<sup>nd</sup> Piola-Kirchhoff stress in the elastic matrix,  $\mathbf{S}^e$ , is obtained from  $W$  using

$$6 \quad \mathbf{S}^e = \frac{\partial W}{\partial \mathbf{E}} = 2 \frac{\partial W}{\partial \mathbf{C}}, \quad (\text{A.4})$$

7 where  $\mathbf{E}$  is the Lagrangian strain tensor, related to  $\mathbf{C}$  via  $\mathbf{C} = \mathbf{I} + 2\mathbf{E}$ . The material elasticity  
8 tensor  $\overset{4}{\mathbf{C}}_L$  is obtained by differentiating  $\mathbf{S}^e$  with respect to  $\mathbf{E}$ ,

$$9 \quad \overset{4}{\mathbf{C}}_L = \frac{\partial \mathbf{S}^e}{\partial \mathbf{E}} = 2 \frac{\partial \mathbf{S}^e}{\partial \mathbf{C}} = 4 \frac{\partial^2 W}{\partial \mathbf{C}^2}. \quad (\text{A.5})$$

10 The second Piola-Kirchhoff stress is related to the Cauchy stress via

$$11 \quad \mathbf{T}^e = J^{-1} \mathbf{F} \mathbf{S}^e \mathbf{F}^T = 2J^{-1} \mathbf{F} \frac{\partial W}{\partial \mathbf{C}} \mathbf{F}^T. \quad (\text{A.6})$$

12 To determine the spatial elasticity tensor from Eq.(45), we use the chain rule of differentiation  
13 and Eq.(A.5) to evaluate

$$14 \quad 2 \frac{\partial \mathbf{T}^e}{\partial \mathbf{C}} = 2 \frac{\partial \mathbf{T}^e}{\partial \mathbf{S}^e} : \frac{\partial \mathbf{S}^e}{\partial \mathbf{C}} = \frac{\partial \mathbf{T}^e}{\partial \mathbf{S}^e} : \overset{4}{\mathbf{C}}_L. \quad (\text{A.7})$$

15 From Eq.(A.6) it can be shown that

$$16 \quad \frac{\partial \mathbf{T}^e}{\partial \mathbf{S}^e} = J^{-1} \mathbf{F} \bar{\otimes} \mathbf{F} \quad (\text{A.8})$$

17 so that

$$18 \quad \overset{4}{\mathbf{C}} = J^{-1} (\mathbf{F} \bar{\otimes} \mathbf{F}) : \overset{4}{\mathbf{C}}_L : (\mathbf{F}^T \bar{\otimes} \mathbf{F}^T) = 4J^{-1} (\mathbf{F} \bar{\otimes} \mathbf{F}) : \frac{\partial^2 W}{\partial \mathbf{C}^2} : (\mathbf{F}^T \bar{\otimes} \mathbf{F}^T), \quad (\text{A.9})$$

1 which completes the derivation.

## 2 **Coupled and Uncoupled Formulations**

3 Using Eqs.(15) and (32), the deviatoric part of the stress tensor  $\mathbf{T}^e$  in a general (coupled)  
4 constitutive relation is

$$5 \quad \text{dev} \mathbf{T}^e = \text{dev} \left( 2J^{-1} \mathbf{F} \frac{\partial W}{\partial \mathbf{C}} \mathbf{F}^T \right) + J^{-1} \sum_{a=1}^3 \lambda_a \frac{\partial \Psi_a}{\partial \lambda_a} \left( \mathbf{A}_a - \frac{1}{3} \mathbf{I} \right) \quad (\text{A.10})$$

6 Similarly, using Eqs.(22), (24) and (36), the deviatoric part of  $\mathbf{T}^e$  in an uncoupled constitutive  
7 relation is given by

$$8 \quad \text{dev} \mathbf{T}^e = \text{dev} \left( 2J^{-1} \mathbf{F} \frac{\partial \tilde{W}}{\partial \tilde{\mathbf{C}}} \mathbf{F}^T \right) + J^{-1} \sum_{a=1}^3 \lambda_a \frac{\partial \tilde{\Psi}_a}{\partial \lambda_a} \left( \mathbf{A}_a - \frac{1}{3} \mathbf{I} \right) \quad (\text{A.11})$$

9 When  $J = 1$ , it follows that  $\tilde{\mathbf{F}} = \mathbf{F}$ ,  $\tilde{\mathbf{C}} = \mathbf{C}$  and  $\tilde{\lambda}_a = \lambda_a$ . Thus, if  $W(\mathbf{C})$  and  $\tilde{W}(\tilde{\mathbf{C}})$  are selected  
10 to have the same form, as are  $\Psi_a(\lambda_a)$  and  $\tilde{\Psi}_a(\lambda_a)$ , the coupled and uncoupled formulations will  
11 yield identical deviatoric stresses under isochoric deformations.

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40

## 41 CAPTIONS

43 | Figure 1. Results of unconfined compression analysis of a cylindrical disk. For this  
44 | axisymmetric analysis, the mesh extends from  $r=0$  to  $r=3$  mm. Symbols represent the

1 | biphasic response at  $\delta t = 0.001$  s and solid lines represent the analytical solution for the  
2 | incompressible elastic response of Eq.(54), evaluated at  $\lambda_z = 0.8$ .  
3 |  
4 | Figure 2. Schematic of the axisymmetric finite element contact analysis.  
5 |  
6 | Figure 3. Normal traction at the contact interface for the first and second analyses (the latter with  
7 | tension-compression nonlinearity), for biphasic and incompressible elastic cases.  
8 |  
9 | Figure 4. Fluid pressure at the contact interface for the first and second analyses, for biphasic and  
10 | incompressible elastic cases.  
11 |  
12 | Figure 5. Contour plot of the fluid pressure for (a) the biphasic case and (b) the incompressible-  
13 | elastic case, for the second analysis.  
14 |  
15 | Figure 6. Radial normal Lagrangian strain  $E_{rr}$  for (a) the biphasic case and (b) the  
16 | incompressible-elastic case, for the second analysis.  
17 |  
18 | Figure 7. Axial normal Lagrangian strain  $E_{zz}$  for (a) the biphasic case and (b) the  
19 | incompressible-elastic case, for the second analysis.  
20 |

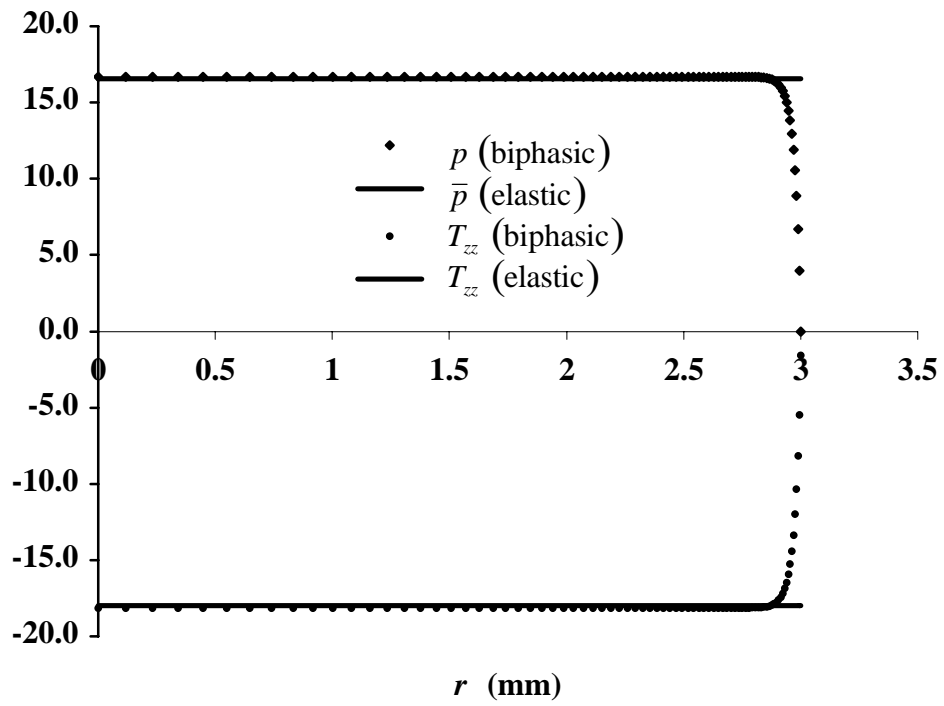


Figure 1

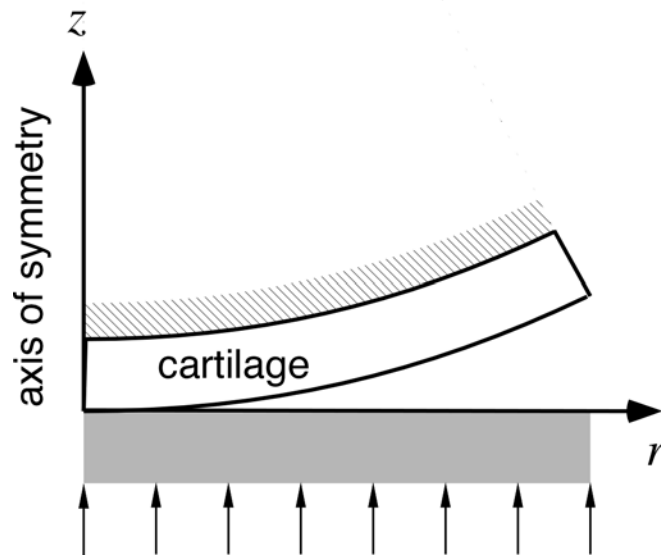


Figure 2

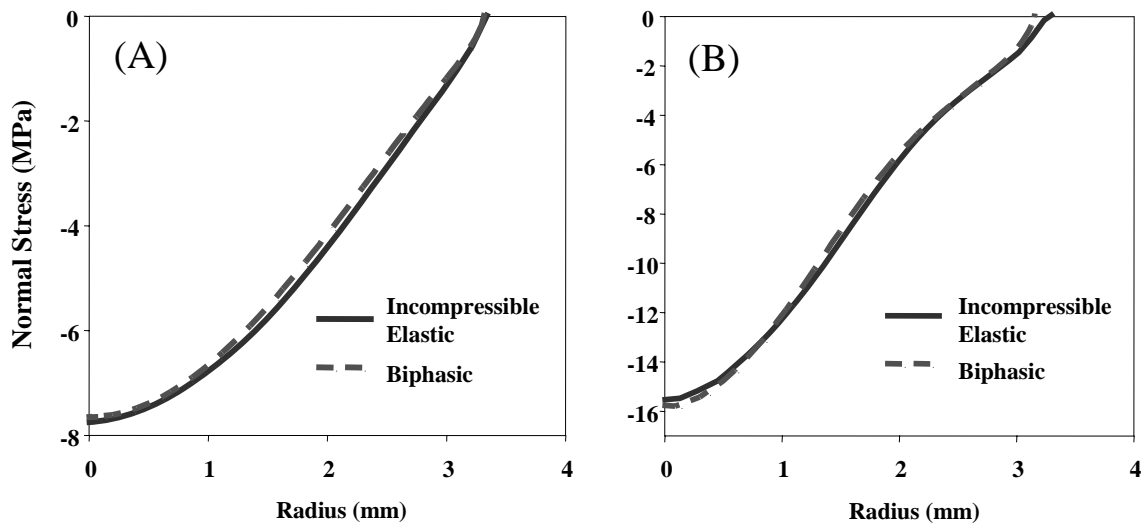


Figure 3

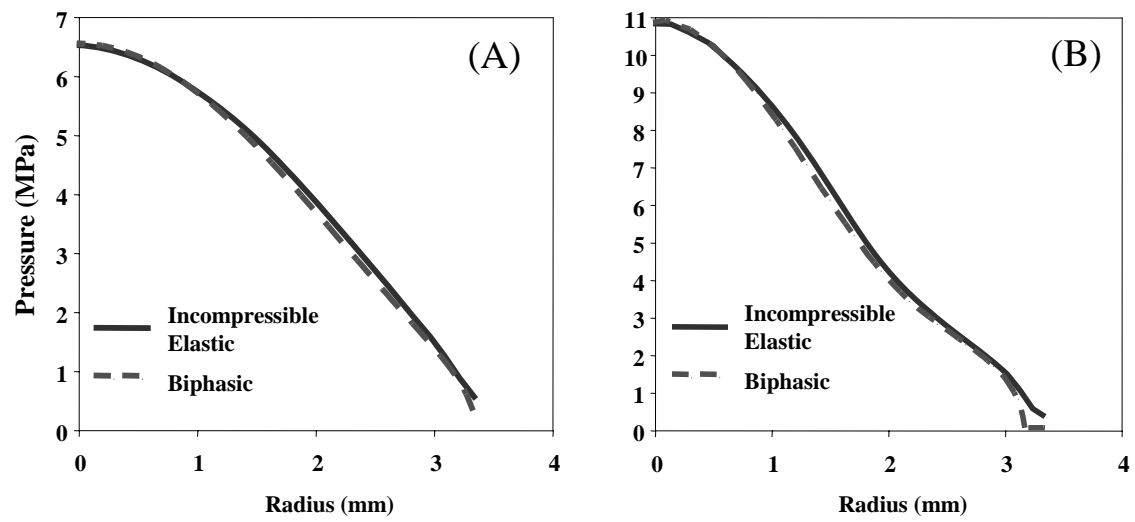


Figure 4

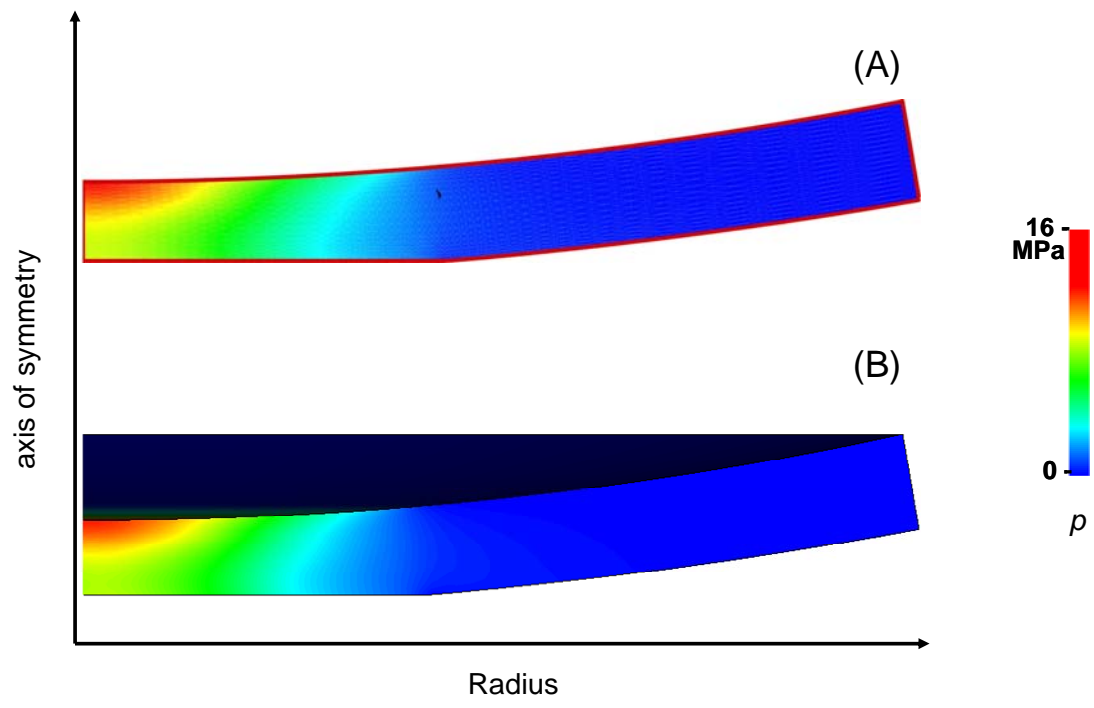


Figure 5



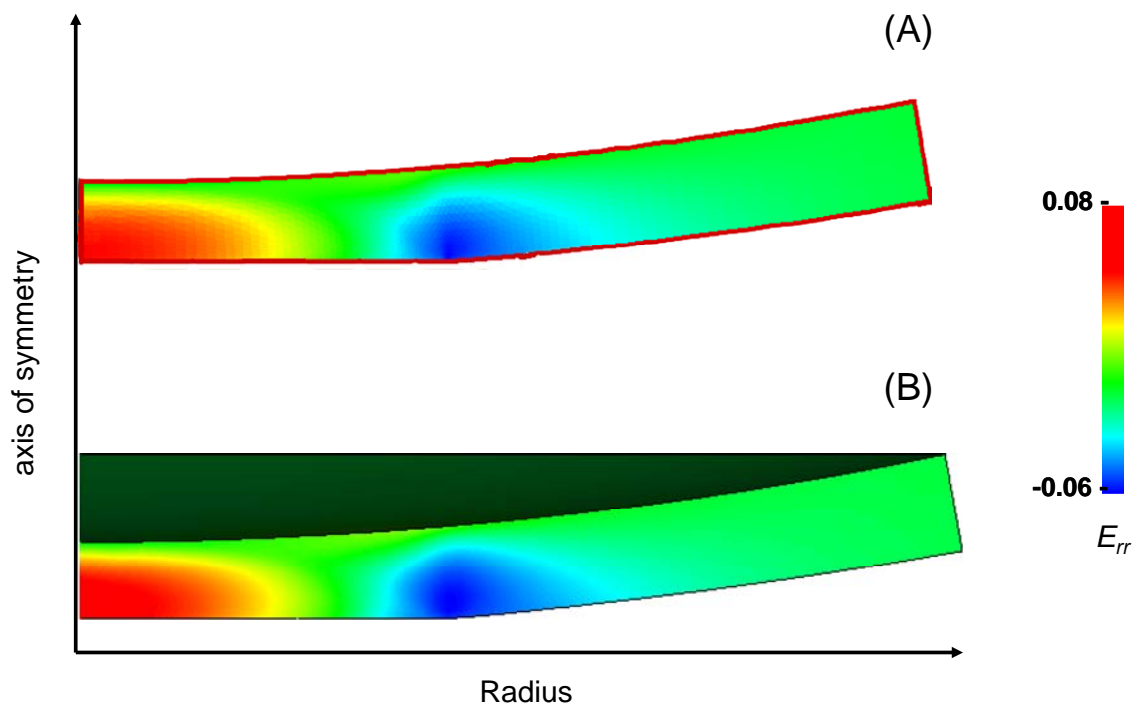


Figure 6

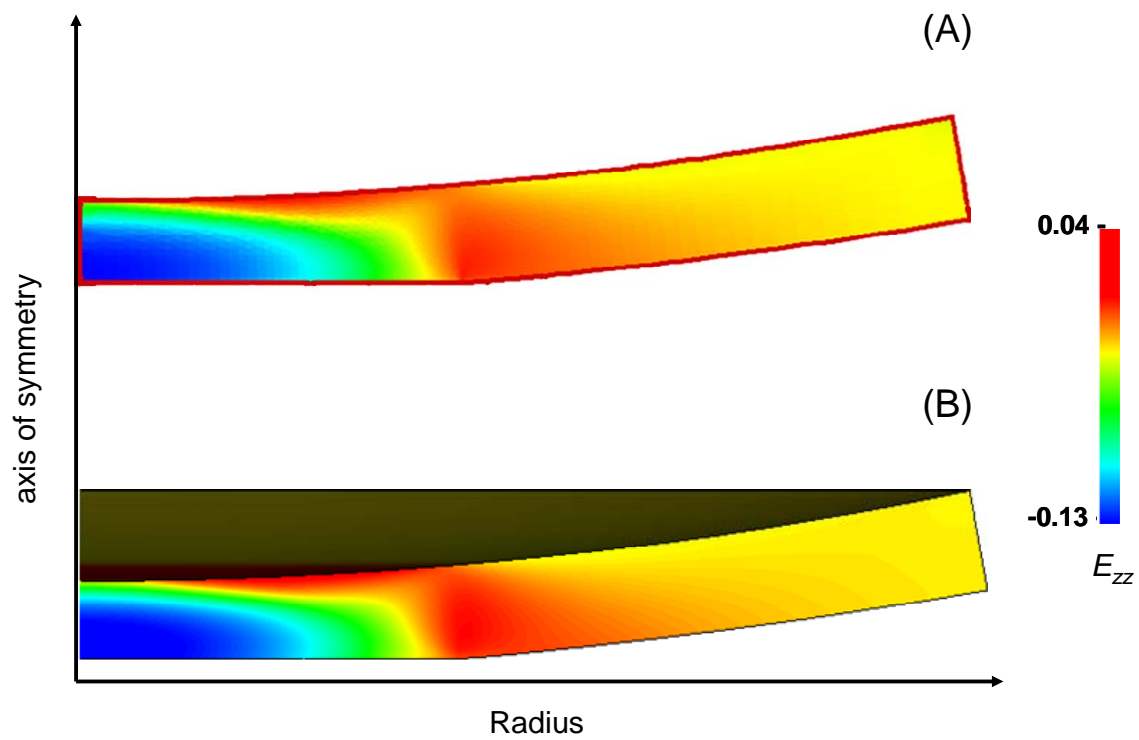


Figure 7