# POLYNOMIAL-AUGMENTED NEURAL NETWORKS (PANNS) WITH WEAK ORTHOGONALITY CONSTRAINTS FOR ENHANCED FUNCTION AND PDE APPROXIMATION \*

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Abstract. We present polynomial-augmented neural networks (PANNs), a novel machine learning architecture that combines deep neural networks (DNNs) with a polynomial approximant. PANNs combine the strengths of DNNs (flexibility and efficiency in higher-dimensional approximation) with those of polynomial approximation (rapid convergence rates for smooth functions). To aid in both stable training and enhanced accuracy over a variety of problems, we present (1) a family of orthogonality constraints that impose mutual orthogonality between the polynomial and the DNN within a PANN; (2) a simple basis pruning approach to combat the curse of dimensionality introduced by the polynomial component; and (3) an adaptation of a polynomial preconditioning strategy to both DNNs and polynomials. We test the resulting architecture for its polynomial reproduction properties, ability to approximate both smooth functions and functions of limited smoothness, and as a method for the solution of partial differential equations (PDEs). Through these experiments, we demonstrate that PANNs offer superior approximation properties to DNNs for both regression and the numerical solution of PDEs, while also offering enhanced accuracy over both polynomial and DNN-based regression (each) when regressing functions with limited smoothness.

Key words. Scientific Machine Learning, Polynomial Methods, Physics-Informed Neural Networks, Partial differential equations

MSC codes. 68T07, 68U99, 65N99

1. Introduction. Recent advancements in machine learning, particularly within deep neural networks (DNNs), have significantly impacted various scientific fields due to their broad applicability and flexibility [34, 38, 74]. DNNs are popular primarily due to their expressiveness, scalability, and efficient optimization with gradient descent methods through the use of automatic differentiation. DNNs are versatile tools capable of solving diverse problems ranging from classification and regression to PDE approximation and image recognition. Recently, DNNs have also been applied to both forward and inverse partial differential equations (PDEs) in the form of physicsinformed neural networks (PINNs), which extend the capabilities of standard DNNs by incorporating a physics-based loss term into the data loss [61, 62]. DNNs are also generalizable on diverse data and domain types without requiring a priori knowledge of solution characteristics [48, 50]. This property is especially beneficial in the context of PINNs, as they eliminate the need for mesh generation that is mandated by many traditional numerical methods for PDEs. Furthermore, DNNs arguably break the curse of dimensionality [7, 12, 29, 32], meaning that as the problem dimension increases, the required network size for accurate approximations does not grow exponentially with dimension. These traits allow DNNs to approximate complicated functions effectively [18].

Despite the many advantages of DNNs, their use comes with significant challenges in model initialization and training [30, 39]. For instance, DNNs encounter issues like vanishing or exploding gradients during training, where the back-propagated gradients either approach zero or increase exponentially respectively; this could either result in very slow training or high generalization errors [28, 57]. Spectral bias is an additional

**Funding:** This work is funded in part by the Army Research Office (ARO).

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challenge, manifesting as quick convergence to the low-frequency components of the target solution while struggling with high-frequency components [5, 60, 72]—an issue that also extends to PINNs [70]. Furthermore, DNNs are prone to overfitting the training data [69], hence compromising their generalizability.

Traditional approximation methods, especially those involving polynomials, remain a strong choice for both function approximation and the solution of PDEs. However, polynomial least-squares methods, while robust in many applications, also face many challenges. Polynomial least-squares typically require oversampling to achieve stability [3, 2, 45, 51] even on tensor-product grids. Polynomial approximation can also be generalized to non-tensor-product grids (and hence irregular domains), but this requires the use of sophisticated techniques such as on-the-fly basis function recomputation [9, 19, 73] or localization [11, 33, 68]. In addition, naive polynomial approximation is subject to the curse of dimensionality, where the number of polynomial basis functions grows exponentially with dimension. Common techniques to combat this explosive growth of the number of basis functions include compressive sensing [1, 44](which induces sparsity in the polynomial coefficients), Smolyak/sparse grids (which utilize sparse tensor-product grids) [10, 26, 40, 71], or hyperbolic cross approximation (which utilizes only a subset of the polynomial basis) [20, 21, 67]. In general, (global) polynomial methods are well-suited to approximating smooth target functions, while DNNs often perform better approximating non-smooth functions [18, 22], at least partly due to their connections to piecewise polynomial approximation [54, 55].

Motivated by these observations, we introduce Polynomial-Augmented Neural Networks (PANNs), which combine the strengths of both DNNs and polynomials. Specifically, we augment a standard DNN with a preconditioned polynomial layer containing trainable coefficients, and mutually optimize the two approximations using a novel family of eight orthogonalization constraints that enforce weak orthogonality between the polynomial and DNN bases; we also precondition the DNN itself. While a naive addition of a polynomial layer can re-introduce the curse of dimensionality into this augmented approximation, we leverage basis truncation to control the number of polynomial basis terms for increasing dimension and high polynomial degrees. From the DNN perspective, the PANN architecture can be viewed can be viewed as a residual block with a set of transformed skip connections containing trainable strength connection parameters.

We show in this work that the resulting PANN architecture significantly improves DNN approximations of polynomial target functions (unsurprisingly). More importantly, we present empirical results showing that the PANN architecture is superior to either DNNs or polynomials on tasks such as approximating functions with finite smoothness, high-dimensional function approximation, and approximating noisy functions drawn from a high-dimensional housing dataset. Further, when PANNs are used as physics-informed networks for the solution of PDEs (PI-PANNs), we observe relative  $\ell_2$  errors that are orders of magnitude lower than traditional PINNs. We also show that the choice of orthogonality constraint can affect approximation quality and wall-clock training times in an application-dependent fashion.

Other work has explored hybrid approximation techniques. For instance, II-Nets, introduced in [14, 15], involve modifying convolutional neural nets to output polynomials of the input variables, represented via high-order tensors. In contrast, our approach outputs a linear combination of DNNs and polynomials. There are also many other works that directly replace DNNs with polynomials [13, 27, 35, 43]. Modern radial basis function-finite difference (RBF-FD) methods combine RBFs and polynomials together with orthogonality constraints that enforce polynomial reproduction.

There, since closed-form expressions are available for the RBF basis, no training is required and the orthogonality constraint is enforced by treating the polynomial coefficients as Lagrange multipliers [4, 6, 23, 63, 65]. RBF-FD is primarily used to generate finite difference weights on scattered points, but barring the freedom to handle irregular point sets and domains, does suffer from many of the same issues as traditional polynomial approximation (for instance, the curse of dimensionality and difficulties tackling finitely-smooth function data). In contrast, PANNs are global approximators that must be trained; the presence of a DNN introduces an entire family of orthogonality constraints, each presenting different cost-accuracy tradeoffs on different problems, but the overall method is robust to noise and inherits the benefits of DNNs.

The remainder of this paper is structured as follows: section 2 provides essential background and notation. The new PANN architecture, its training, preconditioning, and the new orthogonality constraints are described in section 3. Then section 4 presents our numerical experiments and findings, including an assessment of computational cost and accuracy compared to baseline methods. Finally, section 5 discusses the results and outlines future research directions.

2. Background. In this section, we define the general optimization problems we are interested in, along with a brief review of DNNs and certain classes of polynomial approximation methods. The problem dimension is denoted by d, and  $\ell$  signifies the polynomial degree used to generate the polynomial bases. The total number of training points is represented by n, and w refers to the width of the last layer of a DNN. The total number of polynomial bases, which is also the width of the polynomial layer, is denoted by m. The DNN basis coefficients are symbolized by  $a_j$  for j = 1, ..., w, while the polynomial layer basis functions are indicated by  $\psi_j$  for j = 1, ..., w, and the polynomial layer basis functions are represented by  $\phi_k$  for k = 1, ..., m. In this paper, we primarily focus on the supervised regression problem with the form,

(2.1) 
$$\operatorname{argmin}_{\theta} \sum_{i=1}^{N_{data}} |u_{\theta}(x_i) - u(x_i)|^2,$$

for  $N_{data}$  training points  $x \in \mathbb{R}^d$  such that u is the true solution we aim to approximate and  $u_{\theta}$  is the model parameterized by its weights and biases  $\theta$ . We additionally concentrate on semi-supervised approaches for solving partial differential equations (PDE) of the form,

(2.2) 
$$\operatorname{argmin}_{\theta} \sum_{i=1}^{N_{data}} |u_{\theta}(x_i^b) - u(x_i^b)|^2 + \lambda \sum_{j=1}^{N_{PDE}} |\mathcal{F}[u_{\theta}](x_j^r) - f(x_j^r)|^2,$$

where  $\mathcal{F}$  is some (linear or non-linear) differential operator operating on  $N_{PDE}$  collocation points  $\{x_i^r\}_{i=1}^{N_{PDE}}$  from the domain  $\Omega$  and  $N_{data}$  points  $\{x_i^b\}_{i=1}^{M}$  from the boundary of the domain  $(\partial \Omega)$ .  $\lambda$  is a Lagrange multiplier that balances the learning between the data and residual loss terms. Subsequent sections detail extensions of (2.1) and (2.2), which incorporate custom orthogonalizing regularization terms, along with polynomial preconditioning.

**2.1. Deep Neural Networks.** Following the convention of [16], we represent the family of DNNs,  $\mathcal{N} \in \mathbb{R}^d \to \mathbb{R}$  of width w, as a linear combination of adaptive

basis functions given by

(2.3) 
$$\mathcal{N}(x;a,\theta^h) = \sum_{j=1}^w a_j \psi_j \left(x;\theta^h\right),$$

where each  $a_j$  for j = 1, ..., w and  $\theta^h$  constitute the weights and biases in the last layer and hidden layers respectively, forming the set of all network parameters  $\theta$ . Then, each  $\psi_j$  are non-linear activation functions such as ReLU or Tanh acting on the outputs of the hidden layers. The parameters  $\theta$  are computed through some iterative optimization technique. In this work, we use variants of gradient descent methods such as ADAM [36] and L-BFGS [47].



FIG. 2.1. Visual depiction of bases sets using different generation techniques on the right and the total number of basis function each method produces for increasing problem dimension.

**2.2.** Polynomial Methods. We define a family of polynomial models,  $\mathcal{P} \in \mathbb{R}^d \to \mathbb{R}$ , in a similar form to (2.3), as a linear combination of *m* orthogonal polynomials  $\phi_k$  and parameters  $b_k$ . Specifically,

(2.4) 
$$\mathcal{P}(x;b) = \sum_{k=1}^{m} b_k \phi_k(x),$$

where  $\phi_k$  for k = 1, ..., m, form a polynomial basis in *d* dimensions. Various approaches exist for basis generation, including tensor-product, total-degree, and hyperbolic-cross methods. Figure 2.1 illustrates each type of basis, comparing their cardinality to the function space spanned by each. Tensor-product bases grow exponentially by dimension, including many highly oscillatory basis functions. Insights from Smolyak cubature [59] suggest that the influence of highly oscillatory components diminishes with increased dimension, rendering tensor-product bases less computationally efficient. Conversely, the total-degree method constrains the combined degrees of each basis to a certain threshold, thereby ensuring a more gradual increase in size while including more bases with low oscillation. Hyperbolic-cross bases exhibit the slowest growth concerning dimensionality, albeit at the expense of expressivity. Weighing these considerations, we prefer total-degree bases where the combined degree limit is  $\ell$ . In this work, we employ total-degree Legendre polynomials combined with basis pruning to ensure a slower growth in the number of basis functions (as a function of spatial dimension), but our approaches carry over straightforwardly to other polynomial bases also.

**3.** Polynomial Augmented Neural Networks. This section outlines our enhanced neural network architecture incorporating polynomials, expanding on the preconditioning methods in subsection 3.1, and our unique discrete orthogonality constraints in subsection 3.2. The algorithmic framework, including the selection and truncation of polynomial bases, is detailed in subsection 3.3.

We aim to strategically augment a standard DNN with structured polynomials containing trainable coefficients. Figure 3.1 (left) illustrates our hybrid model, the **Polynomial-Augmented Neural Network** (PANN). We define the model's prediction,  $u_{\theta}$ , as the sum of the DNN's output,  $\mathcal{N}(x)$  (defined in (2.3)), and the output of the polynomial layer,  $\mathcal{P}(x)$  (defined in (2.4)) as follows:

(3.1) 
$$u_{\theta}(x) = \mathcal{N}(x) + \mathcal{P}(x) = \sum_{j=1}^{w} a_j \psi_j \left(x; \theta^h\right) + \sum_{k=1}^{m} b_k \phi_k(x),$$

In this paper, we primarily present PANNs through an adaptive basis viewpoint [16]. However, one can interpret PANNs as a type of residual network such that the DNN output is combined with a set of polynomial transformed skip connections that have trainable strength parameters, visualized in right figure of Figure 3.1. An intuition for the PANN architecture in (3.1) can be see in Figure 3.2, which compares the loss landscapes of a PANN used as a physics-informed neural network (PINN) and a standard PINN. For this experiment, we simply perturbed the two dominant eigenvectors ( $\delta$ ,  $\nu$ ) of the loss Hessian, and evaluated the adjusted loss  $\mathcal{L}'$  across a specified range for  $\alpha$  and  $\beta$  such that,  $\mathcal{L}'(\alpha, \beta) = \mathcal{L}(\theta + \alpha \delta + \beta \nu)$  and  $\alpha, \beta \in [-\alpha_0, \alpha_0] \times [-\beta_0, \beta_0]$  [37, 46].

Figure 3.2 clearly reveals that polynomial augmentation smooths the loss landscape, suggesting that it helps avoid local minima, hence simplifying the optimization process and possibly boosting model accuracy (which we verify in a later section). We use a single polynomial layer rather than a "deep" architecture; this was motivated, in part, by previous findings which demonstrate that depth in polynomial networks does not equate to enhanced representation capabilities (unlike in the case of DNNs with either piecewise polynomial or other nonlinear activation functions) [41]. More importantly, our design benefits from the static nature of the polynomial bases, allowing us to precompute and store the bases and their derivatives for training efficiency—a detail we expand upon in subsection 3.3.

**3.1. Preconditioning.** The convergence rates of many numerical methods rely on problem conditioning, where ill-conditioned problems typically exhibit slower convergence. Problem conditioning not only influences traditional methods but is also a critical factor in the training difficulties of DNNs, including PINNs [37]. In this work, we apply the polynomial preconditioning techniques from [31, 53]. This preconditioning technique was developed for least-squares approximation scenarios with extensive oversampling, *i.e.*, with far fewer function samples (n) than polynomial basis functions (m). This preconditioner also attempts to maximize sparsity in the poly-



FIG. 3.1. Both figures show the proposed neural network architecture with polynomial layer (PANN). The left figure demonstrates the architecture from the adaptive basis viewpoint where each  $\psi_i$  and  $a_i$  for i = 1, ... w are the DNN bases and coefficients, while  $\phi_j$  and  $b_j$  for j = 1, ... m are the polynomial layer bases and coefficients respectively.  $u_{\theta}$  is the model output which is a linear combination of the DNN and polynomial layer bases and coefficients. Alternatively, the right figure demonstrates the architecture as a residual block with transformed skip connections such that each  $H_k$  for k = 1, ..., L represent the hidden layers of the DNN,  $\sigma$  are non-linear activations, P is the polynomial layer and  $c_j$  for j = 1, ..., m are the transformed and adaptive skip connections.

nomial coefficients. The optimization problem considered in [31] aims to solve the inequality-constrained  $l^1$ -minimization problem defined as:

(3.2) 
$$\operatorname{argmin} ||\theta||_1 \text{ such that } ||K\Phi b - Kf||_2 \le \epsilon.$$

Here, the diagonal matrix  $K \in \mathbb{R}^{n \times n}$  is constructed to improve the  $l^1$ -minimization problem's tractability and aids in recovering sparse solutions. Formally,

(3.3) 
$$K_{n,n} = \sqrt{\frac{m}{\sum_{i \in \Lambda} \phi_i^2(x)}}$$

where  $\Lambda$  is the set of all polynomial bases. In the context of polynomial least-squares approximation, this preconditioning attempts to rescale polynomial bases with large norms, leading to a system where each basis contributes more equally.

In this work, we consider a more general form of (3.3) such that the objective function constrains both the preconditioned error norm and parameter norm. Further, we apply this preconditioning to both the DNN and the polynomial bases. The updated optimization problem considered is, therefore,

(3.4) 
$$\min_{a,b,\theta} ||K\Psi a + K\Phi b - Kf||_2^2 + \lambda_r ||\theta||_1,$$

where  $\lambda_r$  is a prescribed Lagrange multiplier.

**3.2.** Discrete orthogonality constraints. We now present a novel family of orthogonality constraints designed to induce a "weak" orthogonality between the DNN basis and the polynomial layer within the PANN. This orthogonality was inspired by a philosophically similar approach utilized in modern radial basis function-finite difference (RBF-FD) methods [4, 24], where RBF expansions are computed in such a way that they are orthogonal to some polynomial bases (typically total-degree); this orthogonality constraint endows RBF-FD weights with polynomial reproduction properties, thereby controlling their convergence rates.

While deriving this constraint in RBF-FD methods is straightforward, it is significantly more challenging in the context of PANNs, which require training to determine



FIG. 3.2. Loss landscapes of a physics-informed PANN (left) and standard PINN (right) on a 2D Poisson problem.

not only polynomial coefficients, but DNNs coefficients and bases. Fortunately, enforcing orthogonality is nevertheless possible. For instance, a continuous orthogonality constraint between the DNN output  $\mathcal{N}(x)$  and the polynomial layer  $\mathcal{P}(x)$  can be expressed as  $\int_{\Omega} \mathcal{N}(x)\mathcal{P}(x) = 0$ . While it is generally impossible to compute this integral analytically, it can be approximated to arbitrary accuracy by a suitable quadrature formula provided the DNN is sufficiently smooth (or even continuous) [17]. However, this approach does not generalize straightforwardly to irregular domains or higher dimensional problems without sacrificing the meshless nature of DNNs.

Our approach involves replacing the continuous orthogonality constraint with discrete alternatives that obviate the need for quadrature. To see how, consider more carefully the continuous constraint  $\int_{\Omega} \mathcal{N}(x)\mathcal{P}(x) = 0$ . This constraint yields many equivalent forms, some of which include,

(3.5) 
$$\int_{\Omega} \sum_{j=1}^{w} a_j \psi_j (x; \theta^h) \mathcal{P}(x) = 0 \iff \int_{\Omega} \sum_{k=1}^{m} b_k \phi_k(x) \mathcal{N}(x; \theta) = 0.$$

One straightforward way for these integrals to be zero is to enforce that the integrands themselves be zero. This can be enforced by forcing the summands to be zero, which in turn can be done by enforcing that each term in the summands be zero. This chain of reasoning leads to two distinct families of discrete constraints:

(3.6) 
$$a_{j}\psi_{j}(x)\mathcal{P}(x) = 0, \quad j = 1, \dots, w,$$

$$b_i \phi_k(x) \mathcal{N}(x) = 0, \quad k = 1, \dots, m.$$

It is important to note that the discrete "index-wise" constraints in (3.6) and (3.7) imply that the continuous constraint holds, but the converse does not necessarily hold true.

Expanding both the polynomial and DNN approximations leads to a family of orthogonality constraints, highlighted in Table 3.1. Intuitively, constraint  $C_A$  is the weakest of our constraints, only enforcing that the products of the DNN and polynomial in the PANN be zero. The stronger constraints  $C_B$ ,  $C_C$ , and  $C_D$  ensure that during any training epoch, for a given DNN  $\mathcal{N}(x)$ , we find weights  $b_k$  and functions  $\phi_k(x)$  in the polynomial  $\mathcal{P}$  such that their projection onto  $\mathcal{N}(x)$  is zero. In a similar vein, the constraints  $C_E$ ,  $C_F$ , and  $C_G$  ensure that for a given polynomial  $\mathcal{P}(x)$ , we determine weights  $a_j$  and functions  $\psi_j(x)$  in the neural net  $\mathcal{N}$  such that their projection onto  $\mathcal{P}(x)$  is zero. Constraint  $C_H$  imposes the strictest orthogonality, ensuring a higher level of independence between all pairs of polynomial and DNN bases but

Method	Objective	
$C_A$	$\mathcal{N}(x)\mathcal{P}(x)$	
$C_B$	$\mathcal{N}(x)b_k\phi_k(x)$	for all $k = 1,, m$
$C_C$	$\mathcal{N}(x)\phi_k(x)$	for all $k = 1,, m$
$C_D$	$\mathcal{N}(x)b_k$	for all $k = 1,, m$
$C_E$	$\mathcal{P}(x)a_j\psi_j(x)$	for all $j = 1,, w$
$C_F$	$\mathcal{P}(x)\psi_j(x)$	for all $j = 1,, w$
$C_G$	$\mathcal{P}(x)a_j$	for all $j = 1,, w$
$C_H$	$a_j\psi_j(x)b_k\phi_k(x)$	for all $k = 1,, m$ and $j = 1,, w$

TABLE 3.1A family of orthogonality constraints

at a greater computational cost. Constraints  $C_B$  and  $C_D$  also help regularize polynomial basis coefficients, which is beneficial when the polynomial bases contain excessive terms. These constraints, coupled with our basis truncation routine, address the curse of dimensionality by eliminating unneeded bases during training.

In our experiments, however, we found that stringently enforcing any of these constraints often results in difficulties in training PANNs and is also computationally expensive in high-dimensional settings. Therefore, in this work, this discrete orthogonality is "weakly" enforced through an additional regularization loss term optimized during gradient descent in conjunction with the error norm as,

(3.8) 
$$\min_{\theta} ||K\Psi a + K\Phi b - Kf||_2^2 + \lambda_r ||\theta||_1 + \lambda_c ||C||_F,$$

where C is some constraint listed in Table 3.1,  $\lambda_c$  is a Lagrange multiplier modulating the strength in which the orthogonality constraint is enforced, and  $\|.\|_F$  is the Frobenius norm. We empirically evaluate and compare each constraint listed in Table 3.1 on both real and synthetic, high-dimensional problems in section 4 and show that solution accuracy is generally improved through their use with minor computational expense.

**3.3.** Algorithm. We now outline key implementation details of the PANN optimization procedures that enhance efficiency in dynamic back-propagation frameworks like PyTorch.

Poisson Example. To illustrate our algorithmic details, we consider the Poisson equation defined by  $f = \Delta u$ . The following loss function describes the forward pass of our algorithm:

(3.9) 
$$\mathcal{L} = \sum_{i=0}^{N_b} ||u(x_i) - \sum_{j=1}^w a_j \psi_j(x; \theta^h) - \sum_{k=1}^m b_k \phi_k(x_i)||_2^2 + \sum_{i=0}^{N_r} ||f(x_i) - \Delta \sum_{j=1}^w a_j \psi_j(x; \theta^h) - \Delta \sum_{k=1}^m b_k \phi_k(x_i)||_2^2,$$

where  $a_j$  and  $\psi_j$  represent the neural network basis coefficients and functions, respectively, while  $b_k$  and  $\phi_k$  denote the polynomial basis coefficients and functions, as detailed in (3.1).

Precomputation of Polynomial Bases. The central idea is to exploit the fact that polynomial basis functions  $\phi_k$  for  $k = 1, \ldots, m$  remain constant throughout training, although the coefficients  $b_k$  may change. We enhance efficiency by precomputing and

storing evaluations of these polynomial basis functions and their derivatives at the training points. Specifically, prior to the onset of training, given the set of collocation points  $\mathbf{x}^r \in \mathbb{R}^{N^r \times d}$  and boundary points  $\mathbf{x}^b \in \mathbb{R}^{N^b \times d}$ , we store the polynomial bases evaluations  $\Phi^r \in \mathbb{R}^{N^r \times m}$  and  $\Phi^b \in \mathbb{R}^{N^b \times m}$ . We additionally compute and store the PDE-specific derivatives, which for the Poisson problem include  $\Delta \Phi^r \in \mathbb{R}^{N^r \times m}$  and  $\Delta \Phi^b \in \mathbb{R}^{N^b \times m}$ . Each Legendre bases evaluation uses the classical three-term recurrence relation for Legendre polynomials [25]. For classical regression problems that do not incorporate network derivatives in the forward pass, this precomputation routine is consistent across various problems. However, in the context of PDE approximation, the precomputation of polynomial bases is tailored to specific problems.

*Custom Automatic Differentiation.* We have developed custom forward and backward passes for the PANN architectures, specifically designed to utilize the precomputed basis functions and their derivatives. The pseudocode for these custom routines, tailored for the Poisson problem as discussed, is presented in Algorithms 3.1 and 3.2. In these algorithms, we identify the specific gradient computations to be handled by the automatic differentiation system in blue. Specifically, line 6 of Algorithm 3.1 and lines 6, 7, 8, and 10 of Algorithm 3.2. All other gradients are precomputed, thereby streamlining the computational process and enhancing the efficiency of the training phase.

Algorithm 3.1 Custom Forward Pass for PANN Optimization 1: function FORWARDPASS( $\mathbf{x}^r, \mathbf{x}^b, \Phi^r, \Phi^b, \Delta \Phi^r, \Delta \Phi^b$ )  $\mathcal{L} \leftarrow 0$ ▷ Initialize the loss function 2:  $\widetilde{\mathbf{u}}_{\mathrm{nn}}^{b} \leftarrow \Psi^{b}(\mathbf{x}^{b}; \theta^{h})\mathbf{a}$ 3:  $\triangleright$  NN outputs for all boundary points  $\mathbf{u}^b_{\text{poly}} \leftarrow \Phi^{\grave{b}} \mathbf{b}$ 4: ▷ Polynomial outputs for all boundary points 5:  $\mathcal{L} \leftarrow \mathcal{L} + \|\mathbf{u}^b - (\mathbf{u}^b_{nn} + \mathbf{u}^b_{polv})\|_2^2$ ▷ Squared norm for boundary points  $\mathbf{f}_{\mathrm{nn}}^r \leftarrow \Delta \Psi^r(\mathbf{x}^r; \theta^h) \mathbf{a}$ 6: ▷ NN PDE approximations for all collocation points  $\mathbf{f}_{\text{poly}}^r \leftarrow \Delta \Phi^r \mathbf{b}$  $\triangleright$  Polynomial PDE approximations for all collocation points 7:  $\mathcal{L} \leftarrow \mathcal{L} + \|\mathbf{f}^r - (\mathbf{f}_{nn}^r + \mathbf{f}_{poly}^r)\|_2^2$ 8:  $\triangleright$  Squared norm for collocation points 9: return  $\mathcal{L}$  $\triangleright$  Return the computed loss 10: end function

Algorithm 3.2 Custom Backward Pass for PANN Optimization

1: function BACKWARDPASS( $\mathbf{x}^r, \mathbf{x}^b, \Phi^r, \Phi^b, \Delta \Phi^r, \Delta \Phi^b$ ) Initialize  $\nabla \theta^h, \nabla \mathbf{a}, \nabla \mathbf{b}$  to zeros 2:  $\mathbf{g}^b \leftarrow 2(\mathbf{u}^b - (\Psi^b(\mathbf{x}^b; \theta^h)\mathbf{a} + \Phi^b\mathbf{b}))$ 3:  $\triangleright$  Gradients w.r.t. boundary outputs  $\nabla \mathbf{a} \leftarrow -(\Psi^b(\mathbf{x}^b;\theta^h))^T \mathbf{g}^b$ ▷ Gradient w.r.t. NN coefficients at boundaries 4:  $\nabla \mathbf{b} \leftarrow -(\Phi^b)^T \mathbf{g}^b$ 5:▷ Gradient w.r.t. polynomial coefficients at boundaries Update gradients of  $\theta^h$  based on  $\mathbf{g}^b$  and derivative computations for  $\Psi^b$ 6:  $\mathbf{g}^{r} \leftarrow 2(\mathbf{f}^{r} - (\Delta \Psi^{r}(\mathbf{x}^{r}; \theta^{h})\mathbf{a} + \Delta \Phi^{r}\mathbf{b}))$  $\nabla \mathbf{a} \leftarrow \nabla \mathbf{a} - (\Delta \Psi^{r}(\mathbf{x}^{r}; \theta^{h}))^{T}\mathbf{g}^{r}$  $\nabla \mathbf{b} \leftarrow \nabla \mathbf{b} - (\Delta \Phi^{r})^{T}\mathbf{g}^{r}$ 7: ▷ Gradients w.r.t. collocation PDE residuals 8: ▷ NN coefficients gradients at collocations ▷ Polynomial coefficients gradients at collocations 9: Update gradients of  $\theta^h$  based on  $\mathbf{g}^r$  and derivative computations for  $\Delta \Psi^r$ 10: return  $\nabla \theta^h$ ,  $\nabla \mathbf{a}$ ,  $\nabla \mathbf{b}$ 11: ▷ Return gradients for updating parameters 12: end function

Basis Truncation. We apply  $L_1$  regularization to both the DNN coefficients  $a_j$ and the coefficients of the polynomial layer bases  $b_k$ , truncating any coefficients that fall below a specified threshold t. Specifically, we set the coefficients defined by  $\{a_j, b_k \mid a_j < t \text{ for } j = 1, ..., w \text{ and } b_k < t \text{ for } k = 1, ..., m\}$  to zero, along with their corresponding basis functions. This truncation strategy is critical given the potentially large number of basis functions m in high-dimensional problems, as demonstrated in Figure 2.1. These precomputations are particularly beneficial in applications such as solving PDEs, where the nth derivatives of each polynomial basis are required. By pre-computing and storing these derivatives, we significantly reduce the computational load during each training iteration, thus enhancing overall efficiency.

Computational Complexity. Focusing specifically on Legendre polynomials, the recursive evaluation of the *m*th Legendre polynomial (or its derivative) at a single point has a computational complexity of O(m), and O(Nm) for N points. In (3.9), if we set  $N = \max(N_r, N_b)$ , the additional computational cost of polynomial augmentation in both the forward and backward passes is O(Nm). While the precomputation strategy's asymptotic computational cost remains O(Nm), it reduces the constant factors within O(Nm) by almost half, resulting in significant practical improvements. Additionally, implementing the parallel computing methods described in [8] for O(1) computation of Legendre polynomials could improve complexity to just O(N). The basis coefficient truncation strategy defined above further reduces the number of active bases while enhancing computational efficiency during training.

PyTorch C++. We remark that our code was written in C++ using the PyTorch C++ library [58], which offers several compelling benefits over other pure Python methods for developing machine learning and deep learning applications. PyTorch C++ inherits many of the strengths of the Python version of PyTorch, such as its dynamic computational graph, while bypassing Python's often slow interpretation. The PyTorch C++ library, as of this writing, is an underutilized tool in the research community despite being significantly faster than its Python counterpart. Therefore, all C++ source code for our methods (and baselines) is open-source and publicly available<sup>1</sup>, facilitating extensions and further investigation for the research community.

4. Numerical Experiments. In this section, we explore the effectiveness of PANNs through a series of detailed numerical experiments that compare them to a range of established methods. Our evaluation includes comparisons with deep neural networks (DNNs) utilizing Tanh, ReLU, and RePU activations. Additionally, we examine the performance of a standalone polynomial layer (PL) trained via gradient descent, which serves as a simplified version of PANN, as well as polynomial least squares using Legendre polynomials  $(L^2)$ . Table 4.1 lists the specific advantages and disadvantages of each baseline method. Furthermore, the appendix includes the benchmark results against various conventional regression models.

We assess model performance using the relative  $\ell_2$  error, defined as  $\frac{\|y-\hat{y}\|_2}{\|y\|_2} = \frac{\sqrt{\sum_{i=1}^n (y_i - \hat{y}_i)^2}}{\sqrt{\sum_{i=1}^n y_i^2}}$ , where  $\hat{y}$  is the predicted solution and y is the true solution. This metric is beneficial in scientific computing applications where the magnitude of the data plays an important role, helping to understand the error's significance relative to the data's scale.

All tests involving PANN and the polynomial layer (PL) incorporate preconditioning and basis truncation. All  $L^2$  projection tests also utilize preconditioning. We perform five random trials for each synthetic dataset and report the mean  $\ell_2$  errors and the standard deviations. We also include wall-clock training times for each experiment, expressed in seconds. We conduct a four-fold cross-validation for real-world data applications and present the mean  $\ell_2$  errors and standard deviations. The appen-

<sup>&</sup>lt;sup>1</sup>pending publication

TABLE 4.1

 $Comparative\ analysis\ of\ various\ function\ approximation\ methods,\ delineating\ their\ objectives,\ advantages,\ and\ disadvantages$ 

Method	Objective	Pros	Cons
a. Neural Network (Tanh Activation)	Minimize mean squared error (MSE).	Efficient training (less risk of vanishing gradients); handles high-dimensional data well.	High computational load with larger networks or dimensions.
b. Neural Networks (ReLU Activation) [52]	Minimize mean squared error (MSE).	Efficient training; handles complex, high-dimensional problems.	Risk of vanishing/exploding gradients. Requires careful initialization and optimization methods.
c. Neural Networks (RePU Activation) [42, 66]	Minimize mean squared error (MSE).	Excels at approximating smooth functions.	Works poorly for non-smooth functions.
d. $L^2$ Projection with orthogonal Polynomials	Solve $\langle f - \hat{f}, v \rangle = 0$ for all $v$ in $V$ , using quadrature.	Provides high accuracy for smooth functions; efficient for regular domains and low-dimensional problems.	Not suited for non-smooth functions [18, 22]; requires exponentially more points with increasing dimensions.
e. Single Polynomial Layer (PL) with Legendre Basis	Minimize mean squared error (MSE).	Adaptable to a broad range of problems; no structured point requirements; optimized through gradient descent.	Possibly less precise than method 'd'; may struggle with very high-dimensional data.

dix includes detailed information on each experiment's implementation and training specifics.

**4.1. Legendre Polynomial Approximation.** In assessing the effectiveness of PANNs, it is critical to accurately recover polynomial functions, especially when evaluating whether the additional DNN component of the architecture impacts the solution accuracy of the polynomial layer. High-order Legendre polynomials, such as the tenth order, are highly oscillatory—a condition known to challenge DNNs as previously mentioned. However, polynomial methods equipped with sufficient bases can recover polynomial solutions exactly. To illustrate this, we introduce a test scenario where the ground truth is a two-dimensional, tenth-order Legendre polynomial, defined as:

(4.1) 
$$u(x) = u(x,y) = P_{10}(x)P_{10}(y),$$

such that  $P_{10}$  is the 10<sup>th</sup> Legendre polynomial given by

$$P_{10}(z) = \frac{46189}{256}z^{10} - \frac{109395}{256}z^8 + \frac{90090}{256}z^6 - \frac{30030}{256}z^4 + \frac{3465}{256}z^2 - \frac{63}{256}.$$

In this test, effective basis truncation within PANN is essential. If the polynomial bases adequately span the true solution, then truncating 100% of the DNN bases and 99.7% of the polynomial bases should leave just one active polynomial base, expected to be  $\phi = P_{10}(x)P_{10}(y)$  with a coefficient b = 1. This experiment demonstrates



FIG. 4.1. (Left) Relative  $\ell_2$  errors and (right) wall clock time in seconds for different network types using the Tanh (top), RePU (middle), and ReLU (bottom) activation function. PL and  $L^2$  projection results are repeated in each figure for easy comparison.

PANNs' improved ability to reproduce polynomials, such that with the proper orthogonality constraints and our basis truncation technique, PANNs can achieve polynomial solutions with near-machine precision. This confirms that the DNN component does not compromise the approximation accuracy.

We evaluate the relative  $\ell_2$  errors in the solution as a function of the number of training points N, with N values set at 256, 1024, 4096 and 16384. We sample points using the efficient Poisson sampling technique, as outlined in [64] in the domain [-1, 1]. For experiments involving PANN, the single polynomial layer (PL), and  $L^2$ 

### TABLE 4.2

Suite of total degrees  $\ell$  and corresponding count of polynomial terms m in polynomial bases used in the exact solution recovery  $(u(x, y) = P_{10}(x)P_{10}(y))$  example. The total degree is set to  $\ell = 2(\lceil cN \rceil + 8).$ 

	c = 0.001			c = 0.002				$\mathbf{c} = 0.003$				
N	256	1024	4096	16384	256	1024	4096	16384	256	1024	4096	16384
l	18	20	26	50	18	22	34	82	18	24	42	116
m	190	231	378	1326	190	276	630	3486	190	325	946	6903

projection, we varied the total degree of Legendre bases by the number of training samples. Specifically, for constants c = 0.001, 0.002, and 0.003, we determine the total degree is  $\ell = 2(\lceil cN \rceil + b)$  where b = 8. Detailed configurations of total degrees  $\ell$  and the corresponding widths of the polynomial layer m are documented in Table 4.2. Note that the total degree of the true solution u is  $\ell = 20$ , meaning for  $\ell < 20$ , the polynomial bases do not contain the true solution which occurs for N = 256/c =0.001, 0.002. For both the standard DNNs and the DNN component of PANN, we use three hidden layers each with 100 neurons, and compare RePU, ReLU, and Tanh activation functions. We also apply orthogonality constraint  $C_E$  in PANN. Table A.1 in the Appendix presents the error results compared to various popular regression models.

The results presented in Figure 4.1 confirm that PANNs can either exactly or almost exactly recover polynomial functions, particularly when using the Tanh and RePU activation functions. This success likely stems from the smooth nature of both activations and the true solutions. The discrepancy in the performance of PANNs when employing ReLU, as opposed to Tanh or RePU, is likely attributed to the employed orthogonality constraint  $C_E$ . Given that the true solution necessitates a negligible contribution from the DNN component and solely a single polynomial basis, stringent coefficient regularization (and thus truncation) is required. Constraint  $C_E$  seeks a DNN weight  $a_j$  and a corresponding basis function  $\psi_j$  that collectively project to zero onto the polynomial output. This particular problem hints that the emphasis on DNN basis function optimization might over-complicate the learning by trying to match non-smooth DNN bases with a smooth target, thereby compromising the optimization of the polynomial layer.

In general, when equipped with smooth activation functions and an adequate number of polynomial bases (that is, when  $\ell > 20$ ), PANNs can almost perfectly replicate the true solutions. Notably, with Tanh and RePU activations, PANNs outperforms the accuracy of  $L^2$  projection, with a larger number of training points and polynomial total degrees. The higher error in the  $L^2$  projection method is likely due to numerical issues related to the large number of unnecessary polynomial bases. Implementing basis truncation could reduce errors to negligible levels, as seen in the PL results. Remarkably, optimizing coefficients using gradient descent in the PL method achieves comparable accuracy to traditional  $L^2$  projection methods despite using Poisson-distributed points instead of quadrature points. This suggests that gradient descent optimization can deliver solutions as precise as projection methods without requiring structured training points. Consequently, this opens up the possibility for more flexible extensions to irregular domains and higher-dimensional problems, though it may come at a more significant computational cost. These results indicate that jointly optimizing both the DNN and polynomial coefficients in PANNs through gradient descent is a valid approach. The spike in training time for the PL method when N = 1024 and c = 0.001 is likely due to resource contentions within the system. The standard DNNs exhibit relatively high errors irrespective of the activation function, underscoring the inherent challenges they face in approximating highly-oscillatory solutions.

The subsequent tests will extend this comparison to include each orthogonality constraint alongside various activation functions. We anticipate demonstrating that PANNs with ReLU activations, when paired with orthogonality constraints that deemphasize DNN basis optimization (such as  $C_B$  or  $C_G$ ), can also achieve nearly exact recovery of the ground truth solutions. This would further validate the flexibility and robustness of PANNs in accurately approximating polynomial functions.

**4.1.1.** Orthogonality Constraint Comparisons. We examined the impact of each discrete orthogonality constraint described in Table 3.1 on solution accuracy and computational overhead against baselines using no constraints (labeled 'None') and  $L_1$  coefficient regularization. Our experiments use N = 4096 training points, a polynomial layer with  $\ell = 26$ , and width m = 378. Introducing orthogonality constraints generally improves solution quality, as demonstrated in Figure 4.2. Specifically, the Tanh activation yielded lower relative  $\ell_2$  errors with constraints  $C_E$  and  $C_H$ , while ReLU is more accurate with constraints  $C_A$  and  $C_B$ . The relative performance between ReLU and Tanh using other constraints was marginal. ReLU activation paired with constraint  $C_F$  did not converge, potentially due to ReLU's properties and the constraint overemphasizing the independence between the polynomial solution and DNN bases that are not well-suited to the target function. Conversely, constraint  $C_G$  achieved the highest accuracy across all activations and reduced training times, suggesting that judicious constraint selection can expedite convergence. Moreover, constraint  $C_G$  exactly recovers the intended basis functions through truncation; Table 4.3 shows that 100% of the DNN bases were truncated, and 99.7% of the polynomial bases were truncated. This suggests that the chosen constraints contribute to models that closely resemble the intended solution, outperforming both  $L_1$  normalization and scenarios devoid of constraints.



FIG. 4.2. (Left) Barplot showing the relative  $\ell_2$  errors for each orthogonality constraint and activation, compared to using no constraint (None) and using standard  $L_1$  regularization. The (right) barplot shows the wall clock training times of the associated method in seconds.

While the RePU activation performs best across all constraint variants, it often incurs slightly higher training times, and interestingly, does not recover the same bases sets as the true solution. This indicates that the polynomial activations in the DNN and the polynomial layer both model different portions of the target, and the improved error results of all constraint variations (over  $L_1$  normalization and no constraints) indicates that the orthogonality aids optimization even in settings where

TABLE 4.3 The percentage of truncated NN and polynomial bases coefficients in the form %NN/%PL. Bold values represent methods who truncated the expected number of bases.

Act.	$C_G$	$C_E$	$C_F$	$C_A$	$C_B$	$C_C$
Tanh	100/99.7	97.6/ <b>99.7</b>	52.4/ <b>99.7</b>	51.8/ <b>99.7</b>	52.8/99.6	50.0/ <b>99.7</b>
ReLU	100/99.7	100/73.8	_	59.0/99.7	58.6/ <b>99.7</b>	60.6/92.9
$\operatorname{RePU}$	100/99.7	53.4/ <b>99.7</b>	46.8/ <b>99.7</b>	51.0/ <b>99.7</b>	53.2/ <b>99.7</b>	50.0/ <b>99.7</b>
			Y I Y			

Act.	$C_D$	$L_1$	None
Tanh	52.0/ <b>99.7</b>	47.8/99.4	47.4/97.1
ReLU	71.8/ <b>99.7</b>	49.4/99.6	50.6/84.2
$\operatorname{RePU}$	53.8/ <b>99.7</b>	46.2/ <b>99.7</b>	48.2/99.6

the DNN and polynomial expressivity are comparable.

4.2. Approximating Non-Smooth Functions. The previous experiment demonstrated that PANNs can recover polynomial solutions as effectively as traditional methods, known for their robust handling of smooth functions. It also confirmed that the DNN component within PANNs does not compromise polynomial solution recovery. Given the known flexibility of DNNs to handle complex and nonlinear functions, this test aims to explore the converse of our previous findings. Specifically, we want to ensure that the polynomial layer in PANNs, typically less adept at managing non-smooth functions, does not hinder the DNN portion's ability to effectively approximate these types of functions. Furthermore, we seek to show that the integrated approach of PANNs, in fact, reduces approximation errors compared to standard DNNs alone. Therefore, we evaluate the performance of PANNs against baseline methods by approximating a manufactured two-dimensional non-smooth function:

(4.2) 
$$u(x,y) = x^2 \sin(1/y),$$

which belongs to the function space  $C^1$  ( $\mathbb{R}^2$ ). This function poses a significant challenge due to its discontinuity at y = 0, testing the capability of PANNs to handle complexities beyond those that traditional polynomial and neural network methods typically address. We use a consistent experimental setup to subsection 4.1, but varied the total degree of the Legendre bases by  $\ell = \lceil cN \rceil + b$  for b = 8. All total degree and polynomial layer width configurations are documented in Table 4.4.

TABLE 4.4

Suite of total degrees  $\ell$  and corresponding count of polynomial terms m in polynomial bases used in the non-smooth function  $(u(x, y) = x^2 \sin(1/y))$  approximation example.

	$\mathbf{c}=0.001$			c = 0.001 $c = 0.002$						$\mathbf{c} =$	0.003	
Ν	256	1024	4096	16384	256	1024	4096	16384	256	1024	4096	16384
$\ell$	9	10	13	25	9	11	17	41	9	12	21	58
m	55	66	105	351	55	78	171	903	55	91	253	1770

As depicted in Figure 4.3, the  $L^2$  projection method struggles to find accurate solutions and obtains a minimum error of 1e-2 when using 16,384 quadrature points and a Legendre bases with total degree set to  $\ell = 58$  with m = 1,770 total polynomial bases. The standard DNNs also struggle to find accurate solutions when using Tanh activations, though obtains superior accuracy over  $L^2$  projection for training



FIG. 4.3. (Left) Relative  $\ell_2$  errors and (right) wall clock time in seconds for different network types using the Tanh (top), RePU (middle), and ReLU (bottom) activation function. PL and  $L^2$  projection results are repeated in each figure for easy comparison.

set/quadrature point sizes under 16, 384 when using ReLU and RePU. PANN demonstrates superior predictive performance, especially notable when using ReLU activation across moderate and large training set sizes. Even under conditions with smaller sets, PANN maintains improved accuracy over the  $L^2$  projection method, suggesting a robust ability to approximate  $C^1$  functions with ReLU more effectively than other tested methods, including those listed in Table A.2. Despite the computational overhead, polynomial layers (PLs) show less accuracy for the same computational cost as PANN, and standard DNNs fail to match the performance improvements observed with PANN, regardless of increases in training data volume. These findings advocate for the integrated approach of PANN, where neither PLs nor DNNs capture the solution adequately when used as distinct approaches.

4.3. High-Dimensional and Noisy Target Functions. In the previous two sections, we have demonstrated the validity of PANNs in low-dimensional problems. This section shows results for a high-dimensional synthetic problem and a high-dimensional real-world problem. We show that with our implementation and optimization techniques, PANNs can achieve better accuracy in these cases compared to standard regression and DNN methods, further validating our enriched DNN approach.

**4.3.1. High-Dimensional Synthetic Example.** In this section, we explore the capabilities of PANN and DNNs using Tanh, ReLU, and RePU activations, and polynomial layers (PLs), in approximating high-dimensional synthetic functions of the form:

(4.3) 
$$u(x) = 5\pi^2 \sin(2\pi x_0) \prod_{i=1}^d \sin(\pi x_i),$$

across dimensions ranging from two to six. We set the number of training points to N = 1536, 3072, 6144, 12288, and 26576, corresponding to each dimension. For the PANNs, we consistently applied preconditioning and the orthogonality constraint  $C_E$ , with a fixed total degree for the polynomial bases set at  $\ell = 8$ . This corresponds to polynomial layers of width m = 45, 165, 495, 1287, 3003 for dimensions two through six respectively. For both the standard DNNs and the DNN component of PANN, we use three hidden layers each with 100 neurons. Additionally, Table A.3 in the Appendix presents the error results compared to various popular regression models. Due to the prohibitive computational complexity of traditional  $L^2$  projection methods in high dimensions—mainly from the need to generate extensive quadrature point sets—we excluded these from our comparisons. Our focus remains on straightforward, scalable methodologies that require minimal preprocessing and are suitable for various high-dimensional contexts.

As illustrated in Figure 4.4, PANN with Tanh activation consistently delivered the lowest relative  $\ell_2$  errors across all tested dimensions, albeit with a modest increase in both error and computational time as the problem dimension increased. In contrast, PANN equipped with RePU activation demonstrated higher errors and more significant increases in computational time, making it less suited for scaling to larger dimensions.

**4.3.2.** Noisy Real-World Example. We next evaluate PANNs' effectiveness in predicting housing prices from the California housing dataset [56], which includes features such as the number of bedrooms, occupancy rates, and median house values across 20,640 instances with eight total features. We compare each orthogonality constraint described in Table 3.1, assessing their performance under preconditioned and non-preconditioned settings. Additionally, we compare PANNs with DNNs employing ReLU and Tanh activation functions, excluding RePU due to its failure to converge in preliminary tests. This convergence issue likely arises from the inability of the polynomial components in both DNNs and PANNs to accurately model sharp transitions in the data. For both the standard DNNs and the DNN component of PANN, we use three hidden layers each with 100 neurons. Each feature was normalized to the range [-1, 1], and our results are derived from a four-fold cross-validation



FIG. 4.4. (Left) Relative  $\ell_2$  error by problem dimension and (right) wall clock time in seconds by problem dimension for DNNs and PANNs using Tanh, ReLU, and RePU activation functions compared to the polynomial layer (PL).

process, with each fold comprising 15, 480 training samples and 5, 152 test samples. We provide comparisons to popular regression models in Table A.4 in the Appendix.

The results, displayed in Table 4.5, show that preconditioning generally improves the performance of PANNs by reducing the relative  $\ell_2$  error. Notably, this improvement is generally consistent across both ReLU and Tanh activations, indicating that the benefits of preconditioning transcend the choice of the activation function. Interestingly, using preconditioning with constraint  $C_H$  increased errors for both activations compared to using no preconditioning. Among the tested configurations, PANN employing the  $C_E$  constraint with ReLU activation and preconditioning demonstrated the most effective error reduction. This suggests that the smaller polynomial bases efficiently capture general data trends, while the DNN components, constrained by  $C_E$ , effectively model the residual complexities, possibly including noise PANN generally surpasses traditional DNNs in terms and non-linear transitions. of error when comparing similar activations, likely due to its enhanced capacity for modeling intricate relationships within the data. Several PANN configurations without preconditioning achieve greater efficiency and superior performance than standard DNNs, underscoring their viability in scenarios where both computational efficiency and predictive accuracy are desired. Moreover, the analysis of polynomial layer (PL) variants indicates a notably higher error and longer training durations, suggesting these configurations might be less appropriate for this dataset.

4.4. Physics-Informed PANNs. In this section, we exhibit the simplicity for which our methods extend to other settings such as PDE solution approximation. As mentioned previously, our goal is to augment the Physics-Informed Neural Network (PINN)  $\mathcal{N}(x)$ , with the polynomial bases  $\mathcal{P}(x)$  resulting in a new approximation method which we call Physics-Informed Polynomial-Augmented Neural Networks (PI-PANNs)  $u_{\theta}(x)$  as in (3.1). We present results comparing PI-PANNs to a variety of standard PINNs on the 2D Poisson and 2D (steady-state) Allen-Cahn problems.

The linear Poisson equation given by  $\Delta u(x) = f(x)$  for  $x \in \Omega$  and u(x) = g(x) for  $x \in \partial \Omega$ . The steady-state Allen-Cahn equation—a non-linear elliptic problem—is given by  $\Delta u + u(u^2 - 1) = f(x)$  for  $x \in \Omega$  and u(x) = g(x) for  $x \in \partial \Omega$ , where

TABLE 4.5 Relative  $\ell_2$  errors and training times for PANNs for each constraint with and without preconditioning, DNNs and polynomial layers (PLs) under various settings.

Network Act		Precond	Relative $\ell_2$ Error	Wall-clock Time (s)
PANN-CA	ReLU	False True	$\begin{array}{c} 0.2256 \pm 0.002 \\ 0.2126 \pm 0.002 \end{array}$	$\begin{array}{c} 165.5032 \pm 272.636 \\ 1075.4628 \pm 1861.269 \end{array}$
	Tanh	False True	$\begin{array}{c} 0.2249 \pm 0.019 \\ 0.2202 \pm 0.003 \end{array}$	$\begin{array}{c} 126.4284 \pm 187.072 \\ 1546.4286 \pm 2925.925 \end{array}$
PANN-CP	ReLU	False True	$\begin{array}{c} 0.2164 \pm 0.006 \\ 0.2139 \pm 0.003 \end{array}$	$\begin{array}{c} 152.2832 \pm 242.852 \\ 1084.5254 \pm 1884.902 \end{array}$
	Tanh	False True	$\begin{array}{c} 0.2200 \pm 0.009 \\ 0.2209 \pm 0.003 \end{array}$	$\begin{array}{c} 114.0070 \pm 196.716 \\ 1063.0726 \pm 1855.294 \end{array}$
PANN-C <sub>C</sub>	ReLU	False True	$\begin{array}{c} 0.2213 \pm 0.004 \\ 0.2124 \pm 0.002 \end{array}$	$\begin{array}{c} 151.7962 \pm 246.296 \\ 238.2235 \pm 4.155 \end{array}$
	Tanh	False True	$\begin{array}{c} 0.2639 \pm 0.003 \\ 0.2239 \pm 0.004 \end{array}$	$\begin{array}{c} 132.9082 \pm 206.539 \\ 231.4235 \pm 2.245 \end{array}$
PANN-CD	ReLU	False True	$\begin{array}{c} 0.2615 \pm 0.006 \\ 0.2120 \pm 0.003 \end{array}$	$\begin{array}{c} 160.4952 \pm 266.624 \\ 241.8500 \pm 1.410 \end{array}$
	Tanh	False True	$\begin{array}{c} 0.2645 \pm 0.003 \\ 0.2183 \pm 0.004 \end{array}$	$\begin{array}{c} 142.3642 \pm 225.477 \\ 233.1430 \pm 2.675 \end{array}$
PANN-CE	ReLU	False True	$\begin{array}{c} 0.2122 \pm 0.003 \\ \textbf{0.2118} \pm \textbf{0.005} \end{array}$	$\begin{array}{c} 190.5806 \pm 322.992 \\ 242.8420 \pm 3.402 \end{array}$
	Tanh	False True	$\begin{array}{c} 0.2319 \pm 0.013 \\ 0.2225 \pm 0.006 \end{array}$	$\begin{array}{c} 128.1918 \pm 190.995 \\ 239.5772 \pm 1.154 \end{array}$
PANN C-	ReLU	False True	$\begin{array}{c} 0.2153 \pm 0.002 \\ 0.2123 \pm 0.003 \end{array}$	$\begin{array}{c} {\bf 44.3470 \pm 0.790} \\ {242.0880 \pm 3.468} \end{array}$
	Tanh	False True	$\begin{array}{c} 0.2291 \pm 0.002 \\ 0.2288 \pm 0.004 \end{array}$	$\begin{array}{c} 157.4134 \pm 260.070 \\ 233.9955 \pm 4.150 \end{array}$
PANN-Ca	ReLU	False True	$\begin{array}{c} 0.2450 \pm 0.020 \\ 0.2126 \pm 0.001 \end{array}$	$\begin{array}{c} 92.6790 \pm 143.156 \\ 233.2752 \pm 4.080 \end{array}$
i mine CG	Tanh	False True	$\begin{array}{c} 0.2189 \pm 0.004 \\ 0.2214 \pm 0.003 \end{array}$	$\begin{array}{c} 133.5222 \pm 221.093 \\ 225.1395 \pm 2.406 \end{array}$
PANN-Cu	ReLU	False True	$\begin{array}{c} 0.2120 \pm 0.004 \\ 0.2123 \pm 0.006 \end{array}$	$\begin{array}{c} 360.6397 \pm 465.925 \\ 330.7845 \pm 5.975 \end{array}$
	Tanh	False True	$\begin{array}{c} 0.2253 \pm 0.004 \\ 0.2268 \pm 0.001 \end{array}$	$\begin{array}{c} 278.8388 \pm 345.442 \\ 323.1293 \pm 3.634 \end{array}$
DNN	ReLU	False	$0.2132 \pm 0.003$	$317.4062 \pm 23.341$
	Tanh	False	$0.2157 \pm 0.003$	$291.6603 \pm 7.065$
PL $(l=2)$	-	True	$0.2538 \pm 0.003$	$206.6065 \pm 2.536$
PL $(l = 4)$	-	True	$0.2654 \pm 0.029$	$258.8638 \pm 7.315$
PL $(l = 6)$		True	$0.8696 \pm 0.170$	$331.7095 \pm 13.724$

 $\Omega = [-1, 1]^2$ . f and g are given in both problems where the goal is to recover u. For testing the 2D Poisson equation, similar to subsection 4.1, we manufacture a solution where the ground truth is  $u(x, y) = P_{10}(x)P_{10}(y)$ , the tenth Legendre polynomial, from which we derive f and g. Then, to investigate how our method performs on non-linear PDEs, we set the true solution u in the 2D Allen-Cahn equation to be

## $u(x,y) = x^3 y^3 + 5x \cos(2\pi x) \cos(2\pi y).$

We tested each using three architecture settings; three hidden layers with 50 nodes, three hidden layers with 100 nodes, and five hidden layers with 50 nodes. We trained each model using 64, 256, 1024 and 4096 collocation points, and 400 boundary points on both randomly sampled and equispaced points on the interval  $[-1,1]^2$ . For PI-PANNs, we varied the polynomial complexity by the number of training points based on two different functions of the N, and we apply orthogonality constraint  $C_E$ . Specifically, for c = 0.003, 0.004, the total degree of the Legendre bases is  $\ell = 2(\lceil cN \rceil + 8)$ ; detailed configurations of total degrees  $\ell$  and the corresponding widths of the polynomial layer m are documented in Table 4.6.

TABLE	4.6
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Suite of total degrees  $\ell$  and corresponding count of polynomial terms m in polynomial bases used in the PDE approximation examples.

		$\mathbf{c} =$	0.003		c = 0.004			
Ν	64	256	1024	4096	64	256	1024	4096
l	18	18	24	42	18	20	26	50
m	190	190	325	946	190	231	378	1326

Figures 4.5 and 4.6 compare the relative errors for the Poisson and Allen-Cahn problems, respectively, as achieved by different methods. The standard PINNs consistently fail to train under all settings, with relative errors not dropping below 0.53 for the Poisson problem and 0.34 for the Allen-Cahn problem as shown in Figure 4.5. This lack of training effectiveness underscores the standard PINNs' sensitivity to hyperparameters and training routines, in contrast to the more robust PI-PANNs. In contrast, PI-PANNs achieve relative errors around 7e - 05 in both the Allen-Cahn and Poisson examples using 4046 collocation points in the Allen-Cahn example and only 256 in the Poisson example. Interestingly, the results also suggest that PI-PANNs may have a slight preference for equispaced training points but provide consistently good performance across both point sampling techniques, albeit at an increased computational training time.

5. Conclusion and Future Work. This paper proposes an effective and applicable method of augmenting neural networks with a trainable polynomial layer. Additionally, we provide a suite of novel discrete orthogonality constraints enforced through the loss function during optimization. Through a suite of numerical experiments, we show that—although simple—our methods result in higher accuracy across a broad range of test problems and apply to many domains, such as predicting solutions to PDEs. The experiments show that while our methods increase accuracy, including the polynomial preconditioning increases training times. Investigating efficient polynomial preconditioners for polynomials used in neural network architectures would be an interesting future research direction. Additionally, investigating PI-PANNs to solve space-time PDEs is left for future work.

Appendix A. Experimental Settings and Additional Numerical Results. In this section, we detail the specific experimental settings used in each experiment, along with additional numerical results for the standard benchmark methods on the regression examples.

**A.1. Experimental Details.** The experiments were conducted on a GeForce RTX 3090 GPU with CUDA version 12.3, running on Ubuntu 20.04.6 LTS. We used total degree Legendre polynomial bases in all relevant models. The orthogonality



FIG. 4.5. (Left) Relative  $\ell_2$  errors and (right) wall clock time in seconds solving the Poisson problem for different network types using equispaced collocation points (top), and randomly spaced collocation points (bottom). Note that the scale of the plots is intentionally maintained to ensure clarity and comparability of the results across different model configurations. Adjusting the scale to include outlier values from PINN results obscures critical differences among error values.

strength ( $\lambda_c$ ) in PANNs is 0.001, and the basis coefficient truncation threshold is 0.0001. We optimized each model using 20,000 Adam iterations with an initial learning rate of 0.001, and 400 LBFGS iterations with and an initial learning rate set to 1.0. We also employed the cosine learning rate annealing method [49]. We used Gauss-Legendre quadrature for all  $L^2$  projection experiments.

**A.2. Benchmarking Methods.** We compared our results against a variety of standard regression models to benchmark performance. These models include: Ad-aBoost, Bagging, Bayesian Ridge, Elastic Net, Gradient boosting, Huber regression, linear SVR, MLP, Nu SVR, SVR, and kNeighbors regression. Tables A.1 to A.4 list the models whose relative errors fall below at least 0.6 for each example.

TABLE 1	A.1
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Relative  $\ell_2$  errors and standard deviations of baseline methods for predicting the twodimensional Legendre function problem in subsection 4.1

Model	64	256	1024	4096	16384
Bagging	$0.916 \pm 0.076$	$0.854 \pm 0.014$	$0.881 \pm 0.021$	$0.734 \pm 0.020$	$0.433 \pm 0.017$
kNeighbors	$1.064\pm0.000$	$0.972\pm0.000$	$0.876 \pm 0.000$	$0.619 \pm 0.000$	$0.333 \pm 0.000$



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FIG. 4.6. (Left) Relative  $\ell_2$  errors and (right) wall clock time in seconds solving the Allen-Cahn problem for different network types using equispaced collocation points (top), and randomly spaced collocation points (bottom).

TABLE A.2 Relative  $\ell_2$  errors and standard deviations of baseline methods for predicting the non-smooth synthetic function in subsection 4.2.

Model	64	256	1024	4096	16384
Bagging	$1.190\pm0.220$	$0.462\pm0.084$	$0.180 \pm 0.022$	$0.073 \pm 0.003$	$0.033 \pm 0.006$
Grad Boost	$0.846 \pm 0.010$	$0.572\pm0.003$	$0.240\pm0.001$	$0.184 \pm 0.000$	$0.217 \pm 0.000$
MLP	$0.778 \pm 0.068$	$0.864 \pm 0.191$	$0.528 \pm 0.164$	$0.359 \pm 0.077$	$0.313 \pm 0.042$
Nu SVR	$0.418 \pm 0.000$	$0.151\pm0.000$	$0.077\pm0.000$	$0.069 \pm 0.000$	$0.060\pm0.000$
SVR	$0.690\pm0.000$	$0.346\pm0.000$	$0.217 \pm 0.000$	$0.190\pm0.000$	$0.170\pm0.000$
kNeighbors	$0.776 \pm 0.000$	$0.189 \pm 0.000$	$0.081\pm0.000$	$0.041\pm0.000$	$0.018\pm0.000$

TABLE A.3

Relative  $\ell_2$  errors and standard deviations of baseline methods for increasing dimensions from subsection 4.3.1.

Model	2	3	4
Bagging	$0.122 \pm 0.004$	_	$0.750 \pm 0.265$
Bayesian Ridge	$1.005\pm0.010$	$0.237 \pm 0.329$	_
Elastic Net	$1.000\pm0.000$	$0.550 \pm 0.606$	
kNeighbors	$0.175\pm0.000$	—	$0.479 \pm 0.035$

**Acknowledgments.** We acknowledge the use of large language models (LLMs) for manuscript editing.

TABLE A.4

Relative  $\ell_2$  errors and standard deviations of baseline methods for the real-world dataset from subsection 4.3.2.

Model	Relative $\ell_2$ Error	Model	Relative $\ell_2$ Error
Adaboost	$0.368\pm0.016$	MLP	$0.474 \pm 0.084$
Bagging	$0.225 \pm 0.003$	Nu SVR	$0.263 \pm 0.003$
Elastic Net	$0.487 \pm 0.002$	SVR	$0.264 \pm 0.003$
Grad Boosting	$0.225 \pm 0.004$	Theil Sen	$0.511 \pm 0.263$
Huber	$0.342\pm0.012$	Tweedie	$0.320\pm0.011$
Linear SVR	$0.287 \pm 0.005$	kNeighbors	$0.255\pm0.001$

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