# Optical Flow I 

## Guido Gerig <br> CS 6320, Spring 2013

(credits: Marc Pollefeys UNC Chapel Hill, Comp 256 / K.H. Shafique, UCSF, CAP5415 / S. Narasimhan, CMU / Bahadir K. Gunturk, EE 7730 / Bradski\&Thrun, Stanford CS223

## Materials

- Gary Bradski \& Sebastian Thrun, Stanford CS223 http://robots.stanford.edu/cs223b/index.html
- S. Narasimhan, CMU: http://www.cs.cmu.edu/afs/cs/academic/class/15385-s06/lectures/ppts/lec-16.ppt
- M. Pollefeys, ETH Zurich/UNC Chapel Hill: http://www.cs.unc.edu/Research/vision/comp256/vision10.ppt
- K.H. Shafique, UCSF: http://www.cs.ucf.edu/courses/cap6411/cap5415/ - Lecture 18 (March 25, 2003), Slides: PDF/ PPT
- Jepson, Toronto: http://www.cs.toronto.edu/pub/jepson/teaching/vision/2503/opticalFlow.pdf
- Original paper Horn\&Schunck 1981: http://www.csd.uwo.ca/faculty/beau/CS9645/PAPERS/Horn-Schunck.pdf
- MIT AI Memo Horn\& Schunck 1980: http://people.csail.mit.edu/bkph/AIM/AI M-572.pdf
- Bahadir K. Gunturk, EE 7730 Image Analysis II
- Some slides and illustrations from L. Van Gool, T. Darell, B. Horn, Y. Weiss, P. Anandan, M. Black, K. Toyama


## Tracking - Rigid Objects



## What is Optical Flow (OF)?



## What is Optical Flow (OF)?



## What is Optical Flow (OF)?



## What is Optical Flow (OF)?



## What is Optical Flow (OF)?



Optical flow is the relation of the motion field:

- the 2D projection of the physical movement of points relative to the observer to 2D displacement of pixel patches on the image plane.


## What is Optical Flow (OF)?



Optical flow is the relation of the motion field:

- the 2D projection of the physical movement of points relative to the observer to 2D displacement of pixel patches on the image plane.

Common assumption:
The appearance of the image patches do not change (brightness constancy)

$$
I\left(p_{i}, t\right)=I\left(p_{i}+\stackrel{\stackrel{1}{v}}{i}, t+1\right)
$$

## What is Optical Flow (OF)?



Optical flow is the relation of the motion field:

- the 2D projection of the physical movement of points relative to the observer to 2D displacement of pixel patches on the image plane.

Common assumption:
The appearance of the image patches do not change (brightness constancy)

$$
I\left(p_{i}, t\right)=I\left(p_{i}+\stackrel{\prime}{v_{i}}, t+1\right)
$$

Note: more elaborate tracking models can be adopted if more frames are process all at once

## Optical Flow

- Brightness Constancy
- The Aperture problem
- Regularization
- Lucas-Kanade
- Coarse-to-fine
- Parametric motion models
- Direct depth
- SSD tracking
- Robust flow
- Bayesian flow


## Optical Flow and Motion

- We are interested in finding the movement of scene objects from timevarying images (videos).
- Lots of uses
- Motion detection
- Track objects
- Correct for camera jitter (stabilization)
- Align images (mosaics)
- 3D shape reconstruction
- Special effects
- Games: http://www.youtube.com/watch?v=JILkkom6tww
- User Interfaces: http://www.youtube.com/watch?v=Q3gT52sHDI4
- Video compression


## 

## Optical Flow: Where do pixels move to?




## Related to: Optical flow

Where do pixels move?



## Related to: Optical flow




## Tracking - Non-rigid Objects


(Comaniciu et al, Siemens)


## Tracking - Non-rigid Objects




Alper Yilmaz, Fall 2005 UCF


## Optical Flow: Correspondence

Basic question: Which Pixel went where?


## Optical Flow is NOT 3D motion field




## Structure from Motion?



## Optical Flow is NOT 3D motion field


http://en.wikipedia.org/wiki/File:Opticfloweg.png

## Definition of optical flow

## OPTICAL FLOW = apparent motion of brightness patterns

Ideally, the optical flow is the projection of the three-dimensional velocity vectors on the image


## Optical Flow

- Brightness Constancy
- The Aperture problem
- Regularization
- Lucas-Kanade
- Coarse-to-fine
- Parametric motion models
- Direct depth
- SSD tracking
- Robust flow
- Bayesian flow


## Start with an Equation: Brightness Constancy



Point moves (small), but its brightness remains constant:

$$
\begin{gathered}
I_{t 1}(x, y)=I_{t 2}(x+u, y+v) \\
I=\text { constant } \rightarrow \frac{d I}{d t}=0
\end{gathered}
$$



## Mathematical formulation

## $I(x(t), y(t), t)=$ brightness at $(x, y)$ at time $t$

Brightness constancy assumption (shift of location but brightness stays same):

$$
I\left(x+\frac{d x}{d t} \delta t, y+\frac{d y}{d t} \delta t, t+\delta t\right)=I(x, y, t)
$$

Optical flow constraint equation (chain rule):

$$
\frac{d I}{d t}=\frac{\partial I}{\partial x} \frac{d x}{d t}+\frac{\partial I}{\partial y} \frac{d y}{d t}+\frac{\partial I}{\partial t}=0
$$

## The aperture problem

$$
\begin{gathered}
u=\frac{d x}{d t}, \quad v=\frac{d y}{d t} \\
I_{x}=\frac{\partial I}{\partial y}, \quad I_{y}=\frac{\partial I}{\partial y}, \quad I_{t}=\frac{\partial I}{\partial t} \\
I_{x} u+I_{y} v+I_{t}=0
\end{gathered} \begin{aligned}
& \text { Horn and } \\
& \begin{array}{l}
\text { Schunck } \\
\text { optical flow } \\
\text { equation }
\end{array}
\end{aligned}
$$

## The aperture problem

$$
\begin{gathered}
u=\frac{d x}{d t}, \quad v=\frac{d y}{d t} \\
I_{x}=\frac{\partial I}{\partial y}, \quad I_{y}=\frac{\partial I}{\partial y}, \quad I_{t}=\frac{\partial I}{\partial t} \\
I_{x} u+I_{y} v+I_{t}=0
\end{gathered} \begin{aligned}
& \text { Horn and } \\
& \begin{array}{l}
\text { Schunck } \\
\text { optical flow } \\
\text { equation }
\end{array}
\end{aligned}
$$

## Optical Flow: 1D Case

Brightness Constancy Assumption:

$$
f(t) \equiv I(x(t), t)=I(x(t+d t), t+d t)
$$

## Optical Flow: 1D Case

Brightness Constancy Assumption:

$$
f(t) \equiv I \underbrace{I t}_{\frac{\partial f(x)}{(x(t)}, t})=I(x(t+d t), t+d t)
$$

## Optical Flow: 1D Case

Brightness Constancy Assumption:

$$
\begin{aligned}
& f(t) \equiv I \underbrace{\left.\frac{\partial f(x)}{x(t), t}\right)}=I(x(t+d t), t+d t) \\
& \left.\frac{\partial I}{\partial t}\right|_{t}\left(\frac{\partial x}{\partial t}\right)+\left.\frac{\partial I}{\partial t}\right|_{x(t)}=0
\end{aligned}
$$

## Optical Flow: 1D Case

Brightness Constancy Assumption:

$$
\begin{aligned}
& f(t) \equiv I \underbrace{\left.\frac{\partial f(x)}{x(t)}, t\right)}=I(x(t+d t), t+d t) \\
& \left.\frac{\partial I}{\partial t}\right|_{t}\left(\frac{\partial x}{\partial t}\right)+\left.\frac{\partial I}{\partial t}\right|_{x(t)}=0 \\
& I_{x}=0
\end{aligned}
$$

## Optical Flow: 1D Case

Brightness Constancy Assumption:

$$
\begin{aligned}
& f(t) \equiv I \underbrace{\frac{\partial f(x)}{\partial t}}_{\underbrace{(x(t), t})}=0 \text { Because no change in brightness with time } \\
& \left.\frac{\partial I}{\partial x}\right|_{t}\left(\frac{\partial x}{\partial t}\right)+\left.\frac{\partial I}{\partial t}\right|_{x(t)}=0 \\
& \Longrightarrow v=-\frac{I_{x}}{I_{x}} \\
& \Longrightarrow v t), t+d t) \\
&
\end{aligned}
$$

## Tracking in the 1D case:



## Tracking in the 1D case:



## Tracking in the 1D case:



## Tracking in the 1D case:



## Tracking in the 1D case:



## Tracking in the 1D case:



Spatial derivative

## Tracking in the 1D case:



Spatial derivative

## Tracking in the 1D case:



Spatial derivative

## Tracking in the 1D case:



Spatial derivative

## Tracking in the 1D case:



Spatial derivative

$$
I_{x}=\left.\frac{\partial I}{\partial x}\right|_{t} \quad I_{t}=\left.\frac{\partial I}{\partial t}\right|_{x=p} \quad \square \quad \stackrel{r}{v} \approx-\frac{I_{t}}{I_{x}} \quad\left\{\begin{array}{l}
\text { Assumptions: } \\
\cdot \text { Brightness constancy } \\
\cdot \text { Small motion }
\end{array}\right.
$$

## Tracking in the 1D case:

Iterating helps refining the velocity vector


## Tracking in the 1D case:

Iterating helps refining the velocity vector


## Tracking in the 1D case:

Iterating helps refining the velocity vector


Can keep the same estimate for spatial derivative

## Tracking in the 1D case:

Iterating helps refining the velocity vector


Can keep the same estimate for spatial derivative

$$
\stackrel{r}{V} \leftarrow \stackrel{r}{v}_{\text {previous }}-\frac{I_{t}}{I_{x}}
$$

## Tracking in the 1D case:

Iterating helps refining the velocity vector


Can keep the same estimate for spatial derivative

$$
\stackrel{r}{V} \leftarrow \stackrel{r}{v}_{\text {previous }}-\frac{I_{t}}{I_{x}}
$$

Converges in about 5 iterations

## From 1D to 2D tracking

$$
1 \mathrm{D}:\left.\frac{\partial I}{\partial x}\right|_{t}\left(\frac{\partial x}{\partial t}\right)+\left.\frac{\partial I}{\partial t}\right|_{x(t)}=0
$$

## From 1D to 2D tracking

$$
\begin{aligned}
& \text { 1D: }\left.\frac{\partial I}{\partial x}\right|_{t t}\left(\frac{\partial x}{\partial t}\right)+\left.\frac{\partial I}{\partial t}\right|_{x(t)}=0 \\
& \text { 2D: }\left.\frac{\partial I}{\partial x}\right|_{t t}\left(\frac{\partial x}{\partial t}\right)+\left.\frac{\partial I}{\partial y}\right|_{t t}\left(\frac{\partial y}{\partial t}\right)+\left.\frac{\partial I}{\partial t}\right|_{x(t)}=0
\end{aligned}
$$

## From 1D to 2D tracking

$$
\begin{aligned}
& \text { 1D: }\left.\frac{\partial I}{\partial x}\right|_{t}\left(\frac{\partial x}{\partial t}\right)+\left.\frac{\partial I}{\partial t}\right|_{x(t)}=0 \\
& \text { 2D: }\left.\frac{\partial I}{\partial x}\right|_{t t}\left(\frac{\partial x}{\partial t}\right)+\left.\frac{\partial I}{\partial y}\right|_{t}\left(\frac{\partial y}{\partial t}\right)+\left.\frac{\partial I}{\partial t}\right|_{x(t)}=0 \\
& \left.\quad \frac{\partial I}{\partial x}\right|_{t} u+\left.\frac{\partial I}{\partial y}\right|_{t} v_{t}+\left.\frac{\partial I}{\partial t}\right|_{x(t)}=0
\end{aligned}
$$

## From 1D to 2D tracking

$$
\begin{aligned}
& \text { 1D: }\left.\frac{\partial I}{\partial x}\right|_{t}\left(\frac{\partial x}{\partial t}\right)+\left.\frac{\partial I}{\partial t}\right|_{x(t)}=0 \\
& \text { 2D: }\left.\frac{\partial I}{\partial x}\right|_{t}\left(\frac{\partial x}{\partial t}\right)+\left.\frac{\partial I}{\partial y}\right|_{t}\left(\frac{\partial y}{\partial t}\right)+\left.\frac{\partial I}{\partial t}\right|_{x(t)}=0 \\
& \left.\quad \frac{\partial I}{\partial x}\right|_{t}{ }_{u}+\left.\frac{\partial I}{\partial y}\right|_{t}=\left.\frac{\partial I}{\partial t}\right|_{x(t)}=0
\end{aligned}
$$

Shoot! One equation, two velocity ( $u, v$ ) unknowns...

## Optical Flow vs. Motion: Aperture Problem

Barber shop pole: http://www.youtube.com/watch?v=VmqQs613SbE

## Optical Flow vs. Motion: Aperture Problem

Barber shop pole: http://www.youtube.com/watch?v=VmqQs613SbE Barber pole illusion


## Optical Flow

- Brightness Constancy
- The Aperture problem
- Regularization
- Lucas-Kanade
- Coarse-to-fine
- Parametric motion models
- Direct depth
- SSD tracking
- Robust flow
- Bayesian flow


## How does this show up visually? Known as the "Aperture Problem"



Gary Bradski \& Sebastian Thrun, Stanford CS223
http://robots.stanford.edu/cs223b/index.html

## How does this show up visually? Known as the "Aperture Problem"



Gary Bradski \& Sebastian Thrun, Stanford CS223
http://robots.stanford.edu/cs223b/index.html

## How does this show up visually? Known as the "Aperture Problem"



Gary Bradski \& Sebastian Thrun, Stanford CS223
http://robots.stanford.edu/cs223b/index.html

## How does this show up visually? Known as the "Aperture Problem"



Gary Bradski \& Sebastian Thrun, Stanford CS223
http://robots.stanford.edu/cs223b/index.html

## How does this show up visually? Known as the "Aperture Problem"



Gary Bradski \& Sebastian Thrun, Stanford CS223
http://robots.stanford.edu/cs223b/index.html

## How does this show up visually? Known as the "Aperture Problem"



Gary Bradski \& Sebastian Thrun, Stanford CS223
http://robots.stanford.edu/cs223b/index.html

## How does this show up visually? Known as the "Aperture Problem"



Gary Bradski \& Sebastian Thrun, Stanford CS223
http://robots.stanford.edu/cs223b/index.html

## How does this show up visually? Known as the "Aperture Problem"



Gary Bradski \& Sebastian Thrun, Stanford CS223
http://robots.stanford.edu/cs223b/index.html

## How does this show up visually? Known as the "Aperture Problem"



Gary Bradski \& Sebastian Thrun, Stanford CS223
http://robots.stanford.edu/cs223b/index.html

## How does this show up visually? Known as the "Aperture Problem"



Gary Bradski \& Sebastian Thrun, Stanford CS223
http://robots.stanford.edu/cs223b/index.html

## How does this show up visually? Known as the "Aperture Problem"



Gary Bradski \& Sebastian Thrun, Stanford CS223
http://robots.stanford.edu/cs223b/index.html

## How does this show up visually? Known as the "Aperture Problem"



Gary Bradski \& Sebastian Thrun, Stanford CS223
http://robots.stanford.edu/cs223b/index.html

## How does this show up visually? Known as the "Aperture Problem"



Gary Bradski \& Sebastian Thrun, Stanford CS223
http://robots.stanford.edu/cs223b/index.html

## How does this show up visually? Known as the "Aperture Problem"



Gary Bradski \& Sebastian Thrun, Stanford CS223
http://robots.stanford.edu/cs223b/index.html

## How does this show up visually? Known as the "Aperture Problem"



Gary Bradski \& Sebastian Thrun, Stanford CS223
http://robots.stanford.edu/cs223b/index.html

## How does this show up visually? Known as the "Aperture Problem"



Gary Bradski \& Sebastian Thrun, Stanford CS223
http://robots.stanford.edu/cs223b/index.html

## How does this show up visually? Known as the "Aperture Problem"



Gary Bradski \& Sebastian Thrun, Stanford CS223
http://robots.stanford.edu/cs223b/index.html

## How does this show up visually? Known as the "Aperture Problem"



Gary Bradski \& Sebastian Thrun, Stanford CS223
http://robots.stanford.edu/cs223b/index.html

## How does this show up visually? Known as the "Aperture Problem"



Gary Bradski \& Sebastian Thrun, Stanford CS223
http://robots.stanford.edu/cs223b/index.html

## How does this show up visually? Known as the "Aperture Problem"



Gary Bradski \& Sebastian Thrun, Stanford CS223
http://robots.stanford.edu/cs223b/index.html

## How does this show up visually? Known as the "Aperture Problem"



Gary Bradski \& Sebastian Thrun, Stanford CS223
http://robots.stanford.edu/cs223b/index.html

## How does this show up visually? Known as the "Aperture Problem"



Gary Bradski \& Sebastian Thrun, Stanford CS223
http://robots.stanford.edu/cs223b/index.html

## Aperture Problem Exposed



Motion along just an edge is ambiguous
Gary Bradski \& Sebastian Thrun, Stanford CS223
http://robots.stanford.edu/cs223b/index.html Aperture Problem

## Barber pole illusion



## Normal Flow

## Notation

At a single image pixel, we get a line:

$$
\begin{gathered}
I_{x} u+I_{y} v+I_{t}=0 \\
\nabla I^{T} \mathbf{u}=-I_{t} \\
\mathbf{u}=\left[\begin{array}{l}
u \\
v
\end{array}\right] \quad \nabla I=\left[\begin{array}{l}
I_{x} \\
I_{y}
\end{array}\right]
\end{gathered}
$$



We get at most "Normal Flow" - with one point we can only detect movement perpendicular to the brightness gradient. Solution is to take a patch of pixels Around the pixel of interest.

## Aperture Problem





## Aperture Problem



## Aperture Problem and Normal Flow



## Aperture Problem and Normal Flow



$$
v=u \frac{I_{x}}{I_{y}}+\frac{I_{t}}{I_{y}}
$$

- Let $\left(u^{\prime}, v^{\prime}\right)$ be true flow
- True flow has two components
- Normal flow: d
- Parallel flow: $p$
- Normal flow can be computed
- Parallel flow cannot


## Computing True Flow

- Horn \& Schunck
- Schunck
- Lukas and Kanade


## Possible Solution: Neighbors

Two adjacent pixels which are part of the same rigid object:

- we can calculate normal flows $\mathbf{v}_{\mathrm{n} 1}$ and $\mathbf{v}_{\mathrm{n} 2}$
- Two OF equations for 2 parameters of flow: $\bar{v}=\binom{v}{u}$

$$
\begin{aligned}
& \nabla I_{1} \cdot \bar{v}-I_{t 1}=0 \\
& \nabla I_{2} \cdot \bar{v}-I_{t 2}=0
\end{aligned}
$$




## Considering Neighbor Pixels

## Schunck

- If two neighboring pixels move with same velocity
- Corresponding flow equations intersect at a point in (u,v) space
- Find the intersection point of lines
- If more than 1 intersection points find clusters
- Biggest cluster is true flow


Alper Yilmaz, Fall 2005 UCF


## Considering Neighbor Pixels



Cluster center provides velocity vector common for all pixels in patch.

## Optical Flow

- Brightness Constancy
- The Aperture problem
- Regularization: Horn \& Schunck
- Lucas-Kanade
- Coarse-to-fine
- Parametric motion models
- Direct depth
- SSD tracking
- Robust flow
- Bayesian flow


## Horn \& Schunck algorithm

Horn and Schunck's approach - Regularization
Two terms are defined as follows:

- Departure from smoothness

$$
e_{s}=\iint_{\Omega}\left(\left(u_{x}^{2}+u_{y}^{2}\right)+\left(v_{x}^{2}+v_{y}^{2}\right)\right) d x d y
$$

- Error in optical flow constaint equation

$$
e_{c}=\iint_{\Omega}\left(E_{x} u+E_{y} v+E_{t}\right)^{2} d x d y
$$

The formulation is to minimize the linear combination of $e_{s}$ and $e_{c}$,

$$
e_{s}+\lambda e_{c}
$$

where $\lambda$ is a parameter.
Note: In this formulation, $u$ and $v$ are functions of $x$ and $y$. Physically, $u$ is the $x$-component of the motion, and $v$ is the $y$-component of the motion.

Horn \& Schunck algorithm
$\int_{D}\left(\nabla I \cdot \vec{v}+I_{t}\right)^{2}+\lambda^{2}\left[\left(\frac{\partial v_{x}}{\partial x}\right)^{2}+\left(\frac{\partial v_{x}}{\partial y}\right)^{2}+\left(\frac{\partial v_{u}}{\partial x}\right)^{2}+\left(\frac{\partial v_{u}}{\partial y}\right)^{2}\right]_{d x d y}$
Additional smoothness constraint (usually motion field varies smoothly in the image $\rightarrow$ penalize departure from smoothness) :

$$
e_{s}=\iint\left(\left(u_{x}^{2}+u_{y}^{2}\right)+\left(v_{x}^{2}+v_{y}^{2}\right)\right) d x d y
$$

OF constraint equation term
(formulate error in optical flow constraint) :

$$
e_{c}=\iint\left(I_{x} u+I_{y} v+I_{t}\right)^{2} d x d y
$$

minimize $e_{s}+\lambda e_{c}$

## Horn \& Schunck algorithm

Variational calculus: Pair of second order differential equations that can be solved iteratively.

- Define an energy function and minimize

$$
E(x, y)=\left(u I_{x}+v I_{y}+I_{t}\right)^{2}+\lambda\left(u_{x}^{2}+u_{y}^{2}+v_{x}^{2}+v_{y}^{2}\right)
$$

- Differentiate w.r.t. unknowns $u$ and $v$

$$
\begin{aligned}
& \frac{\partial E}{\partial u}=2 I_{x}\left(u I_{x}+v I_{y}+I_{t}\right)+\frac{\partial f}{\partial u} \quad \frac{\partial f}{\partial u}=\frac{\partial}{\partial u} \frac{\partial u}{\partial x}+\frac{\partial}{\partial u} \frac{\partial u}{\partial y}=2\left(u_{x x}+u_{x y}\right) \\
& \frac{\partial E}{\text { laplacian of } u}=2 I_{v}\left(u I_{x}+v I_{v}+I_{t}\right)+2\left(v_{x x}+v_{v v}\right)
\end{aligned}
$$

## Horn \& Schunck algorithm

$$
I_{x}\left(u I_{x}+v I_{y}+I_{t}\right)+\Delta^{\top} u=0 \quad I_{y}\left(u I_{x}+v I_{y}+I_{t}\right)+\Delta v=0
$$

- Laplacian controls smoothness of optical flow
- A particular choice can be $\overline{\Delta^{2}} u=u-u_{\text {avg }}, \widehat{\Delta^{2} v=v-v_{\text {avg }}}$.
- Rearranging equations

$$
\begin{aligned}
& u\left(\lambda+I_{x}^{2}\right)+v I_{x} I_{y}+I_{x} I_{t}-\lambda u_{\text {avg }}=0 \\
& v\left(\lambda+I_{y}^{2}\right)+u I_{x} I_{y}+I_{y} I_{t}-\lambda v_{\text {avg }}=0
\end{aligned}
$$

- 2 equations 2 unknowns
- Write v in terms of u
- Plug it in the other equation
$u=u_{\text {avg }}-I_{x}\left(\frac{I_{x} u_{\text {avg }}+I_{y} v_{\text {avg }}+I_{t}}{I_{x}^{2}+I_{y}^{2}+\lambda}\right) \quad v=v_{\text {avg }}-I_{y}\left(\frac{I_{x} u_{\text {avg }}+I_{y} v_{\text {avg }}+I_{t}}{I_{x}^{2}+I_{y}^{2}+\lambda}\right)$
- Iteratively compute u and v
- Assume initially $u$ and $v$ are 0
- Compute $u_{\text {avg }}$ and $v_{\text {avg }}$ in a neighborhood


## Horn \& Schunck

The Euler-Lagrange equations :

$$
\begin{aligned}
& F_{u}-\frac{\partial}{\partial x} F_{u_{x}}-\frac{\partial}{\partial y} F_{u_{y}}=0 \\
& F_{v}-\frac{\partial}{\partial x} F_{v_{x}}-\frac{\partial}{\partial y} F_{v_{y}}=0
\end{aligned}
$$

In our case,

$$
F=\left(u_{x}^{2}+u_{y}^{2}\right)+\left(v_{x}^{2}+v_{y}^{2}\right)+\lambda\left(I_{x} u+I_{y} v+I_{t}\right)^{2},
$$

so the Euler-Lagrange equations are

$$
\begin{gathered}
\Delta u=\lambda\left(I_{x} u+I_{y} v+I_{t}\right) I_{x}, \\
\Delta v=\lambda\left(I_{x} u+I_{y} v+I_{t}\right) I_{y}, \\
\Delta=\frac{\partial^{2}}{\partial x^{2}}+\frac{\partial^{2}}{\partial y^{2}} \quad \text { is the Laplacian operator }
\end{gathered}
$$

## Horn \& Schunck

## Remarks :

1. Coupled PDEs solved using iterative methods and finite differences

$$
\begin{aligned}
& \frac{\partial u}{\partial t}=\Delta u-\lambda\left(I_{x} u+I_{y} v+I_{t}\right) I_{x} \\
& \frac{\partial v}{\partial t}=\Delta v-\lambda\left(I_{x} u+I_{y} v+I_{t}\right) I_{y}
\end{aligned}
$$

2. More than two frames allow a better estimation of $I_{\mathrm{t}}$
3. Information spreads from corner-type patterns

## Discrete Optical Flow Algorithm

Consider image pixel (i,j)

- Departure from Smoothness Constraint:

$$
\begin{gathered}
s_{i j}=\frac{1}{4}\left[\left(u_{i+1, j}-u_{i, j}\right)^{2}+\left(u_{i, j+1}-u_{i, j}\right)^{2}+\right. \\
\left.\left(v_{i+1, j}-v_{i, j}\right)^{2}+\left(v_{i, j+1}-v_{i, j}\right)^{2}\right]
\end{gathered}
$$

-Error in Optical Flow constraint equation:

$$
c_{i j}=\left(E_{x}^{i j} u_{i j}+E^{i j} v_{i j}+E_{t}^{i j}\right)^{2}
$$

- We seek the set $\left\{u_{i j}\right\} \&\left\{v_{i j}\right\}$ that minimize:

$$
e=\sum_{i} \sum_{j}\left(S_{i j}+\lambda c_{i j}\right) \quad \begin{aligned}
& \text { NOTE: }\left\{u_{i j}\right\} \&\left\{v_{i j}\right\} \\
& \text { show up in more than one } \\
& \text { term }
\end{aligned}
$$

## Discrete Optical Flow Algorithm

- Differentiating $e$ w.r.t $v_{k l} \& u_{k l}$ and setting to zero:

$$
\frac{\partial e}{\partial u_{k l}}=2\left(u_{k l}-\overline{u_{k l}}\right)+2 \lambda\left(E_{x}^{k l} u_{k l}+E_{y}^{k l} v_{k l}+E_{t}^{k l}\right) E_{x}^{k l}=0
$$

$$
\frac{\partial e}{\partial v_{k l}}=2\left(v_{k l}-\overline{v_{k l}}\right)+2 \lambda\left(E_{x}^{k l} u_{k l}+E_{y}^{k l} v_{k l}+E_{t}^{k l}\right) E_{y}^{k l}=0
$$

- $v_{k l} \& u_{k l}$ are averages of $(u, v)$ around pixel $(k, l)$

Update Rule:

$$
\begin{aligned}
& u_{k l}^{n+1}=\overline{u_{k l}^{n}}-\frac{E_{x}^{k l} \overline{u_{k l}^{n}}+E_{y}^{k l} \overline{v_{k l}^{n}}+E_{t}^{k l}}{1+\lambda\left[\left(E_{x}^{k l}\right)^{2}+\left(E_{y}^{k l}\right)^{2}\right]} E_{x}^{k l} \\
& v_{k l}^{n+1}=\overline{v_{k l}^{n}}-\frac{E_{x}^{k l} \overline{u_{k l}^{n}}+E_{y}^{k l} \overline{v_{k l}^{n}}+E_{t}^{k l}}{1+\lambda\left[\left(E_{x}^{k l}\right)^{2}+\left(E_{y}^{k l}\right)^{2}\right]} E_{y}^{k l}
\end{aligned}
$$

## Horn-Schunck Algorithm : Discrete Case

- Derivatives (and error functionals) are approximated by difference operators
- Leads to an iterative solution:

$$
\begin{aligned}
& u_{i j}^{n+1}=\bar{u}_{i j}^{n}-\alpha E_{x} \\
& v_{i j}^{n+1}=\bar{v}_{i j}^{n}-\alpha E_{y}
\end{aligned} \quad \alpha=\frac{E_{x} \bar{u}_{i j}^{n}+E_{y} \bar{y}_{i j}^{n}+E_{t}}{1+\lambda\left(E_{x}^{2}+E_{y}^{2}\right)}
$$

$\bar{u}, \bar{v}$ is the average of values of neighbors

## Intuition of the Iterative Scheme



The new value of $(u, v)$ at a point is equal to the average of surrounding values minus an adjustment in the direction of the brightness gradient

## Horn - Schunck Algorithm

```
begin
forj:=1 to Ndo for i:= | toM do begin
    calculate the values }\mp@subsup{E}{y}{}(i,j,t),\mp@subsup{E}{y}{\prime}(i,j,t),\mathrm{ and }\mp@subsup{E}{i}{}(i,j,r) usin
            a selected approximaxion formula;
                            { special cases for inluge points at the inmage border
                                    have to be taken into accounct
    initialize the values u(i,j) and v{{, j) with zcro
end {for};
choose a suitable weighting valac }\lambda\mathrm{ ;
choose a suitable number }\mp@subsup{t}{0}{}\geql\mathrm{ of itcrations;
n:= l;
```

$$
\{\operatorname{erg} \lambda=10\}
$$

$$
\left\{\pi_{0}=8\right\}
$$

$$
\{\text { iteration counter }\}
$$

```
while \({ }_{1} \leq j_{0}\) do begin
for \(j:=1\) to \(N\) do for \(i:=1\) to \(M\) do begin
\[
\bar{u}:=\frac{1}{4}(u(i-1, j)+u(i+1, j)+u(i, j-i)+u(i, j+1)) ;
\]
\[
\bar{v}:=\frac{1}{4}\left(v\left(i-1_{j} j\right)+v\left(i+\left[_{1} j\right)+v(i, j-1)+v\left(i_{1} j+l\right)\right) ;\right.
\]
\{ treat image points at the image border separately \} \(\alpha:=\frac{E_{x}(i, j, t) \bar{u}+E_{y}(i, j, t) \bar{v} \div E_{t}(i, j, t)}{1+\lambda\left(E_{x}^{2}(i, j, t)+E_{y}^{2}(i, j, t)\right)} \cdot \lambda ;\) \(u(i, j):=\bar{u}-\alpha \cdot E_{y}(i, j, t) ; \quad v(i, j):=\bar{v}-\alpha \cdot E_{y}(i, j, t)\)
end \{êor\};
\(\pi ;=n+1\)
end \{while\}
end;
```


## Example


http://of-eval.sourceforge.net/


## Results


(a)

(c)

Figure 12-8. Four frames of a synthetic image sequence showing a sphere slowly rotating in front of a randomly patterned background.

(b)

(d)

(a)
(c)
(b)


Figure 12-9. Estimates of the optical flow shown in the form of needle diagrams after 1, 4, 16, and 64 iterations of the algorithm.

## Results

(a)
(b)

Figure 12-10. (a) The estimated optical flow after several more iterations. (b) The computed motion field.


## Optical Flow Result



## Horn \& Schunck, remarks

1. Errors at boundaries
2. Example of regularisation (selection principle for the solution of illposed problems)

## Results of an enhanced system



## Results

http://www-student.informatik.uni-bonn.de/~gerdes/OpticalFlow/index.html



Differenzbild (pixelweise)


Gradient $\mathrm{E}_{\mathrm{x}}$ (in $2 \times 2 \times 2$ Block)


Gradient $\mathrm{E}_{\mathrm{t}}$ (in $2 \times 2 \times 2$ Block)


## Results

http://www.cs.utexas.edu/users/jmugan/GraphicsProject/OpticalFlow/


## Optical Flow

- Brightness Constancy
- The Aperture problem
- Regularization
- Lucas-Kanade
- Coarse-to-fine
- Parametric motion models
- Direct depth
- SSD tracking
- Robust flow
- Bayesian flow


