# Particle Merging-and-Splitting

Nghia Truong, Cem Yuksel, Chakrit Watcharopas, Joshua A. Levine, Robert M. Kirby

**Abstract**—Robustly handling collisions between individual particles in a large particle-based simulation has been a challenging problem. We introduce particle *merging-and-splitting*, a simple scheme for robustly handling collisions between particles that prevents inter-penetrations of separate objects without introducing numerical instabilities. This scheme merges colliding particles at the beginning of the time-step and then splits them at the end of the time-step. Thus, collisions last for the duration of a time-step, allowing neighboring particles of the colliding particles to influence each other. We show that our merging-and-splitting method is effective in robustly handling collisions and avoiding penetrations in particle-based simulations. We also show how our merging-and-splitting approach can be used for coupling different simulation systems using different and otherwise incompatible integrators. We present simulation tests involving complex solid-fluid interactions, including solid fractures generated by fluid interactions.

Index Terms—Particle-based Simulation, Collision Handling, Solid-Fluid Coupling

#### 1 INTRODUCTION

Particle-based simulations are commonplace in computer graphics, used for simulating a wide variety of physical 3 phenomena for different material types and phases. In particular, high-resolution simulations involving a large num-5 ber of particles can deliver complex animations with rich 6 visual detail. Yet, handling interactions between individual particles, especially collisions, has been a challenging 8 problem. This is not only because of the sheer number of interactions that can occur but also due to the difficulty of 10 robustly enforcing collision constraints in the presence of 11 other interactions between particles. 12

Not all particle-based simulations must explicitly consider pair-wise particle collisions. For example, Eulerian
fluid simulation handle interactions among particles differently, without directly considering collisions. In such cases,
explicit collisions are needed for handling boundaries and
coupling with other simulation systems.

However, particle-level collisions cannot always be 19 safely ignored. For example, particle-based simulations in-20 volving (non-granular) solids must properly incorporate 21 particle collisions. Unfortunately, common collision han-22 dling techniques based on force or impulse formulations 23 can be unstable or fail to resolve collisions, as we show 24 in this paper. Poorly handled particle collisions can lead to 25 catastrophic problems, such as inter-penetrations of separate 26 objects and instabilities that cause numerical failure or un-27 natural material behavior. In particular, fracture simulations 28 are highly sensitive to such instabilities, as the velocity spike 29 of a single particle can cause an entire object to instantly 30 crumble. 31

In this paper, we introduce *merging-and-splitting*, a simple method for robustly handling collisions between individual particles in particle-based simulations. When two (or more) particles come into contact, we first *merge* them

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into a larger meta-particle based on an inelastic collision 36 formulation. A meta-particle behaves as a single particle 37 during numerical integration. This treatment allows us to 38 compute the collective momentum of the colliding particles, 39 considering the influence of the surrounding particles. After 40 numerical integration, we *split* the colliding particles, fol-41 lowing the principles of elastic collision with momentum 42 conservation and energy preservation. This approach facil-43 itates information exchange between the colliding particles 44 for the duration of the time-step. Our tests show that our 45 merging-and-splitting scheme completely prevents inter-46 penetrations without introducing instabilities. 47

Merging-and-splitting also allows coupling different 48 particle-based simulations using different and otherwise 49 incompatible numerical systems. Our tests with coupling 50 different particle-based simulations include mass-spring 51 systems for deformable objects, peridynamics [1] for brittle 52 solids, and Smoothed Particle Hydrodynamics (SPH) [2], 53 [3] and Fluid-Implicit Particle (FLIP) [4] for fluids. In our 54 tests, we handle solids using implicit integration, SPH using 55 explicit integration, and FLIP using a semi-implicit scheme 56 (explicit advection and implicit pressure projection). We use 57 our merging-and-splitting technique for handling collisions 58 between particles of the same simulation system for solids 59 and particles simulated using different integration schemes. 60 In fact, the coupling between different simulation systems in 61 our tests is handled entirely via merging-and-splitting. Our 62 results clearly show that our merging-and-splitting scheme 63 is effective in coupling different particle-based formulations 64 with different types of numerical integrators. Using our 65 scheme, we also demonstrate unprecedented simulation 66 scenarios, like solid fracture due to fluid interaction. 67

#### 2 RELATED WORK

There is a large body of work on collision detection and handling in computer graphics. In this section we briefly review the most related methods on collision handling.

**Force-based Collision Response.** Arguably the most 72 popular technique for handling collisions is by applying 73

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Fig. 1: The overview of merging-and-splitting approach: (a) colliding particles are merged into (b) a meta-particle using the principles of inelastic collision. This meta-particle is used during time integration to compute (c) its position and velocity at the end of the time-step. Finally, the meta-particle is split into (d) the two original particles with updated velocities, using the principles of elastic collision with momentum and energy conservation.

penalty forces between colliding objects [5]–[7]. The magni-74 tude of a penalty force is determined based on the amount of 75 intersection between the two objects, so typical penalty force 76 77 formulations do not completely eliminate penetration. More importantly, the results are sensitive to the stiffness of the 78 penalty force, which is often controlled by a user-defined 79 parameter. Penalty forces can be distance-based [6], [8] or 80 volume-based [9], [10]. Their stability can be improved us-81 ing multiple contact points [11] or a global contact treatment 82 [12], and a friction term can be included [13]. Smooth col-83 lision responses can be achieved using continuous penalty 84 forces [14]. Harmon et al. [15], [16] present a method using 85 asynchronous variational integrators and a contact barrier 86 potential to avoid penetrations and conserve momentum 87 and energy, but simulations involving a large number of col-88 lisions require numerous iterations, making this approach 89 inefficient for particle-based simulations. Penalty forces are 90 also commonplace in cloth simulations [17]-[20]. Notably, 91 rigid impact zones were introduced [18], [19] to handle colli-92 sions by rigidifying the entire collision region, which have 93 similarities with our approach. 94

Similar force-based formulations are also used in solid-95 fluid coupling [21] by directly applying forces between 96 nearby particles [22], [23] or introducing additional pressure 97 force [24], [25]. The simplicity of the force formulation 98 allows coupling Eulerian fluid simulations with particle-99 based solid simulations [26] and particle-based fluid sim-100 ulations with deformable solids [27]-[29] and, using con-101 tinuous collision detection, sheet-based cloth simulations 102 [30], [31]. Recent work on handling collisions between fluid 103 particles and rigid boundaries include density maps [32], 104 volume maps [33], liquid boundaries [34] and pressure 105 boundaries [35], [36]. Density maps can also be augmented 106 to handle frictional contact [37], and strong fluid-rigid body 107 coupling simulation is achieved by interlinked pressure 108 solvers [38]. 109

Impulse-based Collisions. Another popular alterna-110 tive for handling collisions is impulse-based formulations, 111 which are common in rigid-body dynamics [39]-[43]. The 112 momentum and energy transfer between two colliding ob-113 jects are handled instantly by directly modifying the veloc-114 ities of colliding objects. Rest-in-contact situations can be 115 handled by careful treatments [40], [44]. Notably, iteratively 116 solving for the impulses needed has been widely used for 117 achieving complex scenarios, such as stacking and static 118 friction [42], [44], [45]. 119

Constrained Dynamics. Collision response can be for-120 121 mulated as constraints that prevent penetration [46]. It is

often implemented using Linear Complementary Program-122 ming (LCP) for searching the reaction space for the feasible 123 contact behavior [47] and it can be used for modeling 124 interactions of rigid bodies and deformable bodies [48]-125 [52], granular materials [53], fluids [54], [55], and quasi-126 rigid bodies [56]. Formulated as an optimization problem, 127 LCP is suitable for solving contact problems for which the 128 optimal solutions are rest positions with minimum energy 129 exchange [49], [57]-[59]. Robust contact handling which 130 facilitates smooth rolling and sliding, stacking and impact 131 handling was achieved by a formulation based on implicit 132 complimentary constraints and Lagrange multipliers [52]. 133

Grid-based Solutions. Hybrid Eulerian-Lagrangian sim-134 ulation methods can avoid explicitly handling collisions 135 by solving the aggregate collision behavior on a grid. For 136 example, fluid simulations using FLIP have been coupled 137 with hair [60] and cloth [61] simulations. The Material 138 Point Method [62] is another good example, providing 139 simulations of various material types, such as snow [62], 140 multi-species [63], [64] with phase transition [63], sand [65], 141 [66], elastoplastic solids, viscoelastic fluids, foams and 142 sponges [67]–[69], anisotropic elastoplastic materials [70], 143 [71], fluid-sediment mixture [72]. MPM can also achieve 144 solid-fluid coupling simulation [64], [72], [73], dynamic frac-145 ture [71], ductile fracture [74] and frictional contact [75]. 146

#### **MERGING-AND-SPLITTING** 3

We assume that each particle has a non-zero mass and a 148 spherical shape with non-zero radius. This is not necessarily 149 the case for all particle-based simulations. Some of them use 150 massless particles and some treat them as point samples 151 with no size. Therefore, we begin with assigning a mass and 152 a radius to each particle for collision purposes. 153

Our approach for robustly handling particle-level in-154 teractions is a scheme that we call *merging-and-splitting*. 155 This scheme first merges colliding particles (Fig. 1a) into 156 a larger *meta-particle* (Fig. 1b). We treat this meta-particle 157 as a regular particle, rather than a compound object made 158 up of multiple particles. This crucial simplification allows 159 seamlessly including the meta-particle in arbitrary particlebased simulation systems without modification. The metaparticle is integrated along with the other particles in the system for computing its updated position and velocity at the end of the time-step (Fig. 1c). Finally, we split the meta-164 particle into the original colliding particles (Fig. 1d). 165

Consider two particles with masses  $m_1$  and  $m_2$ , positions  $\mathbf{x}_1$  and  $\mathbf{x}_2$ , and velocities  $\mathbf{v}_1$  and  $\mathbf{v}_2$  colliding with each other. We merge the two particles based on an inelastic

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where

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collision formulation, such that the total mass, position, and velocity of the meta-particle become

$$m_{12} = m_1 + m_2 , (1)$$

$$\mathbf{x}_{12} = (m_1 \mathbf{x}_1 + m_2 \mathbf{x}_2) / m_{12} , \qquad (2)$$

$$\mathbf{v}_{12} = \left(m_1 \mathbf{v}_1 + m_2 \mathbf{v}_2\right) / m_{12} . \tag{3}$$

While this merging operation conserves momentum, it does not conserve kinetic energy, because it is based on inelastic collision principles. In our interaction scheme we compute the change in kinetic energy,  $\Delta E$ , and store it as a potential energy in a *virtual bond* between the two colliding particles, where

$$\Delta E = \frac{m_1 m_2}{2m_{12}} \left( \mathbf{v}_1 - \mathbf{v}_2 \right)^2 \ . \tag{4}$$

<sup>172</sup> Note that  $\Delta E$  cannot be negative, so we always store a non-<sup>173</sup> negative potential energy in the virtual bond between the <sup>174</sup> two particles.

The meta-particles are used during the time-step integration instead of the original particles. However, while computing the forces between the meta-particles and the surrounding particles, we use the relative positions of the merged particles that make up the meta-particles. Let **n** be the vector connecting the centers of the two colliding particles in the beginning of the time-step, such that

$$\mathbf{n} = \mathbf{x}_2 - \mathbf{x}_1 \ . \tag{5}$$

We assume that the meta-particle preserves the relative orientation of the merged particles throughout the timestep integration, so **n** remains constant. Therefore, we can find the positions of the merged particles using the updated position of the meta-particle  $\mathbf{x}'_{12}$ , such that

$$\mathbf{x}_1' = \mathbf{x}_{12}' - (m_2/m_{12}) \,\mathbf{n}$$
, and (6)

$$\mathbf{x}_{2}' = \mathbf{x}_{12}' + (m_{1}/m_{12}) \,\mathbf{n}$$
 (7)

 $\mathbf{x}_1' = \mathbf{x}_1 + \Delta \mathbf{x}$  and  $\mathbf{x}_2' = \mathbf{x}_2 + \Delta \mathbf{x}$ for Thus, 182  $\Delta \mathbf{x} = \mathbf{x}'_{12} - \mathbf{x}_{12}$ . Note that these simple equations can 183 be used to calculate the intermediate positions of the 184 merged particles at any time within the time-step for 185 computing forces between the meta-particles and the rest 186 of the simulation system. Since meta-particles are treated 187 as regular particles, forces acting on the meta-particles are 188 applied at the center of mass of the meta-particles. 189

During splitting, a portion of the potential energy  $\Delta E$ stored in the virtual bond converts back into kinetic energy, while the rest dissipates. The amount of energy restoration is controlled by a user-defined coefficient  $\alpha \in [0, 1]$  that serves as a restitution parameter (we use  $\alpha = 1$  in our tests, unless otherwise specified, so we fully conserve energy for collision handling without any dissipation). Let  $\mathbf{v}'_{12}$  be the velocity of the meta-particle at the end of the time-step integration. The final velocities of the particles  $\mathbf{v}'_1$  and  $\mathbf{v}'_2$  satisfy momentum and energy conservation equations

$$m_{12}\mathbf{v}_{12}' = m_1\mathbf{v}_1' + m_2\mathbf{v}_2' \tag{8}$$

$$\alpha \Delta E + \frac{1}{2}m_{12}\mathbf{v}'_{12}{}^2 = \frac{1}{2}m_1\mathbf{v}'_1{}^2 + \frac{1}{2}m_2\mathbf{v}'_2{}^2 .$$
(9)

<sup>190</sup> Using these two equations we can write

$$\left(\mathbf{v}_{12}' - \mathbf{v}_{1}'\right)^{2} = s^{2} , \qquad (10)$$

 $s^2$ 

$$=\frac{2\alpha\Delta E}{m_{12}\,(m_1/m_2)}\,.$$
 (11)

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Here the only unknown is  $\mathbf{v}'_1$ , and once it is solved,  $\mathbf{v}'_2$  can be calculated using momentum conservation (Equation 8).

Equation 10 describes the general energy and momentum conservation laws for splitting a meta-particle into any two particles (with masses  $m_1$  and  $m_2$ ) without considering the initial configuration prior to merging. Therefore, Equation 10 has infinitely many solutions. We consider the initial conditions of the collision event to narrow down the solution space.

We can split the change in velocity  $\mathbf{v}'_1 - \mathbf{v}_1$  into two components: one along the collision direction  $\hat{\mathbf{n}} = \mathbf{n}/|\mathbf{n}|$ ; and one along an orthogonal direction, using

$$\mathbf{v}_1' = \mathbf{v}_1 + \mu \hat{\mathbf{n}} + \boldsymbol{\epsilon} , \qquad (12)$$

where  $\mu$  is some scalar value and  $\epsilon$  the orthogonal velocity change, such that  $\epsilon \cdot \hat{\mathbf{n}} = 0$ . Substituting this into Equation 10 202 yields the quadratic equation 203

$$\mu^{2} - (2\hat{\mathbf{n}} \cdot (\mathbf{v}_{12}' - \mathbf{v}_{1} - \boldsymbol{\epsilon})) \mu + (\mathbf{v}_{12}' - \mathbf{v}_{1})^{2} - s^{2} = 0, \quad (13)$$

which has a closed form solution to  $\mu$  for a given  $\epsilon$ .

For simplicity, we favor solutions with minimal orthogonal momentum exchanges between colliding particles and take  $\epsilon = 0$ , resulting in momentum exchange along  $\hat{\mathbf{n}}$  only. If the quadratic equation has two real roots for  $\mu$ , we take the smaller one, since it ensures that the velocities  $\mathbf{v}_1'$  and  $\mathbf{v}_2'$  separate the particles, such that

$$(\mathbf{v}_2' - \mathbf{v}_1') \cdot \hat{\mathbf{n}} \ge 0 . \tag{14}$$

The other (larger) root for  $\mu$  would lead to final velocities 211 pointing towards each other, which would be an unacceptable solution for two colliding particles. 213

Yet, since we impose no restrictions on the time-step integration,  $\mathbf{v}'_{12}$  can theoretically be any arbitrary value and the resulting Equation 13 may have no real solution for  $\mu$  with  $\boldsymbol{\epsilon} = \mathbf{0}$ . In this case, we consider the solution that minimizes the magnitude of the orthogonal term  $|\boldsymbol{\epsilon}|$ . 218

We derive a closed form solution to this minimization geometrically, as shown in Fig. 2. Notice that Equation 10 defines a sphere of valid solutions for  $\mathbf{v}'_1$  that conserve energy centered at  $\mathbf{v}'_{12}$  with radius *s*. If the ray  $\mathbf{v}_1 + \mu \hat{\mathbf{n}}$  intersects with this sphere, we get up to two solutions for  $\mu$ , corresponding to the two intersection points of the ray with the sphere of valid solutions (Fig. 2a). If there is no real root for Equation 13, the ray does not intersect with this sphere (Fig. 2b). In that case, the point along the ray that minimizes  $|\boldsymbol{\epsilon}|$  is the closest point between the line  $\mathbf{v}_1 + \mu \hat{\mathbf{n}}$  and the sphere in Equation 10. Using this property and solving for  $\mu$  we can write

$$\mu = \hat{\mathbf{n}} \cdot (\mathbf{v}_{12}' - \mathbf{v}_1) \tag{15}$$

$$\boldsymbol{\epsilon} = (|\mathbf{w}| - s) \,\frac{\mathbf{w}}{|\mathbf{w}|} \tag{16}$$

where  $\mathbf{w} = \mathbf{v}'_{12} - \mathbf{v}_1 - \mu \hat{\mathbf{n}}$ . Notice that in this solution  $\epsilon$  is perpendicular to  $\hat{\mathbf{n}}$ .

An important property of our formulation is that, in 221 any case, the resulting velocities are guaranteed to separate 222

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order of merging. We have empirically verified that the order of merging does not affect the outcome of the final particle velocities after splitting. Thus, recursive merging can begin with any pair of particles. Note that by using merging-and-splitting, we assume that collisions between the particles are not instantaneous, but instead they can take as long as one time-step. This al-

lows the neighboring particles to interact with the colliding 265 particles and influence the outcome of the collision event. 266 Collisions that require even longer interactions than one 267 time-step, such as rest-in-contact situations, are handled in 268 the subsequent time-steps. 269

#### **COUPLING DIFFERENT INTEGRATORS** 4

Our merging-and-splitting approach can also be used for 271 coupling different particle-based simulation systems us-272 ing different integrators. We achieve this by introducing 273 collision-based interactions between particles of different 274 simulation systems. 275

When the particles of the two systems come into con-276 tact, we merge them into meta-particles, as explained in 277 the previous section. We include these meta-particles in 278 both simulation systems. However, since we impose no 279 restrictions on the integrators used for the two systems, 280 the two integrators are likely to produce two different 281 nce we cannot allow two 282 article, we must combine 283 We do so by producing 284 eta-particle using the two 285 itions are updated using 286 sure that the two systems 287 produce consistent results. Combining the solutions of two 288 different integrators is not a new concept [76]-[78]. What 289 is different about our approach is that we formulate our 290 synchronization similar to the merging operations we use 291 for generating meta-particles. 292

ocities of a meta-particle 293 at the end of the time-294 synchronized velocity  $\mathbf{v}_{12}'$ 295 two solutions. Consider 296 with masses  $m_1$  and  $m_2$ 297 he second simulation sys-298 he synchronized velocity 299 300

$$\mathbf{v}_{12}' = \left(m_1 \mathbf{v}_{12}^A + m_2 \mathbf{v}_{12}^B\right) / m_{12} . \tag{17}$$

This provides a weighted average of the meta-particle mo-301 menta generated by the two integrators, using the mass 302 percentages of the two particle types in the meta-particle 303 as weights. Yet, similar to merging, this operation leads 304 to energy dissipation. To avoid this, we also consider the 305 weighted average of the kinetic energy 306

$$\bar{E}_{k} = \frac{1}{2}m_{1}\left(\mathbf{v}_{12}^{A}\right)^{2} + \frac{1}{2}m_{2}\left(\mathbf{v}_{12}^{B}\right)^{2} .$$
(18)

Since Equation 17 cannot preserve all of this kinetic energy, 307 we add  $\Delta E_k$ , the energy lost in Equation 17, to the virtual 308 bond of the meta-particle, using 309

$$\Delta \bar{E}_k = \frac{m_1 m_2}{2m_{12}} \left( \mathbf{v}_{12}^A - \mathbf{v}_{12}^B \right)^2 \,. \tag{19}$$

(b) (a) Fig. 2: Geometric solutions to the quadratic equation in Equation 13 showing the sphere of valid solutions centered around  $\mathbf{v}'_{12}$  that conserve momentum and energy: (a) quadratic equation has roots, and (b) quadratic equation has no real roots.

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the sphere of valid solutions

the particles, as in Equation 14. The solution to  $\mathbf{v}_2'$  can be 223 computed fror n, such that 224

$$\mathbf{v}_2' = rac{m_{12}}{m_2}\mathbf{v}_{12}' - rac{m_1}{m_2}\mathbf{v}_1' \; .$$

Therefore, we 225

$$(\mathbf{v}_2' - \mathbf{v}_1') \cdot \hat{\mathbf{n}} = -\frac{m_{12}}{m_2} (\mathbf{v}_1' - \mathbf{v}_{12}') \cdot \hat{\mathbf{n}}$$

If Equation 13 has no root,  $(\mathbf{v}'_1 - \mathbf{v}'_{12})$ . 226  $(\mathbf{v}'_1 - \mathbf{v}'_{12})$  is perpendicular to  $\hat{\mathbf{n}}$  (Fig. 2b) 227 we pick the smaller root, which makes ( $\mathbf{v}_1'$  -228 (Fig. 2a). Therefore, Equation 14 is always satisfied. 229

Note that any solution that satisfies Equation 10 con-230 serves both momentum and energy. The procedure de-231 scribed above picks a valid solution that conforms to the 232 initial collision configuration of the merged particles. There-233 fore, whether Equation 13 has a real roc 234 minimal orthogonal momentum e 235 must be assumed has no practica 236 momentum and energy are alway 237 we impose no restrictions on th 238 have no control over the resulti 239 240 require a non-zero orthogonal te particle configuration. Thus, it we 241 ways expect a solution with  $|\epsilon| =$ 242 we pick a consistent solution that 243 term. 244

In our tests, we observed that when colliding particles 245 are free (i.e. not connected to other particles) or have weak 246 connections to other particles, we often find a solution for 247  $\mu$  with  $|\epsilon| = 0$ . On the other hand, if the motion of one 248 particle is restricted (such as when the object is pushed 249 against the simulation boundary or particles are attached 250 to immobile points), which in turn restricts the motion of 25 the meta-particle, a high percentage of the solutions contain 252 a non-zero orthogonal term (i.e.  $|\epsilon| > 0$ ). 253

254 If more than two particles collide within the same timestep, we recursively merge these particles into larger meta-255 particles. After computing the updated momentum for the 256 25 final meta-particle, we recursively split them in the inverse

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$$\hat{\mathbf{n}} = 0, \text{ since}$$

$$\hat{\mathbf{n}} = 0, \text{ solutions. The meta-particle posite + 0, \text{ solutions} + 0, \text$$

Subscription for the two system step integration. We calculate the using a weighted average of that before merging the particle originally belong to the first and that before merging the particle originally belong to the first and tems, respectively. We compute 
$$\mathbf{v}'_{12}$$
 using  $\mathbf{v}'_{12} = (m_1 \mathbf{v}_{12}^A + m_2)^2$ 

m momentum conservation
$$\mathbf{v}_2' = \frac{m_{12}}{m_1} \mathbf{v}_{12}' - \frac{m_1}{m_2} \mathbf{v}_1'$$

$$\mathbf{v}_2' = \frac{1}{m_2} \mathbf{v}_{12}' - \frac{1}{m_2} \mathbf{v}_1' \cdot \mathbf{v}_1'$$
  
e can write  
$$\mathbf{v}_2' - \mathbf{v}_1') \cdot \hat{\mathbf{n}} = -\frac{m_{12}}{m_2} \left( \mathbf{v}_1' - \mathbf{v}_{12}' \right) \cdot \hat{\mathbf{n}} \cdot \mathbf{v}_1' \cdot \mathbf{v$$

Let 
$$\mathbf{v}_{12}^{\prime}$$
 and  $\mathbf{v}_{12}^{\prime}$  be the velo  
generated by the two systems a  
sequence, since both  
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a integration, so we  
value, which may  
preserve the initial  
e unreasonable to al-  
metheless, in any case  
 $\mathbf{v}_{12}^{\prime}$  and  $\mathbf{v}_{12}^{\prime}$  be the velo  
generated by the two systems a  
step integration. We calculate the s  
using a weighted average of the  
that before merging the particles  
originally belong to the first and the  
tervel. Furthermore,  
value, which may  
preserve the initial  
e unreasonable to al-  
metheless, in any case  
 $\mathbf{v}_{12}^{\prime}$  using  
 $\mathbf{v}_{12}^{\prime} = (m_1 \mathbf{v}_{12}^{\prime} + m_2 \mathbf{v}_{12}^{\prime})$ 

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During splitting, we multiply this energy with another user-310 defined parameter,  $\beta \in [0, 1]$ , which determines the percent-311 age of this energy that should be preserved, and add it to 312 امد منا h

$$s^{2} = \frac{2\left(\alpha\Delta E + \beta\Delta\bar{E}_{k}\right)}{m_{12}\left(m_{1}/m_{2}\right)} .$$
<sup>(20)</sup>

The synchronized velocities produced by these oper-314 ations ensure that we have a single solution for each 315 meta-particle. Coupling different simulation systems using 316 merging-and-splitting has the obvious advantage that there 317 is no need to track the interface between the two systems. 318 Furthermore, it allows coupling particle-based simulation 319 systems that are designed for different material types and 320 material behavior for handling interesting simulation sce-321 narios that are beyond the capabilities of current unified 322 simulation systems. 323

#### IMPLEMENTATION DETAILS 5 324

Our merging-and-splitting scheme can be used in various 325 326 ways for handling collisions within a simulation system or coupling different simulation systems. Yet, there are a num-327 ber of details specific to merging-and-splitting that need to 328 be considered. In this section we provide the details of our 329 implementation and the reasons behind our implementation 330 decisions. While most of these details are specific to our 33 implementation, they represent a list of potential issues that 332 one needs to consider for any implementation of merging-333 and-splitting. A significant portion of our implementation 334 decisions are related to the collision detection scheme we 335 use. 336

#### 5.1 Collision Detection 337

Our implementation uses a simple collision detection scheme that merely checks whether particles intersect at the beginning of each time-step. If two particles intersect, they are merged and integrated as a meta-particle. If the metaparticles are split before the positions update, our method guarantees that the split particles will not move towards each other, so they may no longer be in contact in the beginning of the next time-step. While this may appear like a positive outcome at first glance, it can fail to prevent penetrations for contact situations that require multiple time-steps to resolve (such as rest-in-contact). Therefore, in our implementation we perform splitting after position update. This means that the same two particles will remain in contact in the beginning of the next time-step as well. Thus, if we only rely on the particle positions for collision detection, two particles that come into contact would perpetually remain in contact. We avoid this by introducing a secondary rule for collision detection, such that intersecting particles are merged only if their velocities are towards each other. Let  $r_1$  and  $r_2$  be the collision radii of the colliding particles. We use the following two simple rules for collision detection:

Collision Rule 1: 
$$|\mathbf{x}_2 - \mathbf{x}_1| < r_1 + r_2$$
 (21)

Collision Rule 2: 
$$\mathbf{n} \cdot (\mathbf{v}_2 - \mathbf{v}_1) < 0$$
 (22)

For handling the first rule, limiting the time-step size 338 is important to ensure that we do not miss collisions. To 339 compute a safe time-step size, we employ a typical CFL 340 condition that limits the motion of particles within a time-341 step to no more than particle radius. This ensures that our 342 simple collision detection mechanism does not miss head-on 343 collisions between particles, but collisions at grazing angles 344 can be missed. Note that alternative approaches such as 345 using a continuous collision detection scheme [79] instead 346 would entirely avoid this limit on the time-step size. 347

The second rule ensures that the colliding particles 348 do not perpetually remain in contact. Indeed, since our 349 merging-and-splitting scheme produces final velocities for 350 the merged particles that point away from each other, it is 351 guaranteed that the two intersecting particles will not be 352 merged in the beginning of the next time-step. However, 353 if the collision event must last longer than one time-step 354 (such as rest-in-contact situations), the intersecting particles 355 must be merged the next time-step as well. To facilitate this, 356 our implementation uses a two-stage integration scheme. 357 In the first stage, we only merge intersecting particles with 358 velocities pointing towards each other (following the second 359 rule). We mark intersecting particles with velocities pointing 360 away from each other, but we do not merge them. If the 361 marked particles at the end of the time-step integration 362 have velocities pointing towards each other, we recompute 363 the time-step integration in a second stage by merging 364 those marked particles in the beginning of the time-step. 365 Obviously, this two-stage integration scheme nearly doubles 366 the computation time. 367

In theory, at the end of the second stage, we can detect 368 that other marked particles that were not merged prior to 369 the second stage may require merging. Therefore, handling 370 all collisions with this approach may need more than two 371 stages. Yet, in our implementation we only use two stages 372 and we have not observed any practical consequences of not 373 adding extra stages as needed. 374

An alternative solution would be to modify the inter-375 secting particle positions at the end of each time-step to 376 ensure that the previously merged particles no longer inter-377 sect. However, while this is a commonly-used technique in 378 computer graphics [23], [29], position corrections often inject 379 extra energy into the system that impacts the stability of 380 the simulations or introduces artificial vibrations. Moreover, 381 this seemingly minor energy injection of position correction 382 can lead to catastrophic events with fracture simulations, 383 instantly shattering all bonds and causing entire objects to 384 explode into individual particles. Also, position corrections 385 can cause other particles to intersect. That is why we use 386 the more expensive two-stage integration approach in our 387 implementation. 388

We use our merging-and-splitting scheme for handling 389 collisions between solid particles integrated using the same 390 system. However, we ignore intersections of neighboring 391 particles that are directly connected via springs. Thus, we 392 can have high-resolution solid simulations with neighboring 393 particles almost intersecting with each other, without intro-394 ducing undesirable internal collisions between neighboring 395 particles. 396

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**Fig. 3: Comparison of different methods for handling solid-solid collisions:** cross-section views of simulations including a soft elastic cloth hit by a solid ball in free fall. The bottom row shows the same simulation with the solid ball falling from a higher initial position (i.e. faster impact). All particles have identical mass and weak/medium/strong penalty and SPH-based forces differ by an order of magnitude. Only our merging-and-splitting approach prevents penetrations without introducing instability for both cases.



**Fig. 4: Comparison of different methods for handling solid-fluid collisions:** cross-section views of simulations including a soft elastic cloth hit by a fluid beam. Each column shows the same simulation at two different time steps. All particles have identical mass and weak/strong penalty and SPH-based force stiffness parameters differ by an order of magnitude. Notice that only our merging-and-splitting approach prevents penetrations without introducing instability.

#### 397 5.2 Solid-Fluid Coupling

Solid-fluid coupling is an important application in computer 398 graphics [21]. In our system, after collision detection, we 399 merge colliding particle pairs recursively. When coupling 400 integrators for solid and fluid simulations, large chains of 401 particle intersections can occur at the solid-fluid boundary. 402 Merging all intersecting particles of a chain into a single 403 meta-particle can rigidify the entire solid-fluid interface and 404 lead to unnatural results. We use a simple fix that merely 405 limits the number of particles in a meta-particle using a 406 threshold *n*. This breaks large chains of intersecting particles 407 into multiple groups. Our tests show that the simulations are not sensitive to the value of this threshold, unless a very 409 small or a very large number is used. When n is too small, 410 ignored collisions due to this limit can lead to penetrations. 41 On the other hand, when n is too large, the entire solid-fluid 412 interface can get rigidified. We use a number between 8 and 413 64 in our tests, producing similar results. Our implementa-414 tion performs merging in the order of particle indices. To 415 introduce randomness in grouping, before starting to merge 416 each group, we can randomly pick a limit between two user-417 specified limits  $n_{\min}$  and  $n_{\max}$ . 418

Limiting the number of particles in a meta-particle has the obvious theoretical drawback that some relatively small fraction of collision events would be ignored at each time step. Yet, as our experiments confirm, this is of little practical concern. This is because, with randomized grouping, we do
not persistently ignore specific pairs of colliding particles,
i.e. a collision event that is ignored in one time step is likely
to be considered in the next time step.

## 6 RESULTS

We evaluate our merging-and-splitting method by compar-428 ing it to typical alternative techniques for handling collisions 429 in particle-based simulations and presenting large simula-430 tions examples, including solid-fluid coupling tests using 431 different simulation systems. We use  $\alpha = 1$ ,  $\beta = 0$ , and 432  $n_{\min} = 8, n_{\max} = 64$  in all simulations, unless otherwise 433 specified. The rendered surfaces are generated using level-434 sets [80] for fluid particles and tetrahedralization of the 435 solid mesh in the beginning of the simulation [81] for solid 436 particles. 437

#### 6.1 Comparisons

We compare our merging-and-splitting method with forcebased approaches, using penalty [6], [8] or SPH-based [22], [23] force formulations, and impulse-based collision handling methods [39]–[43]. 442

Fig. 3 shows comparisons of different methods for handling collisions within a particle-based solid simulation. The top and bottom rows show a ball falling onto an elastic

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Fig. 5: Comparison of different methods for collision handling in fracture simulation: a solid brittle wall is hit by a dense ball with  $20 \times$  heavier particles. The bottom row shows the same simulation from a different view at a later time step. Notice that only our merging-and-splitting approach can produce a stable fracture simulation with pleasing result.

cloth from two different heights. The ball is simulated 446 using peridynamics [1] with implicit integration and a high 447 threshold that prevents fracturing. The cloth is simulated 448 using a mass-spring system within the same implicit inte-449 gration system used for peridynamics. As can be seen in 450 the figure, using a weak penalty or SPH-based force fails 451 to resolve penetration. Increasing the stiffness of the force 452 formulation helps, but when the force is too strong with 453 either force-based formulation, it can make the simulation 454 system highly unstable. In practice, such instabilities can 455 be avoided by carefully tuning the stiffness parameter, but 456 457 the right stiffness values depend on the collision scenario. Notice that the stiffness that works for the top row does not 458 work for the bottom row showing faster impact and vice-459 versa. Impulse-based collisions fail to prevent penetration, 460 since they handle colliding particles in isolation using in-461 stant velocity updates. Our merging-and-splitting approach 462 completely prevents penetration and properly resolves the 463 collisions without introducing instabilities for both cases. 464 Notice that the deformations of the cloth with merging-and-465 splitting are similar to the deformations achieved with force-466 based formulations using the right stiffness parameters. 467

Similar tests involving solid-fluid coupling are shown in 468 Fig. 4. In these tests, a cylinder-shaped fluid column with 469 high velocity falls onto an elastic cloth. The fluid particles 470 are simulated using SPH [3] with explicit integration and the 471 elastic cloth is simulated using a mass-spring system with 472 implicit integration. The solid-fluid coupling is handled 473 entirely via particle-level collisions. As can be seen in the 474 figure, penetrations cannot be avoided with weak penalty 475 or SPH-based forces. Stronger forces lead to fluid particles 476 bouncing back with high velocity. Again, these problems 477 can be avoided by tuning the stiffness parameter accordingly. Impulse-based collisions cannot prevent penetration 479 either and consistent low-velocity impacts without position 480 correction lead to fluid particles slowly passing through 481 the cloth layer. Our merging-and-splitting method produces 482 perfect separation between the fluid and the solid systems 483 and completely prevents penetration without introducing 484 instabilities. 485

Particle-based fracture simulations using peridynamics are typically handled using penalty forces [1], which require extremely small time step size ( $\Delta t \approx 10^{-7}$ ) and parameter tuning until a desirable animation is produced.



**Fig. 6:** Frames from our simulation with high impact collision between a ball and a brittle wall using peridynamics with merging-and-splitting.



**Fig. 7: Different mass ratios:** cross-section views of simulations including a soft elastic cloth hit by a solid ball made up of the same or heavier particles using our merging-and-splitting method.

This is presented in Fig. 5 with a brittle wall hit by a high-490 velocity ball with  $20 \times$  heavier particles, both simulated us-491 ing peridynamics. When the collision forces are too strong, 492 the wall crumbles into tiny pieces. Weak forces or impulse-493 based collisions lead to penetrations before fracture. Eventu-494 ally, all collision handling methods lead to fracture, but they 495 differ by the amount of inter-penetration occurring prior to 496 fracture and the visual quality of the fracture. Our merging-497 and-splitting scheme, in comparison, produces stable and 498 expected results without any parameter tuning (Fig. 6). 499

Our merging-and-splitting method can stably handle variations in mass, since meta particles allow significant energy transfer between objects during each time step without introducing instabilities, as demonstrated in Fig. 7.

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**Fig. 8:** Example frames from our simulation tests for coupling peridynamics and SPH using merging-and-splitting.

#### 504 6.2 Solid-Fluid Coupling

Different examples of solid-fluid coupling using merging-505 and-splitting are shown in Fig. 8. In these examples the fluid 506 particles are simulated using SPH, the cloth is simulated 507 using a mass-spring system, and the brittle solid objects 508 are simulated using peridynamics. The cloth model contains 509 only a single layer of particles. Nonetheless, no fluid particle 510 penetrates through the cloth surface. The interactions using 511 512 merging-and-splitting provide two-way coupling between the fluid and the cloth model as well as the cloth and the 513 tori. The other examples, showing SPH and peridynamics 514 coupling, present solid fracturing due to fluid interaction, 515 enabling new forms of simulation scenarios that can be ro-516 bustly handled using our merging-and-splitting approach. 517 In the dam break example, the first impact of fluid particles 518 lead to small fractures around the wall. These fractures form 519 weak points that ultimately break the wall and the broken 520 pieces are carried away by the fluid. The next example 521 shows a bowl breaking as it hits the water surface. The 522 reflected waves push the bottom part of the bowl up, 523 together with fluid particles that were previously gathered 524 on it, forming a secondary splash. All of these complex 525 examples provide clear evidence of two-way coupling be-526 tween the two simulation systems, including high-velocity 527 impact situations that are traditionally challenging to handle 528 robustly. 529

Fig. 9 shows similar coupling examples using FLIP and 530 a mass-spring system or peridynamics, simulated using 531 implicit integration. Although FLIP typically uses massless 532 marker particles, we assigned mass to fluid particles for 533 simulating interactions with solid particles using our merg-534 ing and splitting method. As can be seen in the figure, 535 similar levels of complex interactions between solid and fluid simulations can be achieved using FLIP as well. Since 537 FLIP uses an Eulerian pressure solver, in our implementa-538 tion, coupling FLIP with another particle-based simulation 539



**Fig. 9:** Frames from our simulation system coupling FLIP with (top) mass-spring system and (bottom) peridynamics.

TABLE 1	1: Aver	age co	mputatio	on times	per time	step
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Scene	Fig. 6	Fig. 8 top	Fig. 8 middle	Fig. 8 bottom	Fig. 9 top	Fig. 9 bottom
Solid Particles	247.9K	102K	112.5K	121.9K	191K	191K
Fluid Particles	-	845K	655K	6.5M	1.4M	1.4M
Collision Detection	53 ms	73 ms	270 ms	392 ms	42 ms	43 ms
Particle Merging	3.1 ms	34.0 ms	66.5 ms	222.6 ms	40.5 ms	37.0 ms
Integration Stage-1	0.8 sec	0.5 sec	2.6 sec	12.9 sec	4.5 sec	2.2 sec
Integration Stage-2	0.7 sec	0.4 sec	1.9 sec	12.1 sec	4.2 sec	2.1 sec
Particle Splitting	0.4 ms	0.4 ms	8.9 ms	18.2 ms	10.4 ms	9.7 ms
Total Time	3.5 sec	1.6 sec	5.3 sec	27.4 sec	10.6 sec	6.4 sec

system also involves marking the grid cells occupied by *all* 540 particles in both systems. This is necessary to ensure that the 541 pressure projection step of FLIP correctly identifies which 542 cells are occupied with particles and which cells are empty. 543 Other than this minor modification to FLIP, the two simulation systems handling solids and fluids run separately, 545 exchanging information via merging and splitting alone. 546

#### 6.3 Performance

The performance of our simulations largely depends on 548 the performances of the underlying particle-based systems 549 used. The performance results of our tests are included 550 in the Table 1. Notice that particle merging and particle 551 splitting operations take only a negligible fraction of the 552 computation time. Most of the computation time is spent 553 in the integration steps. In particular, peridynamics inte-554 gration, involving an implicit solver for a large number of 555 particles, each of which is connected to hundreds of other 556 particles via stiff springs, can be considerably slow. Yet, 557 because our implementation uses a two-stage integration 558 scheme, our merging-and-splitting implementation effec-559 tively doubles the computation time (by introducing an 560 additional integration step). This additional overhead can be 561 reduced by using the result of the first integration step as the 562 initial guess for the second integration step, an optimization 563 that is not included in our tests. 564

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**Fig. 10:** Cross-section view of a simple solid-fluid coupling test, including a column of fluid falling onto an elastic cloth model, demonstrating the impact of different energy conservation parameters: (a) no energy preservation with  $\alpha = 0$  and  $\beta = 0$ , (b) full energy preservation for merging using  $\alpha = 1$  and no energy preservation with velocity synchronization using  $\beta = 0$ , and (c) full energy preservation with  $\alpha = 1$  and  $\beta = 1$ .

#### 6.4 Parameters

Our merging-and-splitting approach has only  $\alpha$  and  $\beta$  pa-566 rameters that control the energy conservation behavior for 567 collisions and coupling different integrators (Equation 20). 568 With  $\alpha = \beta = 1$  all merging-and-splitting operations fully 569 conserve energy and all energy loss is due to damping 570 or time-step integration. Using  $\alpha = \beta = 0$ , a portion 57 of the energy is still conserved, but only as needed for 572 momentum conservation, and merging-and-splitting oper-573 ations dissipate the rest. The impact of different  $\alpha$  and 574  $\beta$  parameters with merging-and-splitting is shown with a 575 simple example in Fig. 10. Notice that energy conservation 576 in this example has a relatively minor impact on the final 577 result, causing the fluid to jump back slightly higher after 578 579 impact. This is typical for all simulations we have tested, but it may be possible to design counter examples where 580 energy conservation can play a more prominent role. 58

Our implementation also includes  $n_{\min}$  and  $n_{\max}$  pa-582 rameters that limit the number of particles within a meta-583 particle. Fig. 11 shows impact of limiting the number of 584 particles in a meta-particle. In this example, a block of fluid 585 fall onto an elastic cloth, such that the initial impact covers 586 the entire cloth surface (Fig. 11a). Therefore, allowing an 587 unlimited number of particles to merge into a single meta-588 particle effectively rigidifies the entire cloth, significantly 589 impacting the simulation outcome (Fig. 11b). In this case, the 590 rigidification remains permanent, as the solid-fluid contact 59 persists, preventing any deformation. Limiting the number 592 of particles in a meta-particle using limit parameters  $n_{\min}$ 593 and  $n_{\rm max}$  leads to similar results (Fig. 11c-e). However, 594 when the limit is too small, it prevents properly resolving 595 some collision events, failing to stop some fluid particles to 596 pass through the cloth model (Fig. 11f). While this example 597 has been carefully chosen to present the problems of using 598 a very small limit (i.e.  $n_{\min} = 4$ ) or an unlimited number 599 of particles in a meta-particle, with all our experiments 600 (including this one) we observed similar results when using 601 602 parameter values for  $n_{\min}$  and  $n_{\max}$  set to 8 to 64, respectively. Therefore, we conclude that our implementation is 603 not sensitive to the values of the limit parameters for the 604 scenes we tested. 605

# 7 DISCUSSION

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Conceptually, our merging-and-splitting scheme can be con-607 sidered similar to impulse-based collisions. However, un-608 like explicit impulse-based collisions that instantaneously 609 resolve the collisions, we keep the particles in contact for 610 the entire duration of a time step. This is a crucial com-611 ponent of our method, which allows colliding objects to 612 exchange a substantial amount of momentum, beyond what 613 can be stably accomplished using force-based or impulse-614 based formulations within a time step. When using explicit 615 integration, this guarantees that the particles do not pene-616 trate further during the time step. With implicit integration, 617 merging allows information exchange between different 618 colliding bodies through the meta-particles while solving 619 the implicit system. This makes merging-and-splitting par-620 ticularly favorable for implicit integration. 621

Since meta-particles are split at the end of each time step with relative velocities of colliding particles guaranteed to be pointing away from each other, colliding particles should not be considered "glued," though they maintain contact throughout a time step. Meta-particles merely facilitate neighboring particles on either side to exchange information.

Note that most of the problems we present regarding force-based collision formulations can be resolved by increasing the stiffness and reducing the time-step size or by solving for the magnitude of the force/impulse within an implicit system.

Position correction is a common approach used in com-634 puter graphics for preventing penetrations. In fact, position 635 correction (along with parameter tuning) plays a crucial 636 role in various simulations in prior work using force-based 637 collision models to demonstrate seemingly stable collision 638 responses. However, position correction artificially injects 639 or removes energy. This can have catastrophic results es-640 pecially with fracture simulations of brittle materials using 641 peridynamics. Furthermore, position correction may move 642 particles into collisions with other particles. Therefore, we 643 have entirely avoided position corrections in all our tests in 644 this paper. Consequently, we do not compare our approach 645 to position-based dynamics [45], [82], [83], which completely 646 relies on position updates. 647

Merging-and-splitting using the implementation we de-648 scribe in this paper can properly handle rest-in-contact situ-649 ations. When a particle rests on another particle, both with 650 no velocity, they are not merged before our first integration 651 step. After the first integration step, however, the resulting 652 velocity changes indicate that the particles must be merged. 653 As a result, they preserve their relative positions during the 654 recomputation in the second integration step. 655

While our merging and splitting operations are based on the principles of momentum and energy conservation, we impose no restrictions on the integrators, which are treated as black-boxes. Therefore, the velocity update provided by the integrators do not necessarily conserve energy or momentum.

While we have used similar particle sizes in most of our tests, merging-and-splitting does not inherently require the particles to have a uniform size. Particles with significantly different sizes can be handled, as long as collisions can be



**Fig. 11:** A block of fluid falling with gravity onto a soft elastic cloth: (a) the initial conditions before the collision and (b-f) the simulation state a few seconds after the collision, comparing different parameters for  $n_{\min}$ , and  $n_{\max}$  that limit the number of particles in a meta-particle. Cloth particles having the same color are merged into the same meta-particle. Notice that (b) using an unlimited number of particles in a meta-particle with  $n_{\min} = n_{\max} = \infty$  leads to rigidification and (f) using too small limits causes leaks.

safely detected. Similarly, if the particle distribution is not
 dense enough and that the material includes large-enough
 holes, penetrations may occur.

The orthogonal momentum exchange in Equation 12 can 660 be considered a form of frictional contact. Yet, this is not 670 a physically-based friction formulation. Instead, our for-671 mulation aims to minimize orthogonal momentum transfer 672 between colliding particles. Thus, the lack of a physically-673 based friction model is a limitation of our meta-particle 674 splitting formulation. It is important to note that properly 675 modeling friction may require modifying time-step integra-676 tion accordingly, which we deliberately avoided to provide 677 678 a general coupling solution for otherwise incompatible simulation systems. 679

Another limitation of our formulation is that we assume 680 that meta-particles maintain the relative positions of col-681 liding particles throughout the time step. An interesting 682 future direction would be exploring angular momentum 683 conservation for meta particles and introducing rotations 684 during the time-step integration or prior to splitting. This could be handled by treating meta-particles as rigid bodies, 686 similar to rigid impact zones [19]. However, this would 687 also require modifying the particle-based simulation system 688 accordingly, so that it can handle rigid bodies along with 690 particles.

## 691 8 CONCLUSION

We have introduced merging-and-splitting, a new model 692 for robustly handling collisions with particle-based simula-693 tions. This approach also allows coupling different particlebased simulation systems using different integrators that 695 are designed for representing different material types and 696 697 phases. We have shown that our method is effective in handling collision within a simulation system and coupling 698 separate simulations of different materials. We have also 699 shown novel simulation examples involving solid fracture 700 due to fluid interaction. 701

An interesting direction for future research would be testing the effectiveness of the merging-and-splitting approach for reproducing macro-scale behavior in solid-fluid coupling, such as computing drag and lift forces, capillary effect, as well as buoyancy. Also, using merging-andsplitting for coupling different fluids, such as gasses and liquids, may reveal interesting challenges and new appli-<br/>cations for future research. In addition, since merging-and-<br/>splitting only considers kinetic energies and linear momenta<br/>of the colliding particles, taking angular momentum into<br/>account with merging-and-splitting would be an interesting<br/>future research direction for physics-based animation.708<br/>709

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